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Advances and Technical Standards in Neurosurgery

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The Decade of the Brain

In many countries, principally under the stimulation of the United States, the 1990s have been declared the decade of the brain.

Although a great deal of progress has been made in neurological and neurosurgical research in the past hundred years, neurological disease remains a major contributor to disability and death throughout the world. With the increasing age of the population in the developed countries problems of stroke and neuronal degeneration play an increasing part in determining the pattern of health care in the aged, and a great deal of national resource becomes devoted to the management of conditions which may be preventable.

Many new powerful techniques of clinical research are available, magnetic resonance spectroscopy, magnetic resonance imaging, positron tomography and genetic analysis all require funding to an extent which demands governmental assistance.

The editors welcome the concept of the "decade of the brain". Neuroscientists in both clinic and laboratory are anxious to press forward their understanding of the pathophysiology of major brain disease and to advance the philosophy of brain protection and prevention of disability. Much has been done, much is within our grasp but all requires not only the efforts of dedicated scientists but extensive government funding. We look forward to practical results of this initiative and wish it well.

The Editors

Preface

As an addition to the European postgraduate training system for young neurosurgeons we began to publish in 1974 this series devoted to Advances and Technical Standards in Neurosurgery which was later sponsored by the European Association of Neurosurgical Societies.

The fact that the English language is well on the way to becoming the international medium at European scientific conferences is a great asset in terms of mutual understanding. Therefore we have decided to publish all contributions in English, regardless of the native language of the authors.

All contributions are submitted to the entire editorial board before publication of any volume.

Our series is not intended to compete with the publications of original scientific papers in other neurosurgical journals. Our intention is, rather, to present fields of neurosurgery and related areas in which important recent advances have been made. The contributions are written by specialists in the given fields and constitute the first part of each volume.

In the second part of each volume, we publish detailed descriptions of standard operative procedures, furnished by experienced clinicians; in these articles the authors describe the techniques they employ and explain the advantages, difficulties and risks involved in the various procedures. This part is intended primarily to assist young neurosurgeons in their postgraduate training. However, we are convinced that it will also be useful to experienced, fully trained neurosurgeons.

The descriptions of standard operative procedures are a novel feature of our series. We intend that this section should make available the findings of European neurosurgeons, published perhaps in less familiar languages, to neurosurgeons beyond the boundaries of the authors countries and of Europe. We will however from time to time bring to the notice of our European colleagues, operative procedures from colleagues in the United States and Japan, who have developed techniques which may now be regarded as standard. Our aim throughout is to promote contacts among neurosurgeons in Europe and throughout the world neurosurgical community in general.

We hope therefore that surgeons not only in Europe, but throughout the world will profit by this series of Advances and Technical Standards in Neurosurgery. The Editors

Listed in Index Medicus

| List of Contributors | | XV |
|----------------------|--|----|
|----------------------|--|----|

A. Advances

| Is There any Future for Robots in Neurosurgery? By A. L. BENABID ^{1, 3} , D. HOFFMANN ^{1, 3} , S. LAVALLEE ² , P. CINQUIN ² , J. DEMONGEOT ² , J. F. LE BAS ^{1, 4} , F. DANEL, ¹ Unité INSERM U. 318, Neurobiologie Préclinique, Laboratoire de Neurobiophysique, UFR de Médecine, Université Joseph Fourier de Grenoble, ² Laboratoire de Biomathématiques, UFR de Médecine, Université Joseph Fourier de Grenoble, ³ Service de Neurochirurgie, ⁴ Unité d'Imagerie par Résonance Magnétique, CHU Albert Michallon, Grenoble (France) | 3 |
|---|----|
| I. Introduction | 4 |
| II. Robotics in Medicine and Neurosurgery: History and General Principles | 5 |
| II.1. History of the Robotics Concept in Medicine | 5 |
| II.2. General Principles of a Neurosurgical Robotized Set-up | 6 |
| A. Stereotactic Frames | 7 |
| B. X-ray Systems | 10 |
| III. State of the Art of Medical Robotics | 11 |
| III.1. Robots in Neurosurgery | 11 |
| A. Stereotactic Robots | 11 |
| A.1. The Kwoh-Young (Long Beach) System | 11 |
| A.2. The Grenoble Stereotactic Robot System | 13 |
| 1. Description of the System | 13 |
| 2. Data Acquisition | 16 |
| a) Calibration | 17 |
| b) Projective Neuroradiology | 18 |
| c) CT-scan | 19 |
| d) MRI 3. General Procedure | 19 |
| | 21 |
| 4. Clinical Applications | 24 |
| a) Tumour Biopsies (141 cases) | 24 |
| b) Stereo-electro-encephalographic (SEEG) Investi- | 75 |
| gations of Epileptic Patients (14 cases) | 25 |
| c) Brachytherapy (5 cases) | 25 |

| e) Operative Complications | |
|--|---|
| | |
| 5. Perspectives | |
| a) Short Term Perspectives | 27 |
| b) Middle Term Perspectives | |
| A.3. The Lausanne System | |
| B. Stereotactically Guided Open-field Surgical Robots | 35 |
| B.1. The Mayo Clinic System | |
| B.2. The Grenoble-Paris-Rennes Robotized Microscope | |
| C. Positioning Robots | |
| C.1. The "Neuronavigator" | |
| C.2. The Retractor Robot | |
| III.2. Robots in Other Medical Fields | |
| A. Ophthalmology | |
| B. Robot Assisted Nursing Care | |
| IV. Future Applications and Science-fiction | |
| IV.1. Open Surgery | |
| IV.2 Flexible Robots | |
| IV.3 Sensor Guided Robots | 39 |
| V. Discussion and Conclusion | |
| References | |
| Aspects of the Medical Management in Aneurysmal Subarachnoid Herbage. By J. P. CASTEL, Clinique Universitaire de Neurochirurgie, Gr | roupe |
| rhage. By J. P. CASTEL, Clinique Universitaire de Neurochirurgie, Gr Hospitalier Pellegrin, Bordeaux (France) | roupe 47 |
| rhage. By J. P. CASTEL, Clinique Universitaire de Neurochirurgie, Gr Hospitalier Pellegrin, Bordeaux (France) Introduction | roupe 47 48 |
| rhage. By J. P. CASTEL, Clinique Universitaire de Neurochirurgie, Gr Hospitalier Pellegrin, Bordeaux (France) Introduction Prevention of Rebleeding | roupe 47 48 49 |
| rhage. By J. P. CASTEL, Clinique Universitaire de Neurochirurgie, Grunder Hospitalier Pellegrin, Bordeaux (France) Introduction Prevention of Rebleeding I. Drug Administration | roupe |
| rhage. By J. P. CASTEL, Clinique Universitaire de Neurochirurgie, Grunder Pellegrin, Bordeaux (France) Introduction Prevention of Rebleeding I. Drug Administration II. Results of the Treatment | roupe |
| rhage. By J. P. CASTEL, Clinique Universitaire de Neurochirurgie, Grunden Hospitalier Pellegrin, Bordeaux (France) Introduction Prevention of Rebleeding I. Drug Administration II. Results of the Treatment III. Complications of the Treatment | roupe |
| rhage. By J. P. CASTEL, Clinique Universitaire de Neurochirurgie, Grunden Hospitalier Pellegrin, Bordeaux (France) Introduction Introduction of Rebleeding I. Drug Administration II. Results of the Treatment III. Complications of the Treatment IV. Conclusion | roupe 47 48 49 50 50 52 53 |
| rhage. By J. P. CASTEL, Clinique Universitaire de Neurochirurgie, Grunder Hospitalier Pellegrin, Bordeaux (France) Introduction | roupe 47 48 49 50 50 50 52 53 53 |
| rhage. By J. P. CASTEL, Clinique Universitaire de Neurochirurgie, Grunder Hospitalier Pellegrin, Bordeaux (France) Introduction | roupe |
| rhage. By J. P. CASTEL, Clinique Universitaire de Neurochirurgie, Grunder Hospitalier Pellegrin, Bordeaux (France) Introduction Prevention of Rebleeding I. Drug Administration II. Results of the Treatment III. Complications of the Treatment IV. Conclusion Prevention and Treatment of Cardiac Arrhythmias I. Incidence II. Description | roupe |
| rhage. By J. P. CASTEL, Clinique Universitaire de Neurochirurgie, Grunden Hospitalier Pellegrin, Bordeaux (France) Introduction | roupe |
| rhage. By J. P. CASTEL, Clinique Universitaire de Neurochirurgie, Gr Hospitalier Pellegrin, Bordeaux (France) Introduction Prevention of Rebleeding I. Drug Administration II. Results of the Treatment III. Complications of the Treatment IV. Conclusion Prevention and Treatment of Cardiac Arrhythmias I. Incidence II. Description III. Etiology IV. Prevention or Treatment | roupe |
| rhage. By J. P. CASTEL, Clinique Universitaire de Neurochirurgie, Gr Hospitalier Pellegrin, Bordeaux (France) Introduction Prevention of Rebleeding I. Drug Administration II. Results of the Treatment III. Complications of the Treatment IV. Conclusion Prevention and Treatment of Cardiac Arrhythmias I. Incidence II. Description III. Etiology IV. Prevention or Treatment | roupe |
| rhage. By J. P. CASTEL, Clinique Universitaire de Neurochirurgie, Gr Hospitalier Pellegrin, Bordeaux (France) Introduction | roupe |
| rhage. By J. P. CASTEL, Clinique Universitaire de Neurochirurgie, Gr Hospitalier Pellegrin, Bordeaux (France) Introduction Prevention of Rebleeding I. Drug Administration II. Results of the Treatment III. Complications of the Treatment IV. Conclusion Prevention and Treatment of Cardiac Arrhythmias I. Incidence II. Description III. Etiology IV. Prevention or Treatment II. Etiology II. Signs and Symptoms II. Etiology | roupe |
| rhage. By J. P. CASTEL, Clinique Universitaire de Neurochirurgie, Gr Hospitalier Pellegrin, Bordeaux (France) Introduction Prevention of Rebleeding I. Drug Administration II. Results of the Treatment III. Complications of the Treatment IV. Conclusion Prevention and Treatment of Cardiac Arrhythmias I. Incidence II. Description III. Etiology IV. Prevention or Treatment IV. Prevention and Treatment III. Etiology IV. Prevention and Treatment III. Etiology IV. Prevention and Treatment IV. Prevention and Symptoms IV. Preventi | roupe |
| rhage. By J. P. CASTEL, Clinique Universitaire de Neurochirurgie, Gr Hospitalier Pellegrin, Bordeaux (France) Introduction Prevention of Rebleeding I. Drug Administration II. Results of the Treatment III. Complications of the Treatment IV. Conclusion Prevention and Treatment of Cardiac Arrhythmias II. Description III. Etiology IV. Prevention or Treatment Prevention and Treatment of Hyponatremia I. Signs and Symptoms II. Etiology III. Treatment | roupe |
| rhage. By J. P. CASTEL, Clinique Universitaire de Neurochirurgie, Gr Hospitalier Pellegrin, Bordeaux (France) Introduction Prevention of Rebleeding I. Drug Administration II. Results of the Treatment III. Complications of the Treatment IV. Conclusion Prevention and Treatment of Cardiac Arrhythmias I. Incidence III. Description III. Etiology IV. Prevention or Treatment Prevention and Treatment Prevention or Treatment Prevention and Treatment of Hyponatremia II. Etiology IV. Prevention or Treatment Prevention and Treatment of Hyponatremia I. Signs and Symptoms III. Etiology III. Treatment Prevention of Seizures and Epilepsy I. Early Seizures | roupe |
| rhage. By J. P. CASTEL, Clinique Universitaire de Neurochirurgie, Gr Hospitalier Pellegrin, Bordeaux (France) Introduction Prevention of Rebleeding I. Drug Administration II. Results of the Treatment III. Complications of the Treatment IV. Conclusion Prevention and Treatment of Cardiac Arrhythmias I. Incidence III. Etiology IV. Prevention or Treatment Prevention and Treatment of Hyponatremia II. Signs and Symptoms III. Treatment Prevention of Seizures and Epilepsy I. Early Seizures II. Late Epilepsy | roupe |
| rhage. By J. P. CASTEL, Clinique Universitaire de Neurochirurgie, Gr Hospitalier Pellegrin, Bordeaux (France) Introduction Prevention of Rebleeding I. Drug Administration II. Results of the Treatment III. Complications of the Treatment IV. Conclusion Prevention and Treatment of Cardiac Arrhythmias I. Incidence III. Description III. Etiology IV. Prevention or Treatment Prevention and Treatment of Hyponatremia I. Signs and Symptoms II. Etiology III. Treatment Prevention of Seizures and Epilepsy I. Early Seizures II. Late Epilepsy Prevention of Cerebral Arterial Vasospasm | roupe |
| rhage. By J. P. CASTEL, Clinique Universitaire de Neurochirurgie, Gr Hospitalier Pellegrin, Bordeaux (France) Introduction Prevention of Rebleeding I. Drug Administration II. Results of the Treatment III. Complications of the Treatment IV. Conclusion Prevention and Treatment of Cardiac Arrhythmias I. Incidence III. Etiology IV. Prevention or Treatment Prevention and Treatment of Hyponatremia II. Signs and Symptoms III. Treatment Prevention of Seizures and Epilepsy I. Early Seizures II. Late Epilepsy | roupe |

| | Α. | Pharmacology | 64 |
|-------|--------|--|----|
| | В. | Nimodipine | 65 |
| | | 1. Uncontrolled Prospective Studies | 66 |
| | | a) Uncontrolled Single Centre Studies | 66 |
| | | b) Uncontrolled Multicentre Studies | 68 |
| | | 2. Placebo-Controlled Randomized Studies | 68 |
| | | a) Controlled Single Centre Studies | 68 |
| | | b) Controlled Multicentre Studies | 71 |
| | | 3. Discussion | 72 |
| | C. | Nicardipine | 73 |
| | D. | Other Calcium Antagonists | 75 |
| | | 1. Diltiazem | 75 |
| | | 2. Flunarizine | 75 |
| III. | Othe | er Drugs | 76 |
| | | Nizofenone | 76 |
| | В. | Reserpine + Kanamycin | 76 |
| | | Anti-Thromboxane A2 Synthetase | 77 |
| | | Heparin | 78 |
| | | Dipyridamole | 79 |
| | | Ticlopidine | 80 |
| Treat | tmen | t of Symptomatic Vasospasm | 80 |
| I. | Hyp | ervolemia and Hypertension | 82 |
| | | Hypervolemia | 84 |
| | В. | Hypertensive Hypervolemia | 86 |
| | | 1. Vasopressive Drugs | 86 |
| | | 2. Hypervolemia and Dopamine | 87 |
| | | 3. Hypertensive Hypervolemia | 87 |
| | | 4. Hypertension + Hypervolemia + Hemodilution | 88 |
| II. | Vasc | pactive Drugs | 89 |
| | | Isoproterenol + Lidocaïne | 89 |
| | | Isoproterenol + Aminophylline | 90 |
| | C. | Aminophylline + Nitroprusside + Dopamine | 91 |
| | D. | Nimodipine | 92 |
| III. | | oxone | 93 |
| | | piturates | 94 |
| | | oids | 95 |
| | | Hydrocortisone in Large Doses | 95 |
| | | Dexamethasone, Betamethasone, Methylprednisolone | 95 |
| Conc | | ns | 96 |
| Refer | rences | s | 97 |
| | | | |

B. Technical Standards

| Unilateral Partial Hemilaminectomy for the Removal of Extra- and Intra- | |
|---|-----|
| medullary Tumours and AVMS. By M. G. YAŞARGIL, B. I. TRANMER, | |
| T. E. ADAMSON, and P. ROTH, Department of Neurosurgery, University | |
| of Zürich, Zürich (Switzerland) | 113 |

XI

| Osteoplastic Laminectomy 116 | |
|--|--|
| Unilateral (Partial) Hemilaminectomy | |
| Unilateral (Partial) Hemilaminectomy 116 Clinical Material 117 | |
| Operative Technique | |
| Discussion 123 | |
| References | |
| | |
| Organization of the Primary Transportation of Head Injuries and Other Emer- gencies in the Federal Republic of Germany. By H. DIETZ, Neurochirur- gische Klinik, Medizinische Hochschule Hannover (Federal Republic of Germany) | |
| Advances in Drug Delivery Systems and Applications in Neurosurgery. By Y. | |
| LAZORTHES, B. SALLERIN-CAUTE, J. C. VERDIE, and R. BASTIDE, Uni- | |
| versity Neurosurgical Clinic, Medical Faculty of Rangueil, Université | |
| Paul Sabatier, Toulouse (France) | |
| | |
| 1. Implantable Drug Delivery Systems | |
| 1.1 Access Ports | |
| 1.2 Implantable Pumps 147 | |
| 1.2.1 Pulsatile Pumps 147 | |
| 1.2.2 Continuous Flow Pumps 148 | |
| 1.2.3 Programmable Pumps 149 | |
| 2. Implantation Techniques 151 | |
| 2.1 Catheter Placement 152 | |
| 2.2 Implantation of a Drug Administration System 152 | |
| 3. Current Clinical Applications | |
| 3.1 Intrathecal Spinal and/or Intra-cerebro-ventricular Morphine in In- | |
| tractable Cancer Pain | |
| 3.1.1 Neurobiological Basis | |
| 3.1.2 Selection Criteria | |
| 3.1.3 Intrathecal Administration of Opiates via the Lumbar Route 155 Patients | |
| Implantation Technique 155 | |
| Results 156 | |
| Side Effects of Morphine 156 | |
| Discussion 157 | |
| 3.1.4 Intra-(cerebral)-ventricular Morphinotherapy 158 | |
| Patients 159 | |
| Administration Technique and Morphine Titration 159 | |
| Results 159 | |
| Discussion 161 | |
| 3.1.5 Conclusion – Perspectives | |
| 3.2 Intrathecal Baclofen for Control of Severe Spasticity 165 | |
| 3.2.1 Neurochemical and Pharmacokinetic Basis | |
| 3.2.2 Patient Selection Criteria 165 | |

| Contents |
|----------|
|----------|

| 3.2.3 Implantation for Chronic Intrathecal Administration, Titration | 1.45 |
|--|------|
| A A | 167 |
| | 169 |
| e e | 169 |
| | 170 |
| | 171 |
| Infections and Neurological Complications | 172 |
| | 172 |
| Acquired Tolerance | 173 |
| 3.2.5 Discussion | 173 |
| | 174 |
| 3.2.5.2. Influence of Etiology on Functional Improvement | 175 |
| 3.2.5.3 Pharmacological Complications and Risks | 177 |
| 3.2.5.4 Alternatives to Intrathecal Baclofen | 178 |
| 3.2.6 Conclusion | 179 |
| 4. Other Clinical Applications and Perspectives | 180 |
| 4.1 Intracarotid and Direct Intratumoural Chemotherapy for Malignant | |
| Glioma | 180 |
| 4.1.1 The Rationale | 180 |
| 4.1.2 Local or Regional Intracarotid Chemotherapy | 180 |
| 4.1.3 Direct Intra-tumoural Chemotherapy | 181 |
| 4.2 Intraventricular Cholinergic Drug Infusion for Alzheimer's Disease | 182 |
| 4.3 Intrathecal Infusion of TRH in Amyotrophic Lateral Sclerosis | 183 |
| 4.4 Perspectives | 183 |
| | 184 |
| | 184 |

List of Contributors

- Adamson, Dr. T. E., Neurochirurgische Klinik, Universitätsspital Zürich, Rämistraße 100, CH-8091 Zürich, Switzerland.
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A. Advances

Is There any Future for Robots in Neurosurgery?

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With 11 Figures

Contents

| I. | Introduction | 4 |
|------|---|----|
| II. | Robotics in Medicine and Neurosurgery: History and General Principles | 5 |
| | II.1. History of the Robotics Concept in Medicine | 5 |
| | II.2. General Principles of a Neurosurgical Robotized Set-up | 6 |
| | A. Stereotactic Frames | 7 |
| | B. X-ray Systems | 10 |
| III. | State of the Art of Medical Robotics | 11 |
| | III.1. Robots in Neurosurgery | 11 |
| | A. Stereotactic Robots | 11 |
| | A.1. The Kwoh-Young (Long Beach) System | 11 |
| | A.2. The Grenoble Stereotactic Robot System | 13 |
| | 1. Description of the System | 13 |
| | 2. Data Acquisition | 16 |
| | a) Calibration | 17 |
| | b) Projective Neuroradiology | 18 |
| | c) CT-scan | 19 |
| | d) MRI | 21 |
| | 3. General Procedure | 21 |
| | 4. Clinical Applications | 24 |
| | a) Tumour Biopsies (141 cases) | 24 |
| | | |

A. L. BENABID et al.

| d) Midline Stereotactic Neurosurgery26e) Operative Complications265. Perspectives27a) Short Term Perspectives27b) Middle Term Perspectives28A.3. The Lausanne System34B. Stereotactically Guided Open-field Surgical Robots35B.1. The Mayo Clinic System35B.2. The Grenoble-Paris-Rennes Robotized Microscope37C. Positioning Robots37C.1. The "Neuronavigator"38G.2. The Retractor Robot38B. Robot Assisted Nursing Care38J. Future Applications and Science-fiction39IV. Future Applications and Science-fiction39IV.2 Flexible Robots39V. Discussion and Conclusion39 | | b) Stereo-electro-encephalographic (SEEG) Investiga- | |
|--|------|---|----|
| d) Midline Stereotactic Neurosurgery26e) Operative Complications265. Perspectives27a) Short Term Perspectives27b) Middle Term Perspectives28A.3. The Lausanne System34B. Stereotactically Guided Open-field Surgical Robots35B.1. The Mayo Clinic System35B.2. The Grenoble-Paris-Rennes Robotized Microscope37C. Positioning Robots37C.1. The "Neuronavigator"38III.2. Robots in Other Medical Fields38A. Ophthalmology38B. Robot Assisted Nursing Care38IV. Future Applications and Science-fiction39IV.2 Flexible Robots39V. Discussion and Conclusion39 | | tions of Epileptic Patients (14 cases) | 25 |
| e) Operative Complications 5. Perspectives a) Short Term Perspectives b) Middle Term Perspectives c) Middle Term Perspectives d) Middle Term P | | c) Brachytherapy (5 cases) | 25 |
| 5. Perspectives 27 a) Short Term Perspectives 27 b) Middle Term Perspectives 28 A.3. The Lausanne System 34 B. Stereotactically Guided Open-field Surgical Robots 35 B.1. The Mayo Clinic System 35 B.2. The Grenoble-Paris-Rennes Robotized Microscope 37 C. Positioning Robots 37 C.1. The "Neuronavigator" 38 C.2. The Retractor Robot 38 III.2. Robots in Other Medical Fields 38 A. Ophthalmology 38 B. Robot Assisted Nursing Care 38 IV. Future Applications and Science-fiction 39 IV.1 Open Surgery 39 IV.2 Flexible Robots 39 IV.3 Sensor Guided Robots 39 V. Discussion and Conclusion 39 | | d) Midline Stereotactic Neurosurgery | 26 |
| a) Short Term Perspectives27b) Middle Term Perspectives28A.3. The Lausanne System34B. Stereotactically Guided Open-field Surgical Robots35B.1. The Mayo Clinic System35B.2. The Grenoble-Paris-Rennes Robotized Microscope37C. Positioning Robots37C.1. The "Neuronavigator"38C.2. The Retractor Robot38III.2. Robots in Other Medical Fields38A. Ophthalmology38B. Robot Assisted Nursing Care38IV. Future Applications and Science-fiction39IV.1. Open Surgery39IV.2 Flexible Robots39V. Discussion and Conclusion39 | | e) Operative Complications | 26 |
| b) Middle Term Perspectives | | 5. Perspectives | 27 |
| A.3. The Lausanne System34B. Stereotactically Guided Open-field Surgical Robots35B.1. The Mayo Clinic System35B.2. The Grenoble-Paris-Rennes Robotized Microscope37C. Positioning Robots37C.1. The "Neuronavigator"38C.2. The Retractor Robot38III.2. Robots in Other Medical Fields38B. Robot Assisted Nursing Care38IV. Future Applications and Science-fiction39IV.1. Open Surgery39IV.2 Flexible Robots39V. Discussion and Conclusion39 | | a) Short Term Perspectives | 27 |
| B. Stereotactically Guided Open-field Surgical Robots 35 B.1. The Mayo Clinic System 35 B.2. The Grenoble-Paris-Rennes Robotized Microscope 37 C. Positioning Robots 37 C.1. The "Neuronavigator" 38 C.2. The Retractor Robot 38 III.2. Robots in Other Medical Fields 38 B. Robot Assisted Nursing Care 38 IV. Future Applications and Science-fiction 39 IV.1. Open Surgery 39 IV.2 Flexible Robots 39 IV.3 Sensor Guided Robots 39 V. Discussion and Conclusion 39 | | b) Middle Term Perspectives | 28 |
| B.1. The Mayo Clinic System 35 B.2. The Grenoble-Paris-Rennes Robotized Microscope 37 C. Positioning Robots 37 C.1. The "Neuronavigator" 38 C.2. The Retractor Robot 38 III.2. Robots in Other Medical Fields 38 B. Robot Assisted Nursing Care 38 IV. Future Applications and Science-fiction 39 IV.1. Open Surgery 39 IV.2 Flexible Robots 39 IV.3 Sensor Guided Robots 39 V. Discussion and Conclusion 39 | | A.3. The Lausanne System | 34 |
| B.2. The Grenoble-Paris-Rennes Robotized Microscope 37 C. Positioning Robots 37 C.1. The "Neuronavigator" 38 C.2. The Retractor Robot 38 III.2. Robots in Other Medical Fields 38 A. Ophthalmology 38 B. Robot Assisted Nursing Care 38 IV. Future Applications and Science-fiction 39 IV.2 Flexible Robots 39 IV.3 Sensor Guided Robots 39 V. Discussion and Conclusion 39 | | B. Stereotactically Guided Open-field Surgical Robots | 35 |
| C. Positioning Robots37C.1. The "Neuronavigator"38C.2. The Retractor Robot38III.2. Robots in Other Medical Fields38A. Ophthalmology38B. Robot Assisted Nursing Care38IV. Future Applications and Science-fiction39IV.1. Open Surgery39IV.2 Flexible Robots39IV.3 Sensor Guided Robots39V. Discussion and Conclusion39 | | B.1. The Mayo Clinic System | 35 |
| C.1. The "Neuronavigator"38C.2. The Retractor Robot38III.2. Robots in Other Medical Fields38A. Ophthalmology38B. Robot Assisted Nursing Care38IV. Future Applications and Science-fiction39IV.1. Open Surgery39IV.2 Flexible Robots39IV.3 Sensor Guided Robots39V. Discussion and Conclusion39 | | B.2. The Grenoble-Paris-Rennes Robotized Microscope | 37 |
| C.2. The Retractor Robot38III.2. Robots in Other Medical Fields38A. Ophthalmology38B. Robot Assisted Nursing Care38IV. Future Applications and Science-fiction39IV.1. Open Surgery39IV.2 Flexible Robots39IV.3 Sensor Guided Robots39V. Discussion and Conclusion39 | | | 37 |
| III.2. Robots in Other Medical Fields38A. Ophthalmology38B. Robot Assisted Nursing Care38IV. Future Applications and Science-fiction39IV.1. Open Surgery39IV.2 Flexible Robots39IV.3 Sensor Guided Robots39V. Discussion and Conclusion39 | | | 38 |
| A. Ophthalmology38B. Robot Assisted Nursing Care38IV. Future Applications and Science-fiction39IV.1. Open Surgery39IV.2 Flexible Robots39IV.3 Sensor Guided Robots39V. Discussion and Conclusion39 | | C.2. The Retractor Robot | 38 |
| B. Robot Assisted Nursing Care 38 IV. Future Applications and Science-fiction 39 IV.1. Open Surgery 39 IV.2 Flexible Robots 39 IV.3 Sensor Guided Robots 39 V. Discussion and Conclusion 39 | | III.2. Robots in Other Medical Fields | 38 |
| IV. Future Applications and Science-fiction 39 IV.1. Open Surgery 39 IV.2 Flexible Robots 39 IV.3 Sensor Guided Robots 39 V. Discussion and Conclusion 39 | | A. Ophthalmology | 38 |
| IV.1. Open Surgery39IV.2 Flexible Robots39IV.3 Sensor Guided Robots39V. Discussion and Conclusion39 | | | |
| IV.2 Flexible Robots39IV.3 Sensor Guided Robots39V. Discussion and Conclusion39 | IV. | Future Applications and Science-fiction | 39 |
| IV.3 Sensor Guided Robots39V. Discussion and Conclusion39 | | IV.1. Open Surgery | 39 |
| V. Discussion and Conclusion 39 | | IV.2 Flexible Robots | 39 |
| | | IV.3 Sensor Guided Robots | 39 |
| References 40 | V. | Discussion and Conclusion | 39 |
| | Refe | erences | 40 |

I. Introduction

Since the first conception of machines that could replace humans for tasks they used to perform, the development of increasingly intelligent machines, later called robots, led to the science of synnoetics¹ implying the perfect integration of humans and robots in an harmonious society. Science-fiction literature has helped us grow accustomed to the idea and the theme of mankind threatened by dominating robotic creatures has rapidly gone out of style. The prospect of an increasingly robotized society is becoming more acceptable and the recent experience of robots entering the exclusive domain of medicine has proven that they are regarded as an addition to skill and safety rather than as a danger. Incredibly rapid progress in computer science, artificial intelligence, biomedical engineering and medical imaging, together with our society's never ending pursuit of higher medical standards and achievements will undoubtedly foster advancements in medical robotics far beyond the scope of present-day capabilities. However, before a time has come when intelligent and flexible robots will be able to integrate the sum of clinical and paraclinical data, state a diagnosis, make a decision, and then perform a therapeutic (including surgical) procedure, not in a completely pre-programmed but in an adaptative manner based on the immediate knowledge provided by their own sensors, a considerable amount of work remains to be done well beyond the current state of the art. It is without doubt that the feed-back loop between the potential commercial market, industrial creativity and efficiency, and the subsequent new applications in medicine will accelerate this process. For the timebeing, to remain objective, we can only review whence we came and where we are to-day.

The particular susceptibility of nervous tissue in the brain, has naturally tended to minimize the trauma of neurosurgical procedures including surgical approaches. This attitude culminates in the "keyhole neurosurgery" concept, which imposes two complementary prerequisites: precision of target and narrowness of approach. The second has been made possible by technical advances in endoscopy, fiber optics, microinstrumentation and the use of physical agents capable of being transported along thin pathways (such as heat and laser). The first prerequisite has been addressed for several years now by such methods as stereotaxy^{7, 20, 67–69, 71–74}. The increasing application of stereotaxy, the availability of sophisticated and predigitized imaging modalities (CT-scan, MRI, DSA, US imaging) and the expanding power of computers call for integration of these technical opportunities²¹, ^{49, 53, 54, 79}. Robotization of neurosurgical procedures is the logical and mandatory consequence of these developments. This was already recog-nized several years ago by P. Kelly^{23, 28-41} and, later by R. Young⁸⁰ and Y. S. $Kwoh^{43-45}$. What remains to be accomplished, as well as the work presented here, is nothing more than the development of their original and pioneering ideas.

II. Robotics in Medicine and Neurosurgery: History and General Principles

II.1 History of the Robotics Concept in Medicine

Although robotization can be foreseen in a wide range of medical and surgical procedures, current applications mainly concern stereotaxy. Computerization and robotization are inherently present in the basic principle of stereotaxy. This principle encompasses a natural evolution towards precision, repetition, automation of parts or even to entire procedures, automatic adaptation of procedures to specific, anatomic parameters of the patient, report of procedure parameters to control data bases such as anatomic atlases, and interactivity and feed back processes between the databases and the current procedure. The history of stereotaxy²⁰ is already rich in examples of such attempts of motorization, automation, and even

A. L. BENABID et al.

computerization. Indeed, the present burst of computer and information technology has boosted this original tendency⁴⁰ and made concrete what was some years ago no more than a science fiction cartoon. Atlas computerization was first reported at the Edinburgh meeting of the Society for Stereotaxy and Functional Neurosurgery, in 1972. Later computerization of stereotactic calculation was attempted or achieved through CT-adapted stereotaxy^{12, 16, 21, 23, 29, 38, 49, 53}, and in 1982 P. Kelly³⁶ described the first routine application of computer assisted neurosurgery, confirming the potential future for such an approach. In the 1990's this concept will undoubtedly come to maturity and extend widely to the general field of computer assisted medicosurgical procedures. Current problems concerning ethics and safety, already brought to public attention¹, have to be kept in mind and resolved.

II.2 General Principles of a Neurosurgical Robotized Set-up (Fig. 1)

At the present time, all robotized neurosurgical procedures involve stereotactic frames, which provide fixation of the head and, therefore, a stable position of the brain in the robot space, after calibration is done. It is expected that technical progress will show the performance of at least some neurosurgical procedures without holding the head firmly in a frame, provided that the head position can be monitored during surgery with a precision high enough to be compatible with the degree of precision required by the involved procedure. Currently, a regular set-up consists of a robot linked to a frame, the varying relationships of which are checked using an X-ray system. There are some specific considerations regarding the integration of frames and X-ray systems with the robotized system.



Fig. 1. General diagram of a robot system and flow chart of the software

A. Stereotactic Frames

A stereotactic frame is essentially used to hold the head in a stable position with respect to the robot. Therefore, all types of frames would be acceptable as long as they are rigid and have few external features in order to limit the risk of collisions with the robot. Compatibility of frames with CTscanners and MRI systems must be considered, as well as reproducibility of patient placement, and flexibility of the frame which must have detachable parts in order to move the patient from the robot set-up to other operating rooms. It is generally admitted that stereotaxy was invented in 1905 by Horsley and Clarke²⁶ who needed an accurate tool for electrode insertion and lesion making in laboratory animals. This laboratory equipment was later adapted for neurosurgical purposes by Spiegel and Wycis in 1947⁶⁴ although the first human stereotactic apparatus was probably built in London around 1919 by Aubrey Mussen who had worked with Clarke⁵⁵. This made functional surgery possible at the level of deep brain structures such as the basal ganglia and third ventricle. The introduction of pallido-thalamotomy following the accidental observation of Cooper led to considerable development in stereotaxy as a conventional neurosurgical procedure. The evident need for accuracy, reproducibility, safety, and minimized invasiveness is responsible for the design of several types of stereotactic frames, each of them addressing a specific concern. Logically enough, the majority of frames during the first half of the century were mainly designed for procedures involving stereotactic lesions on the basal ganglia, which represented the only surgical treatment of Parkinson's disease and of other types of dyskinesias. The apparently unappealing aspect of calculating target coordinates restricted stereotaxy to a narrow set of "mathematically - minded" neurosurgeons. The recent possibility of linking stereotactic frames and CT-scanners, with adequate software to calculate target coordinates and frame parameter values required before surgery, has made this procedure available to a larger group of neurosurgeons. Although this constitutes a real improvement, this newly available simplicity has contributed to a tremendous increase in the practice of stereotactic neurosurgery and possibly to an increased risk of haemorrhagic complications. All stereotactic frames share a common purpose which is to establish rigid relationships between the patient's head and brain, and the outer space which contains surgical tools, such as cannulae, probes, electrodes, or larger systems such as X-ray tubes used either for neuroradiological examination or for radiosurgery. All frames are always firmly anchored to the patient's skull by several pins, (at least 3 and usually 4) more or less deeply inserted into the bone. Less invasive relationships, such as fixation by ear plugs and nose and neck positioners, have been used, but are not compatible with precise and stable positioning.



Two main types of frames can be considered, depending on how the target is reached: the first type, which comprises the Leksell-Elekta, Riechert-Mundinger-Fischer, OBT and similar frames, is mainly based on a center-of-arch system allowing double oblique trajectories aimed at central targets. Their relatively small size has allowed them to be redesigned with X-ray transparent and amagnetic materials, making them compatible with CT-scan and MRI systems. The visibility of the frame structures and fiducial markers on these imaging systems has been used to develop software providing easy calculation of the coordinates to be set up on the frame in order to reach a given target designated on CT-scan or MRI pictures. In the Leksell-type frames, an arch-goniometer is designed to reach the center of the arch with special (fixed-length) probes. The center of the arch can be projected onto X-ray films and precisely placed in front of the anteroposterior and lateral projections of the target by displacements of the arch support on the frame. In other cases, (Mundinger-Riechert) arch system parameters are determined using a specific paradigm and are checked on a phantom. The development of computer software adapted to CT-scan and MRI examination has more recently provided the possibility to determine easily the coordinates and characteristics of the tracks, in biorthogonal or double-oblique approaches, from the target as visible on the image display. This is particularly convenient when the target is clearly defined, which is the case for brain tumours, the size of which is larger than the accuracy of the pixel size of the images.

The second type, represented by the Talairach frame^{71–74} is mainly based on orthogonal (frontal or lateral) approaches. The Talairach frame essentially features a rectangular base with four pilars supporting calibrated screws holding the fixation pins, a system of double grids (allowing orthogonal tool penetration into the brain along directions parallel to the X-ray beams) and added sets to accessories: the Sedan⁶¹ and Scerratti⁵⁸ goniometers have been conceived and designed to provide the Talairach system with the advantages and flexibility of the center-of-arch systems allowing easy and precise access via oblique approaches to targets near the midline. Correspondance between the x, y, z cartesian coordinates of a point P, the α and θ angles of the ρ , α , θ spherical coordinates, the β and γ angles read on the X-rays, and the β and ε angles set up on the goniometer are given by the following simple equations (Fig. 2):

$$\rho = OP$$

$$x = \rho \cdot \cos \alpha \cdot \sin \theta$$

$$y = \rho \cdot \cos \alpha \cdot \cos \theta$$

$$z = \rho \cdot \sin \alpha$$

$$\beta = \operatorname{Arctan} (\tan \alpha / \cos \theta)$$

$$\gamma = \operatorname{Arctan} (\sin \theta / \tan \alpha)$$

$$\varepsilon = \operatorname{Arctan} (x / (x^2 + z^2)^{1/2})$$

Whatever the type of robot used, the system should fulfill the following prerequisites:

- reproducible patient placement: this can be achieved by a heavy frame base, which cannot be distorted by current mechanical stresses, and by four strong pins which are inserted into burr holes drilled through the full depth of the skull, held by verniers the graduations of which are recorded and can be replicated.

- perfect orthogonality of the X-ray beams in the antero-posterior and lateral directions: this can be achieved by a specific set-up in the operating room, and by repeated control of correct placement of the frame at the focus point of the X-ray set-up.

- precise knowledge of the central X-ray beam, either placed on the area of interest, centered on the target, or used for exact correction of the parallax distortion due to a beam centered at distance from the target.

- superimposition of modalities:

The pins inserted into the skull are in a fixed and reproducible position and their appearance is the same on every X-ray picture, taken during the same or different stereotactic sessions, provided that the pin holding verniers are each time set at identical values. Therefore, matching the pin projections on the X-ray pictures, provides the possibility of superimposing different modalities, (such as angiograms, ventriculograms, or any other kind of image acquired in stereotactic conditions) so that a synthetic diagram can be drawn.

However this system imposes important hindrances which might discourage users and push them towards apparently easier systems, or more dangerously, towards less secure and careful procedures.

The differences between frames are more related to the industrial features than to the methodological principles, since a frame can be likened to a simple pair of "sugar-tongs" holding the skull and the brain in a fixed postion.

B. X-ray Systems

A stereotactic frame must be positioned at the focus of a bi-directional double-tube X-ray system, the beams of which are orthogonal. A first tube is located at the ceiling of the operating room, with its axis in the vertical direction and is used for antero-posterior views of the patient's head in the supine position. The second tube, with its axis in the horizontal direction is located in a side part of the room, for lateral X-ray views of the patient's head. Both tubes are at a long distance from the head, depending on the room set-up, but always greater than 3 meters. This achieves a low magnification ratio which is equal to 1.05 in our set-up where tubes are 3.75 meters away from the center of the frame. This ratio is precisely determined

by the respective positions of the tube, the patient's head, and the film. Actually, the magnification ratio is different for each point of the brain, depending on its relative position with respect to the tubes and X-ray film, and involves special computations which are developed below.

The Talairach frame holds two sets of grids placed on the sides of the frame base and which are perpendicular two by two. Making calculation easier and taking maximal advantage of the frame properties requires orthogonality of the grids and of the corresponding X-ray beams. This is achieved by precise positioning of the frame at the beginning of the procedure. This can be accomplished using a laser beam which is coaxial with the X-ray beam and laser reflectors on the frame. Another way consists in mounting the Talairach frame on a solid state base, strongly fixed to the floor of the room, and initially positioned at the center of the X-ray system. In both cases, the X-ray system has to be perfectly perpendicular. As a consequence of this set-up, the altitude Z of a given point in space in the brain with respect to the plane of the frame, which is named the "horizontal" plane of the brain, is the same on the two, antero-posterior (frontal view) and lateral, X-ray pictures. This is very useful to determine whether or not a point in the vascular bed which appears to intersect the track of biopsies actually does. If so, this track must have the same Z values of the projections of its suspected vascular intersection on both frontal and lateral projections, as explained below.

III. State of the Art of Medical Robotics

The current state of medical robotics comprises robots used as therapeutic tools in neurosurgery, and other applications such as in ophthalmology or in nursing care. Work has been reported by other authors in neurosurgical stereotaxy¹⁹ and in diverse medical applications⁷⁸ but is still at the laboratory experimental level. To our knowledge, with the exception of Kelly's and our own work, no other experience in routine robotized neurosurgery has thus far been reported. We shall consider the different approaches which are reported at the present time in neurosurgery and other medical fields.

III.1. Robots in Neurosurgery

A. Stereotactic Robots

Three systems aimed at performing the usual stereotactic procedures using robots as a surgical tool are currently in existence.

A.1. The Kwoh-Young (Long Beach) System (Fig. 3)

This system was undoubtedly the first stereotactic robot ever designed and built. It includes a PUMA robot system manufactured by Unimation, Inc.



Fig. 3. The Long Beach system: General structure and examples of procedures. (With permission of the authors)

The mechanical arm of the robot has six joints. Each joint is driven by a permanent magnet servomotor through a gear train. The waist, shoulder, and elbow incorporate electromagnetic brakes which are activated when the power is cut off. All servomotors incorporate optical incremental encoders that provide position and velocity feedback to the robot's servosystem. A probe holder is attached to the end effector of the arm. The electronic controller contains a DEC LSI-11 minicomputer as well as digital and analog circuits to control each of the robot's joints and its power supply. A manual control unit allows the user to manipulate the robot through different buttons and a speed-control switch. In case of emergency, all processes can be stopped and every joint of the robot arm is frozen. The LSI-11 computer is designed for robot control only and lacks the software needed for stereotactic computation. A host computer (Data General Eclipse or DEC 11/34) is used to store the CAT-scan images and run the stereotactic software. The head of the patient is held by four stainless steel-tipped screw pins in a stereotactic frame attached to the scanner couch's sliding surface and supports 3 N-shaped locators. The X-Y coordinates of a target selected on an image are transformed into the robot's 3D coordinate system. The choice of an additional entry point leads to the determination of the trajectory on which the robot probe holder will align itself. The robot arm is used to guide burr hole drilling and to insert the probe, while the robot and effector is locked by clamping it with an external stand. This system is capable of placing probes to a cartesian coordinate precision of 0.05 mm. The designers of the PUMA system recognize that the PUMA 200 may not be the ideal candidate for stereotactic robotized surgery. They emphasize that their intention was to demonstrate the effectiveness of a robot in these procedures and the potential for robotic stereotaxy becoming the core of an integrated computerized system for delicate surgery. Even if their system has not been used routinely in clinical applications, their work was indeed pioneering^{43-45, 80}.

A.2. The Grenoble Stereotactic Robot System

1. Description of the System

The structure of the Grenoble Robot System is a logical consequence of the needs, difficulties, and frustrations which are experienced during the daily practice of stereotaxy applied to a wide variety of diseases, such as brain tumours, dyskinesias, pain and epilepsy. A list of prerequisites was used to outline the project, in a way similar to the Long Beach project. The system had to be:

- precise and reliable.

- capable of performing every kind of routine stereotactic procedure using the same frame and equipment.

- driven from various types of neuroradiological images (X-Rays, CT-Scan, MRI) from which the target would be defined with accuracy to less than one millimeter.

- safe, and permanently submissive to human control for safety reasons as well as for iterative corrections of the programmed trajectory.

- capable of including sub-routine softwares which could be applied to sophisticated but stereotyped procedures, such as thalamic approaches for thalamotomies or electrode implantations, based on simple radiological landmarks.

- subsequently integrated into a larger system, including if needed, other kinds of neuro-imaging as well as databases such as computer-resident atlases.

- a possible first step towards other therapeutic applications such as multibeam convergent irradiation and computer guided "open neurosurgery". In addition, the project needed to consider the development of user friendly human/computer interfaces, versatility towards future applications, and the maintenance of reasonable costs compatible with conventional hospital practice.

The system described here is as present in daily routine use at the Grenoble University Hospital; it comprises a robot physically linked to a stereotactic frame and driven by a computer according to target coordinates provided by a digitizing table. This basic system can be connected to a digitized angiography system, to the main computer of a MR imager through an Ethernet network, and to a central computer where these images are processed, 3 D pictures are generated and where the stereotactic atlas data are stored.

Till now, the Talairach frame has been used in Grenoble. It consists of a base plate holding four pilars bearing the verniers of the pins. Any other frame can be used provided that exact placement of the patient is possible and that the calibration and definition of forbidden areas, due to the external features of the frame, have been established for this particular frame. The frame is mounted on a rotating ring which allows complete examination of the ventricular system by X-rays in the supine, lateral and recumbent positions. The rotating system is itself mounted on a solid base firmly attached to the floor and to the base of the robot. The center of the frame is situated at the crossing point of the beams of two X-ray tubes permanently positioned at 3.5 meters from the frame, one on the ceiling of the operating room for antero-posterior views, the other on a wall of the room for lateral views.

The robot presently in use is a 6 axis robot, (Fig. 3) derived from a universal industrial robot^{3, 8, 9, 46} which has been specially redesigned to match the specific requirements of stereotaxy. Basically, it is designed to be slower, since speed is not necessary, and as precise as possible. Maximal

safety, which comes naturally from these two specifications has also been given special attention. The position encoders on each axis have been set up to 200,000 encoding pulses per turn, to achieve a resolution of 360° / $200,000 = 1.8 \ 10^{-3}$ degree. High reduction gears have been incorporated in order to reduce the maximal speed (about 0.02 turn/sec on each axis), and, most of all, to eliminate the risk of back-driving when the servocontrol and the power of the motors are cut off. The dimensions of the various parts of the robot are human-like and allow a comparable spatial field of action, in half a sphere around the head of a patient in the recumbent position. The robot is fixed on a solid base screwed on the floor. It has a trunk, shoulder, arm, elbow, forearm, wrist and hand and all parts are connected by six angular joints. The trunk of the robot, 30 cms high, can turn 270° around its vertical axis. The shoulder, which is at 100 cms above the floor (at the same height as the patient's head), holds the arm, which is 35 cms long and has 180° of liberty. The forearm, 45 cms long, is connected to the arm by the elbow which is allowed to turn over 270°, and is terminated by a wrist, made of 3 combined axes, having respectively 270°, 180° and 270° of freedom for the first, second and third axis. The end plate of the wrist is adaptable to specific tools or "hands", which can be designed for special tasks. At the present time, the fingers of the hand, which perform skills, are limited to a guide tube (Fig. 4), which can be



Fig. 4. The Grenoble system: General set-up. Robot in stand-by position

positioned to permit the introduction of a probe towards a target, as is usually the case during stereotaxy. Any other kind of "fingers" can easily be designed according to the requirements of the task needed. A micromanipulator can be mounted to drive microelectrodes during recording sessions in functional surgery. A calibration cage made of two pairs of parallel plexiglas plates, each containing 9 lead beads, can also be mounted on the end-plate at the time of calibration, which is the initial step in all procedures.

The most important feature conferring human likeness to this robot is its "brain", which mainly houses the software used to pilot the robot. This command module is an IBM PC/AT computer, in which a designated robot programming card has been inserted. Neurosurgeons usually work in the human brain as in a cartesian space, where points are represented by the coordinates x, y, z, and a linear trajectory by two points. For the robot, the spatial coordinates of a point are a set of six different angles and even a linear trajectory between two points corresponds to a set of six complicated functions of time for its six angles. The changer matrix is a central feature of the robot's driving program. The PC is loaded with a program file which contains a calibration file, a function translating the cartesian coordinates given by the operator either through the digitizing table or the mouse of the digitized angiography system into the six angle coordinates of the robot, a file of the spatial data describing the forbidden areas (the robot's trajectory to the final target must avoid certain areas containing obstacles such as frame superstructures), and subroutine files to achieve specific purposes (such as calculations of the thalamic approach for thalamotomies or electrode implantations using ventricular landmarks: anterior and posterior commissures, top of the thalamus, midline, laterality).

2. Data Acquisition

Feeding the robot's brain with the coordinates of these targets and trajectories can be achieved through different means. i) They can be keyed as numerical values on the computer keyboard. This method can be used for small displacements of the robot, which are needed to correct, or even change, the final position of the guide-tube. ii) They can also be digitized, using a digitizing table, from X-rays obtained during the stereotactic procedure. This method is usually used when the target and the primary trajectory are defined. iii) They can also be directly taken, using a "mouse" driven cursor, from the already digitized pictures provided by a digitized substraction angiography (DSA) system. iv) Finally, they can be taken from 3 D-reconstruction¹⁴ of a spatial target, either a space occupying lesion (such as a tumour, an abscess, a cyst, a haematoma), for biopsy or sampling or a normal structure (such as a thalamic nucleus) in the case of functional neurosurgery. At the present time, all data are fed into the command computer via the digitizing table, from X-rays taken during the procedure. These data are of two kinds:

- calibration data: the X-ray pictures taken with the calibration cage positioned by the robot around the head of the patient show the images of the lead beads which are digitized and their coordinates introduced into the data file.

- target data: the positions of the target, or targets, which are plotted on the same pictures, are also digitized.

This system is currently being replaced by a Digitized Substracted Angiography (DSA) system (Digital Design, Saphyr system) which is used to collect all neuroradiological images, display them on monitors, and store the coordinates of every point on the images in the central DSA memory. This last feature will by-pass the steps of taking X-rays, developing films and digitizing the points of interest. Using a mouse, it is possible to move a cursor onto the target point and to sample and store the coordinates of this point. The step of the digitizing table is therefore avoided. This has the advantages of time saving, higher precision, and future possibility of automated detection of such features as the lead beads, for example.

At the present time, the complete procedure consists of the following steps: under local or general anaesthesia, depending upon the type of planned stereotaxy, the patient is placed on the frame. The four pins of the frame are inserted into burr holes through the skull and the numbers on the pin-holding verniers are recorded.

a) Calibration

The first objective of the robot is to know where it is and where the patient held by the stereotactic frame is. To achieve this, a first subroutine has been written which tells the robot to place a calibrating cage around the head of the patient. This plexiglas cage looks like an open cubic box, the four sides surrounding the aperture each being implanted with nine X-ray opaque beads, the positions of which have been precisely measured. The calibration cage is mounted on the end-plate of the robot's hand and the initial step of the program orders the robot to place the cage around the patient's head. Once the cage is placed around the head, two X-rays (frontal antero-posterior and lateral transversal) (Fig. 5) are taken which show both the lead beads and the fiducial markers of the frame on the same images. The calibration method is derived from the 2-plane method used for camera calibration²⁴ applied to the robot model calibration⁵⁷ using a modified Denavit-Hartenberg model¹⁸, whose parameters were estimated using a non-linear least square algorithm. The beads appear on these films as two sets of nine dots, because of the parallax induced by the divergence of the



Fig. 5. The Grenoble system: the calibration cage as it appears on a lateral X-ray view: the images of the opaque beads do not superimpose due to the parallax

X-ray beams although the X-ray tubes are placed at a distance of 3.75 meters from the center of the head. When the 18 dots have been digitized, the robot software calculates both the position of the central X-ray beam and the parallax parameters. This parallax error is then automatically taken into account in all further calculations.

b) Projective Neuroradiology

This first step is followed by stereotactic projective neuroradiological examinations, including angiography and ventriculography. Angiography is performed whenever an invasive procedure is planned (biopsies, brachytherapy, cannula implantation or SEEG recording depth electrodes) except if the trajectory is systematically placed in a cortical area known (and confirmed by practice) to be avascular, such as the precoronal area used for thalamic approaches. Ventriculography is also performed in order to provide the contours and anatomical landmarks of the third ventricle on which the atlas^{60, 73} references are based and which are used to calculate the thalamic targets of functional neurosurgery. Third ventricular anatomy will also be used to coordinate the stereotactic and extra-stereotactic images, such as those obtained from CT-scan and MRI pictures, which, in our setup, are not vet possible in stereotactic conditions. In the case of a tumour, data from MRI are transfered onto the stereotactic ventriculogram and a biopsy track is chosen which is compatible with the vessels are shown on the angiograms. In the case of thalamic implantation, the target is drawn according to Guiot's diagram⁷⁰. In all cases, the landmarks used to define the target are digitized.

c) CT-scan

The Talairach frame does not have localizers designed for MRI or CTscan examinations in stereotactic conditions. Several solutions have been proposed to overcome this problem and to make use of the data from computerized imaging systems. When the CT-scan examination has been obtained under regular circumstances, there are several methods for extracting this information for stereotactic procedures. The simplest one. where vertical slice reconstruction is available, consists of displaying a sagittal pattern of the lesion on the scout-view. When this is enlarged to the scale of the lateral stereotactic X-ray view, superimposition of these two pictures allows the lesion profile obtained from the CT-scan to be reported in stereotactic coordinates. Nguyen et al.⁵⁰, have described another method when vertical slice reconstruction is not available; the head of the patient is regarded as a cube which is then sliced perpendicular to its frontal aspect. Therefore, any point on a given CT slice can be reported in the 3D space, according to its position distance on the slice from each side of the CT picture, and to its altitude as given by the number of the slice and the space distance between two adjacent slices. Pictures can therefore be redrawn as lateral and frontal projections of the skull and of the lesion and matched to the corresponding stereotactic X-rays. We use a similar and even simpler procedure. For CT-scans, location of the slices is recognized on the basis of remarkable features (such as bony structures on the base of the skull, ventricular landmarks, calcified pineal gland, and choroid plexuses, injected vessels, etc.). This helps to position the CT-slices on the lateral X-ray. The average ratio between the distance from anterior to posterior inner tables of the skull as measured on the stereotactic lateral and frontal X-rays and that on the corresponding CT-slices is calculated and provides the magnification ratio. The distance of the antero-posterior and lateral limits of space occupying lesions is measured on the CT-slices, multiplied by the magnification ratio, and reported on the stereotactic scheme to reconstruct the pattern of the lesion⁷. The superimposition of all these different types of information constructs a final pattern on which the target and trajectory are designed and calculated. The target, along with a second point, are digitized to define the trajectory. The resident computer software computes the six angle functions necessary to drive the robot from the stand-by to final positions, taking into account all forbidden places, such as the superstructures of the frame for instance.

d) MRI

1. The problem of magnetic components of the surgical tools: Since the Talairach frame is presently built using magnetic components, magnetic resonance stereotactic imaging can not be performed with this type of

frame. One must rely, therefore, on the adapters made for MRI. One can, however, reconstruct the lesion profile from the MRI images in a similar manner to that used for CT-scan. It is better to display sagittal MRI pictures enlarged at the same magnification as that of the stereotactic pictures and featuring a common landmark (cross of x-y calibration scale) allowing precisely matched superimposition of these sagittal parallel slices. The midline slice showing the third ventricle structures can be matched to the stereotactic ventriculograms. The lesion contours are then easily reported onto stereotactic maps.

2. Linearity of the MRI data: It is an often repeated statement that magnetic resonance imaging has in its basic principle the sin of non-linearity and that, as a consequence, a precise spatial localization cannot be expected from it^{59, 79}. This is actually incorrect⁶⁵. Spatial localization in MRI is a consequence of frequency coding of the space. This is achieved by applying a linear magnetic gradient which changes the main B_0 field of the magnet locally and therefore changes locally the resonance frequency of the protons in a space dependant manner. The linearity of the gradients on the 3 axes X, Y, and Z may not be properly tuned and the magnification may be different along these 3 directions. This is a matter of correctly tuning the system. In the same manner, incorrect tuning of the X and Y displays on the TV screen or on the photographic reprograph can lead to a distorted final picture.

The system has to be regularly checked and eventually corrected, using a calibrated phantom. The statement that MRI measurements are inaccurate due to distorsions can apply to whole body sections where it is certain that homogeneity of the magnetic field B_0 and linearity of the gradients are not correctly achieved near the walls of the gantry and also because of inhomogeneity and eddy currents. For a brain MRI examination, centered on the axis of the magnet, these distorsions can effectively be avoided. This fact needs to be stressed since MR imaging in the coming decade will be the principal source of spatial imaging of the brain and will be used for 3 D reconstruction as well as for designation of targets in the brain for computer assisted systems such as robots.

3. Transfer of data: With regard to the Talairach system, in its present commercial availability, its direct use in MRI systems is impossible. New methods of transfer of MRI data to the stereotactic space need to be designed. The easiest way is to enlarge the images at the scale of the stereotactic pictures and to match them on similarly visible features and anatomical structures.

Sedan et al.⁶², designed a TV based system which could pick up the MRI parasagittal views and redisplay them, using a variable gain along

the X and Y axis. This had the advantage of correcting the distortion of MRI images but was rather difficult to use and required special although inexpensive equipment. Recent MRI systems can actually display the pictures on the reprograph at any desired magnification. Provided that the MRI gradients are properly checked and adjusted if needed, stereotactic compatible MRI images are easily available if the neuroradiologist adds an identically positionned calibration grid to each picture. By superimposition of these grids, one may draw a composite picture featuring all relevant data, principally the inner contour of the skull, the coronal suture, the torcular depression, and the ventricular system, including the third ventricle, aqueduct of Sylvius and the 4th ventricle, as well as the rostrum and splenium of the corpus callosum, and sometimes the siphon of the carotid artery. All of these structures can also be drawn on a stereotactic diagram which can therefore be matched to the MRI scheme, and the data subsequently transfered. This procedure can be computerized.

3. General Procedure

Once the trajectory is computed, it is displayed and submitted to validation by the surgeon. Careful attention is paid to avoid any error. The difference between the recalculated and real positions of the lead beads is displayed and must be accepted by the operator before proceeding to the next step. When validation is given, the robot starts its approach. A few centimeters before reaching the final position, it stops and stands by. During this time, the robot arm and hand are dressed with a sterile plastic bag. A new validation is required and the end of the approach is made at reduced speed. If needed, the robot can be stopped instantly, at any time. X-ray controls allow verification of the current position, and if need be, correction can be made through a procedure of iterative small moves which can be triggered from the pilot computer keyboard. These moves can be performed in the cartesian space of the frame or in the six dimension angular space of the robot. Work is in progress to adjust the robot automatically to the final position via automatic detection of the image of the probe holder on digitized radiograms and comparison with the theoretical target. When the position is considered correct, the power of the command module is shut down, preventing any unexpected move of the robot during the last phase of the procedure. The robot holds its preachieved position without any possibility of change.

During the last phase, the skin is opened in front of the guide tube. A 2.3 mm hole is drilled into the skull through the guide tube and the appropriate tool (biopsy sampler, electrode, cannula, etc.) is inserted to the previously calculated depth while X-rays are taken to check the accuracy of the track (Fig. 6). For obvious security reasons during this experimental



Fig. 6. The Grenoble system: The end-plate with a biopsy-probe carrier

stage of the robot's development, all penetrating procedures, although they could be performed by the robot itself, are hand made. The robot is presently used only as a guide tube holder, in order to prevent any accidents while a probe is inside the brain.

At the end of the procedure, the inserted tool is hand withdrawn and the robot is reactivated. A final subroutine drives it back to its initial resting position.

In the absence of any resistance or stress, the precision of the robot essentially depends on the zone involved by the trajectory. In most of these operation zones, the precision (defined as the precision of execution after giving spatial coordinates of the target as well as the mean deviation during repetition tests) is about a tenth of a millimeter. In some special zones, such as the left anterior temporal or at the level of the vertex, the precision is much lower and iterative displacements of about 2 or 3 mm can be required. This is due to the joint positions which have to be taken by the robot in order to achieve the required trajectory. A new program card as well as additional calibration procedures and the above mentioned automatic feedback control will reduce these inaccuracies.

No definitive statement can be made concerning limitations and drawbacks since the robot is under permanent experimental investigation and improvement. At the present time, the procedure is still rather slow due to the extensive control steps followed for obvious ethical and safety reasons. Introduction of digitized radiography will significantly shorten the duration of these steps. Dangerous steps such as drilling the skull or introduction and advancement of a tool into the brain can theoretically be



Fig. 7. Parallax errors and X-ray aligned trajectories

performed by combined six axes movements of the robot. Strain gauges are needed in order to detect changes in resistance between successive structures (bone and brain for instance) or impact against an obstacle (vessel, bone or calcified lesion). However, it is safer to use a seventh axis driven by a linear motor. Work is currently being done on this problem by the Lausanne group with possible solutions in the near future.

4. Clinical Applications

During the first 148 months of use in the stereotactic operating room, since March 1st, 1989, 208 procedures have been performed, covering the whole range of routine applications encountered in current neurosurgical practice. For the last 160 of them, the surgical team was sufficiently trained to pilot the robot without the in-room assistance of robot technicians and computer programmers. This demonstrates the level of software development, already user friendly and compatible with routine clinical use without an overly apparent experimental appearance in the operating room. The patients were informed that a robot was used, that security procedures were set up which avoided any risk, and they were told the extent and limits of its action. In all cases, they were confident and considered the robot as a new and sophisticated tool designed to improve surgery. Use of the robot did not influence patient outcomes and did not introduce any additional risk during procedures. On the contrary, the increased precision of trajectories, and the enhancement of decision due to a larger freedom of choices, often made the procedure safer.

a) Tumour Biopsies (141 cases)

Over the last several years^{17, 48, 52}, preliminary stereotactic investigations of brain tumours has become increasingly popular not only for diagnostic purposes using serial biopsies, but also for spatial localization before conventional neurosurgery to debulk the tumour mass or before stereotactic implantation of isotope seeds or wires for interstitial brachytherapy. In these cases, the procedure chooses a target central to the tumour core and a safest trajectory depending on the brain structures and blood vessels surrounding the tumour. In our practice, we must often use a lateral approach and choose the trajectory according to the vessels as shown in stereotactic angiography. The point representing the lateral projection of the biopsy trajectory is digitized and its coordinates are therefore available to the robot central unit in the command module which computes and proposes a trajectory which, if validated by the neurosurgeon, is executed. The guide tube is set in a position which is checked by X-rays. Once the sub-routine "Lateral approach" has calculated from he X-ray image of the calibration cage beads the position of the central X-ray beam perpendicular to the faces of the cage and along which no parallax is induced, the program can calculate the parallax error for any point not situated on this central X-ray beam. This provides the robot with the data necessary to align the guide tube along the X-ray beam passing by the target point, with the advantage of creating a trajectory colinear to this X-ray beam which will have the same relationships with the surrounding structures, such as blood vessels, shown on the X-ray picture (Fig. 7). If needed, iterative displacements of the guide tube can be achieved via the keyboard of the driving computer which allows one to chose from a menu the amplitude (from 0 to 100 mm with a 0.1 mm precision) of the displacements, the x, y and z axis on which they are desired, and their speed (from 5% to 100% of the maximal speed).

In 116 patients, this lateral approach has been used to take 350 samples. In 25 patients, a double oblique trajectory (as described below) was used, mainly to reach tumours of the midline such as in the pineal region or in the third ventricle. In these cases, it is easy to choose the best trajectory, for example passing through a foramen of Monro and ending at the center of the cyst or tumour. Positioning of a ventriculoscope would also be significantly easier with the robot, particularly when several tracks are needed.

b) Stereo-electro-encephalographic (SEEG) Investigations of Epileptic Patients (14 cases)

Stereo-electro-encephalography (SEEG) consists of introducing into the brain of an epileptic patient several depth electrodes with 5 to 15 contacts in a row, at precise locations depending on the clinical features of the epileptic seizures and aimed at determining the extent of the epileptic focus and of its propagating paths, with a view to surgical excision^{2, 11, 51, 72}. These sites are chosen according to these clinical considerations as well as to the permitted areas based on the angiograms. They are digitized in the same way as for lateral biopsies and the guide tube is positioned by the robot as previously described. The robot has a special subroutine which provides the possibility to feed the program with all the desired sites of SEEG electrode implantation (usually 5 to 10, with sometimes 1 contralateral electrode) at the same time and of subsequently positioning the guide tube. As for biopsies, drilling the holes, insertion of holding screws through the guide, and insertion of the electrodes into the central hole of the screws are still performed manually. 14 patients underwent this procedure and had 107 electrodes inserted in this way.

c) Brachytherapy (5 cases)

Introduction of permanent ¹²⁵ I seeds or ¹⁹² Ir wires is very similar, in terms of stereotactic procedure, to biopsy sampling and has been performed 5

times in this series of robot-operated patients. ¹²⁵ I brachytherapy (3 patients) in our protocol is performed by permanent implantation of a single seed of 12.5 mCi of ¹²⁵I to deliver 60 Gys over a 35 mm diameter spherical surface in small low grade gliomas. Brachytherapy with ¹⁹² Ir is very similar to SEEG investigations as it requires the insertion of removable adjustable catheters^{4, 25, 67} and has been performed in 2 patients of this series (1 by lateral approach and 1 by oblique approach). The possibility to position the robot guide tube at any desired distance from the scalp makes this procedure, as well as SEEG electrode insertion, much easier than using the conventional grids of the Talairach frame which require the design of specific devices^{11, 51}.

d) Midline Stereotactic Neurosurgery

Oblique approaches are needed mainly when the target is in the midline area, either for biopsies of midline tumours (pineal gland, third ventricle area), or for functional surgery of the basal ganglia (Parkinson's disease, chronic pain).

We used this approach to treat 2 patients with deafferentation pain by chronic somatosensory thalamic stimulation and 34 patients suffering from dyskinesias (29 Parkinson's disease and 5 dyskinesias of other origins) by permanent thalamic VIM (ventral intermedius nucleus) stimulation. As the disease is often bilateral. 46 thalamic sites were implanted in this series of patients. The interest in using a robot is particularly well demonstrated in this type of procedure. The target, mainly the VIM nucleus in our dyskinesia patients^{5, 6, 70, 75}, is principally defined on the basis of anatomical landmarks (the anterior and posterior commissures of the third ventricle, the top of the thalamus and the midline) using a geometrical paradigm⁷⁰ and given lateralities⁷⁵. A subroutine has been written which computes the VIM spatial coordinates from these landmarks as digitized on the ventriculograms and then positions the robot. Control X-rays are taken with a mockprobe inserted into the guide tube against the skin and corrective displacements can be performed if needed. This saves a considerable amount of time, especially when bilateral electrodes are inserted. During the procedure, the exact target is precisely located using a first trajectory, based on the same landmarks, which crosses the VIM nucleus while recognizing its boundaries by semi-microelectrode recording. A further step in robotization of this procedure is in progress which will drive the electrode micromanipulator from the robot computer and will report the calculated position of the electrode tip on the ventriculogram as well as on stereotactic atlas maps.

e) Operative Complications

Only complications relevant to the use of the robot in the above described procedures are mentionned here. Surgical complications due to biopsy
sampling, electrode introduction, isotope implantation or deep brain stimulation, when they happen, as well as the therapeutic results of these procedures, cannot be attributed to the use of the robot. In this series of 113 patients, we did not observe any complication which would have been eventually induced by the use of a robot. Positioning was always possible as intended with the expected precision, in the limits of visual detectability in X-ray controls by the surgeon's eye. There was no displacement of the guide tube during penetrating procedures (nor any unwanted displacement during previous steps when the robot was not deactivated). In addition, there was no limitation in the execution of the intended program due to robot use itself.

It is important to note that during this clinical experience the robot was found to be as safe as conventional stereotactic procedures. Moreover, the flexibility of its positioning made the choice of trajectories easier and thus, the overall procedure safer.

5. Perspectives

This chapter describes the state of the art of robotics in our neurosurgical department as currently performed in the daily routine. Future perspectives which have recently been presented in the literature on robotics in medicine are applicable, and for some of them adaptation to our system is in progress. In general terms, future perspectives of neurosurgical robotics include solutions to the current problems of neurosurgery and stereotaxy, such as automatic adjustment of positioning, comparison with data-bases (including atlases), use of 3 D images, detection of the possible presence of vessels in a chosen trajectory. The links, inherent to robots, with powerful computers make these solutions now available.

a) Short Term Perspectives

1. Seventh axis: Penetration of the probe could be driven by the robot even in the present state of development. However, the robot's linear advance has not been refined to within millimetric precision, because the method used to generate linear advance involves iterative computation of a succession of points on a line. New software will allow the generation of a more linear trajectory, which could be used to drive a probe into the brain. However, another solution is more easily available. On the "wrist" of the robot, the sterilizable tool, which is at the present time a guide tube, can be replaced by a motorized tool, such as a drill or a micromanipulator used for the insertion of a biopsy sampler, an electrode, or a cannula. The final positioning of the probe can therefore be driven by the computer and integrated into the general software of the robot. This will be particularly interesting by providing feedback input on the spatial localization data of

A. L. BENABID et al.

the tip of the probe and its display on X-rays, operating diagrams, or stereotactic brain atlas maps.

2. Digitization and automated adjustment: Digitized X-ray images have already been used in stereotaxy⁵⁴. At the present time, the data are taken from X-rays (angiograms, ventriculograms) by manual digitization of landmarks on a digitizing table. A Digital Design image processor (Saphyr) has been set up in the operating room and processes the images taken by a fluoroscope (28 cms in diameter) and a Hamamatsu TV camera. The data provided on X-ray films and manually digitized are now directly available from the digital data bank of the image processor and can be sampled on the video display using a mouse driven cursor. An automated procedure is being set up to recognize the images of the calibration cage lead beads and perform the calibration step automatically. A similar approach is possible for detecting the image of the hole of the guide tube, comparing it with the designated position, and computing the necessary iterative displacements in order to adjust the final position of the guide tube exactly as desired.

3. Computer-resident atlas: This was among the first applications of computers to neurosurgery³⁰. The basal ganglia area of the Schaltenbrand atlas⁶⁰ has been digitized and the various anatomical structures have been named. An algorithm involving 3 D-spline functions has been designed¹⁴ and provides 3 D reconstruction of these structures. The planned or actual trajectories can be displayed on these maps as intersections of the track with every plate or as a recalculated plane including the whole track.

b) Middle Term Perspectives

1. Use of 3 D images: 3 D reconstruction algorithms¹⁴ have been successfully applied to MRI and CAT-scan images. Connection of the Image Processor of the operating room with the VAX computer of the Department of Computer Sciences will make possible the matching of these 3 D images with the stereotactic X-ray images in the operating room. The direct choice of the target from MRI and CAT-scan pictures of the pathological target will therefore be possible.

2. Detection of vascular impacts along a double oblique biopsy track: A further step is planned to recognize the blood vessels and detect the theoretical hit points between them and the intended trajectory. This will provide an aid to decision making. Current approaches to solve this important problem are detailed⁷ elsewhere^{13, 15, 63, 66, 77}.

Vascular impact on a biopsy track must have the same Z altitudes on frontal and lateral X-rays. However, intersection points between vessels

and the track on frontal and lateral views may suggest a false impact. Although this test leads to excess detection of such impacts, it is safe and must be complemented by an expert surgeon's analysis in order to distinguish false and true potential impacts. Any penetrating trajectory into the brain presents a high risk of vascular impact which is particularly important in the case of biopsies. Biopsy tracks which are aligned along the X-ray axes, i.e. either lateral or frontal approaches, provide the safest procedures, since it it possible to check on the corresponding X-ray images that the projected track which appears as a point does not correspond to any projection of a vessel. This is the most frequent circumstance in the Talairach system which is mainly set up for these orthogonal, frontal, or lateral approaches. In the case of double oblique approaches, the problem of vascular impact detection is not easy to solve. Despite the fact that CTguided stereotactic biopsies without angiographic control are becoming increasingly popular, the theoretical risk of hitting and damaging a vessel with the biopsy cannula persists as is demonstrated by occasional bleeding complications. Effective solutions have to be found and some of them have already been designed and used. The intrinsic features of the Talairach system have led Szikla et al.^{68, 69}, to design a routine procedure, proven to be efficient and quite simple to perform, which requires no computation but can easily be computerized and automated. Provided that the two Xray beams are orthogonal, a given point in the brain appears on X-rays as two couples of coordinates (x, z) on the frontal view and (y, z) on the lateral view, z being the same in both couples. Therefore, the projections of the intersection of a putative track with a vessel must have the same z value (as measured on X-ray films from the base plate of the frame) on both lateral and frontal planes. Obviously, the reciprocal is not true and it may happen that lateral and frontal intersections having a same z do not correspond to the same vessel. In this case, the decision between true and false potential impact is made by the surgeon's expertise which has proven to be satisfactory and is used daily in the routine practice of stereotacticians. This analysis can easily be computerized on digitized angiograms on which the theoretical track is projected by the computer which can calculate the coincidence on both projections of the intersections between the track and the vessels and display as hyper-brilliant points the intersections with similar z. These hyper-brilliant points will comprise not only the true but also those which are false potential impacts which, in a first phase, can be deleted by the surgeon's expertise.

A second method⁴⁶ is to compute and display on the two orthogonal views the theoretical trajectory and two extreme points K and L which will appear as (K', L') and (K'', L'') (Fig. 8). The intersection points between the vessels and the projected lines can be fully described using an index ranging from 0 to 1, 0 corresponding to K and 1 to L for instance. Every



Fig. 8. Oblique tracks and vascular impacts

true impact must have similar lateral and frontal index values. Obviously false impacts will be detected by this paradigm and will be deleted by the surgeon's "expert system" analysis as easily and efficiently as in the previous case. This is evidently less elegant than true impact detection without false positive points which can be expected from real 3 D reconstruction of the vascular network, but is also much easier and faster to perform.

- 3 D evaluation of vessel position by stereoscopic analysis (double incidence angiography):

A first approach is provided by the "floating line" concept¹⁵. A specially built stereocomparator features two movable lines on transparent grids, applied on the two stereoscopic angiograms and representing the projection on these tilted angiograms of a theoretical line in the brain space. Observation of this line through the stereocomparator allows the surgeon to check eventual impact of the line with vessels and eventually to change it.

The Talairach system provides another approach which has been used to advantage in routine practice⁶⁷ to recognize the in depth position of the vessels using small angle-double incidence angiograms (SADIA) taken under a 5° tilt angle, corresponding to the natural binocular angle. One may use a stereocomparator or, with some training, it is possible to squint and obtain a 3 D perception of the vascular network. One may also superimpose the two angiograms and try to make the vessels correspond. From experience, it appears that coincidence of the two images of the vessels is only possible for those which are in the same plane perpendicular to the X-ray axis. Slightly sliding the films one over the other will change this "coincidence plane" and display another array of vessels situated within it. This is easily used in daily routine to evaluate the depth of vessels projecting on an proposed trajectory. This can also be more formally computed as follows.

- attempt to design a paradigm for computerized 3 D vascular reconstruction:

Obviously, the above described approach can be formally demonstrated and could be used as a possible basis for 3 D reconstruction. If we consider lateral views taken as SADIAs, every point P of the brain is assigned a triplet of coordinates (x, y, z) in the brain space, a pair of coordinates (Y, Z) on the regular lateral view film, and (Y', Z') on the lateral view film of the 5° tilted head. Therefore, x corresponds to the "depth" of a point along an axis Ox perpendicular to the film plane. When the two films are superimposed with a given shift δ , with respect to an arbitrary reference (Y + δ = Y'), two sets of points belonging to the two films are placed in coincidence. Is there any relationship between δ and x? Is this relationship independent of y?

i) Coordinates of a point P in the referential of the X-ray system:

Any point P in the brain space can be described using either cartesian coordinates x, y, z or spherical coordinates ρ , α , θ where ρ is the modulus of the vector OP, α the angle between OP and the horizontal plane xOy, and θ the angle between OP and the vertical plane yOz.

$$\begin{aligned} \mathbf{x} &= \boldsymbol{\rho} \cdot \cos \boldsymbol{\alpha} \cdot \sin \boldsymbol{\theta} \\ \mathbf{y} &= \boldsymbol{\rho} \cdot \cos \boldsymbol{\alpha} \cdot \cos \boldsymbol{\theta} \\ \mathbf{z} &= \boldsymbol{\rho} \cdot \sin \boldsymbol{\alpha} \end{aligned}$$

If the frame is rotated along the body axis of the patient by an angle $\Delta \theta$ such as:

$$\theta' = \theta + \Delta \theta$$

then, the point P has in the X-ray referential the new coordinates x', y', z':

$$\begin{aligned} \mathbf{x}' &= \rho \cdot \cos \alpha \cdot \sin \theta' \\ \mathbf{y}' &= \rho \cdot \cos \alpha \cdot \cos \theta' \\ \mathbf{z}' &= \rho \cdot \sin \alpha = \mathbf{z} \end{aligned}$$

This can be expressed by a rotation matrix:

$$\begin{pmatrix} \mathbf{x}' \\ \mathbf{y}' \\ \mathbf{z}' \end{pmatrix} = \begin{pmatrix} \cos \Delta \theta & -\sin \Delta \theta & 0 \\ \sin \Delta \theta & \cos \Delta \theta & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} \mathbf{x} \\ \mathbf{y} \\ \mathbf{z} \end{pmatrix}$$

ii) Coordinates of projections of the point P on the X-ray film:

Due to the divergence of the X-ray beams, D being the distance from the X-ray tube to the center of the head and d the distance from this center to the X-ray film, the magnification coefficient G(x) of the X-ray pictures is:

$$G(x) = \frac{D+d}{D+x}$$

G(x) depends on the value of x, which changes when the head is tilted. Then:

$$Y = y \cdot \frac{D+d}{D+x}$$

There is a similar relationship between Z and z, even when x is changed into x', then:

$$\begin{pmatrix} \mathbf{Y} \\ \mathbf{Z} \end{pmatrix} = \begin{pmatrix} \mathbf{D} + \mathbf{d} \\ \overline{\mathbf{D} + \mathbf{x}} \end{pmatrix} \cdot \begin{pmatrix} \mathbf{y} \\ \mathbf{z} \end{pmatrix}$$

and

$$\begin{pmatrix} \mathbf{Y}' \\ \mathbf{Z}' \end{pmatrix} = \begin{pmatrix} \mathbf{D} + \mathbf{d} \\ \mathbf{D} + \mathbf{x}' \end{pmatrix} \cdot \begin{pmatrix} \mathbf{y}' \\ \mathbf{z}' \end{pmatrix}$$

iii) Relationship between x and δ :

When the films are superimposed, some structures, such as vessels can be matched on both films when there is a shift between the two films equal to δ :

**) Simple case of no magnification, with parallel x-rays: G(x) = 1 or dG(x)/dx = 0. Then:

$$\delta = y - y'$$

= $\rho \cdot \cos \alpha \cdot [\cos \theta' - \cos \theta]$
= $-2 \sin \frac{\Delta \theta}{2} \left[x \cdot \cos \frac{\Delta \theta}{2} + y \cdot \sin \frac{\Delta \theta}{2} \right]$
 $\Delta \theta = 5^{\circ}$

Let's call:

$$\mathbf{b} = \cos\frac{\Delta\theta}{2} = 0.999048$$

and

$$a = \sin \frac{\Delta \theta}{2} = 0.0436$$

Then:

$$\mathbf{x} = -\frac{1}{2\,\mathbf{a}\mathbf{b}}\cdot[\delta + \mathbf{y}\cdot\mathbf{a}^2]$$

and, in our set-up:

$$x = -12.5 \delta - 0.02 y$$

**) Regular case with non parallel x-rays, where magnification G(x) depends on x, i.e. $dG(x)/dx \neq 0$. Then:

One may show that (7):

$$x = -11.9 \delta - 0.04 y$$

The depth x can therefore be calculated, for all points of the film which are situated at the coordinate Y and coincident to their homologous projection on the tilted film when the shift is equal to δ . A paradigm can be derived from this procedure, in several steps:

1) Digitization of the regular angiogram, attributing a set of coordinates (Y_i, Z_i) to the points Pi of the vascular network projection.

2) Digitization of the tilted angiogram, attributing a new set of coordinates (Y'_i, Z'_i) to the points Pi of the vascular network projection.

3) Application to the set of coordinates (Y_i, Z_i) a shift δ along the Y axis and detection of the points Pi verifying the relationship:

 $(Y_i + \delta, Z_i) = (Y'_i, Z'_i).$

For these points, according to the previously demonstrated equations, once the corresponding values of x_i , and therefore of:

$$G(x) = \frac{D+d}{D+x}$$

are calculated, y_i and z_i may be calculated from Y_i and Z_i .

A complete set of coordinates (x_i, y_i, z_i) is therefore generated and, when displayed, provides a 3 D reconstruction of the vascular network.



Fig. 9. The Lausanne system: computer simulation of the stereotactic robot in the gantry of the CT-scanner. (With permission of the authors)

A.3. The Lausanne System (Fig. 9)

This system²² is mainly dedicated to the development of peripheral tools. Initially designed as a robot aimed at working in the gantry of a CATscanner, it can be considered also as a complement of an anthropomorphic robot which handles the surgical probes (drill, cannulae, electrodes, etc). To achieve its goals, its specifications include the following features:

- The whole stereotactic procedure is performed inside the CAT-scanner gantry.

- Subsequent CAT-scans can be performed during surgery without interference with the procedure.

- It is compatible with a non-dedicated CAT-scanner.

- The complete procedure, including skin incision, burrhole drilling and instrument changes, is made by the robot.

Prototypes of all the parts have been designed and are presently being tested in the laboratory. The stereotactic frame and the robot base are linked together in a completely rigid manner and move together with the CAT-table. This set-up is similar to that of the Kwoh-Young system. The robot has five units which include the arm, the wrist, the skin penetrating unit, the probe positioner, and the probe stocking unit. All probes have the same connecting system to fit the probe stocking unit. The combined functions of all units allow orientation along the stereotactic trajectory, as well as axial movements to penetrate the skin, drill the bone, and insert the probes. Safety is provided by manual reversibility in case of power failure. During the procedure, a cutting guide tube is initially advanced down to the bone. Through this are subsequently introduced a skin evacuator, a drill, a dura perforator, and then straight and side-outlet electrodes. A personal computer takes over the non-compressed data from the CATscanner. Target selection is performed on a larger computer housing a resident atlas, which generates and transmits the commands to the robot control unit.

B. Stereotactically Guided Open-field Surgical Robots

Two systems use robotized microscopes to position the optical axis, and the surgical approach to the lesion and even participate in the treatment phase.

B.1. The Mayo Clinic System (Fig.10)

This system is undoubtedly the first example of routine clinical application of an integrated computerized system bringing together CT and MRI imaging, target computation from 3 D reconstruction of the tumour, robotized command of a surgical tool (in the present case, a laser beam guided through a microscope), and image guided removal of the lesion. To over-



Fig. 10. The Mayo Clinic system: general set-up. (With permission of the authors)

come the neurosurgical inability to recognize the limits of tumours precisely as well as to maintain surgical orientation within an irregularly shaped and deep seated lesion, the authors of this system designed and used a stereotactically directed and computer monitored carbon dioxide laser for precision resection of CT-defined tumours located in 3D space by CT-scan and MRI performed in stereotactic conditions.

Within a 3D computer matrix, a 3D data base is constructed from stereotactically acquired CT and MRI images of the tumour limits. The data tapes are read into a Data General Eclipse S140 computer system located in the operating room where CT and MRI images are displayed on a Ramster raster scan terminal. An automatic intensity detection program as well as the use of the system cursor allows one to digitize the localization reference marks and multiple points along the margins of the tumour on each CT and MRI slice. A solid volume in stereotactic space is created and displayed using a shaded graphics program as a tumour volume or slice orthogonal to any surgical approach. The computer also places the center of the tumour at the focal point of the arc quadrant stereotactic frame by means of a motorized X, Y, Z slide. An operating microscope and laser micromanipulator box (optically coupled to a Sharplan 743 CO2 surgical laser) are attached to a carriage mounted on an double gimbled arc attached to the base of the frame. A digital readout system displays the distance of the microscope and laser focal points from the focal point of the stereotactic frame, measured by optical encoders and slices cut orthogonal to the surgical approach at the level of microscope/ laser focal points are also displayed on the terminal.

Trephination of the skull and opening of the cortex are therefore precisely directed towards the tumour core and possible displacements of the brain during the approach and removal of the tumour are checked using teleradiograms of metallic balls stereotactically placed along the track. The tumour is vaporized slice by slice using 65–85 Watts of defocused laser power in a continuous mode, from the surface to the deepest part of the tumour.

226 patients were reported in 1988³³ suffering from various types of brain tumours. In about 70% of the patients, post-operative controls did not show any residual tumour. In some cases, a subsequent stereotactic laser procedure accomplished apparent total removal. The majority of glioblastomas who underwent total removal had local recurrence within 3–11 months following surgery. Although this confirms that the prognosis of glioblastomas is not related to the extent of the surgical excision, the tremendous interest of the Mayo Clinic approach resides in the possibility of planning of total and image guided resection of a deep seated lesion. This had a determining impact on the development of robotic applications to neurosurgery⁴¹.

B.2. The Grenoble-Paris-Rennes Robotized Microscope (Fig. 11)

Using a similar approach to that of Kelly, we designed a robot-held microscope which is based on a triple arm parallel system, attached to the ceiling of the operating room and which is able to hold the operating microscope in a given position, to move this microscope either by finite displacements driven from a joystick, a pedal-set, or a keyboard, or to follow the operator's intention through strain-gauge equipped handles which are held by the surgeon. In this mode, the servomechanisms driving the microscope make a strain-speed conversion and the apparent weight and stiffness of the system are minimized. Since the encoders of the different joints of the robot know at every moment the exact position of the robot, this system is perfectly suited to be coupled to an image data base which would allow command of the position of the microscope from the brain 3D images and from lesion localization. At this stage, the system would resemble the Kelly system and would allow open field computer assisted neurosurgery. At the present time, the system is only servo-assisted for "zero-gravity like" handling.

C. Positioning Robots



Fig. 11. The G.P.R robot microscope holder

C.1. The "Neuronavigator"

An articulated neurosurgical navigation system using MRI and CT images has been designed and is now commercially available⁴². It is a six angle passively activated arm, attached to the Mayfield head holder and the finger tip of which can be passively positioned by the operator into a given site in the brain. The system has been previously calibrated by pin-pointing three anatomical features of the patient such as the nasion, and tragus. The computer is fed with the image data base corresponding to the operated patient. The software is able to recalculate the position of the finger tip and to display it on the CT or MR slices, enabling the neurosurgeon to know at any moment where he is in the brain space of his patient. This concept has already been used by Patrick Kelly who reports in his microscope visual field the recalculated theoretical image of the lesion at the level the surgeon is supposed to be operating on at any given moment. Such a system as the neuronavigator could obviously be of great help during surgical procedures in allowing the surgeon to control the extent and situation of his acts.

C.2. The Retractor Robot

In a similar manner, a retractor held by a robot has been recently designed by the Toronto group, aimed at positioning and controlling the applied pressure against the brain parenchyma.

III.2. Robots in Other Medical Fields

A. Ophthalmology

A micro-manipulator like system is designed to perform delicate procedures on the eye-ball⁷⁸. Micro-tools have also been designed but there is presently no feed-back to an image data bank which would allow the system to act intelligently with respect to knowledge of the spatial shape, size, or situation of a lesion or anatomical structure.

B. Robot Assisted Nursing Care

Although these systems belong more to the "domotic" domain than to the field of computer assisted neurosurgery, it is evident that robot machines capable of transfering patients from their bed to the operating table or to examination table, such as neuroradiology tables for instance, as well as helping rehabilitation teams or even compensating patients deficits, would be very useful. A study of the market and possible applications is currently being adressed in the literature and in scientific meetings (Biostim 90, Nice, June 1990).

IV. Future Applications and Science-fiction

IV.1. Open Surgery

The final goal of computer assisted surgery is to achieve intraoperative control of surgical tool position with respect to the pathological lesion, such as tumour. As a result of stereotactic investigations, the tumour is precisely located with respect to the fiducial markers of the frame. It is therefore possible to place the patient in an operating frame and take advantage of a surgical robot for the tasks of spatial localization and guiding the surgeon's tools during "open field" surgery. This is in the same perspective as Kelly's approach^{35, 36} which has already demonstrated the advantages of such a procedure^{28, 31, 32, 37, 41}. Intermediate solutions have also been reported to guide surgical trephination^{42, 56} or during the intracerebral procedure, using ultrasound localization^{10, 27, 47, 76}.

IV.2. Flexible Robots

The achievement of flexible robots capable of moving a snake-shaped body between a network of obstacles, keeping in memory the position of these obstacles is perfectly adapted to the goal of moving a clip into an anatomically complex environment, such as the surroundings of an aneurysm of the middle cerebral artery. This will allow deep and ill placed targets to be reached but also will call for the parallel development of vision systems to monitor what happens at the tip of the flexible robot which will usually be hidden from the direct sight of the neurosurgeon.

IV.3. Sensor Guided Robots

In addition to vision, which is needed to provide the neurosurgeon with the possibility to control the act being done, it can be imagined that the robot would need additional information to achieve its task. It would need to be fed with data about pressure, tissue resistance and stiffness, ultrasound reflectivity, electrical impedance, and so on. This also implies that the robot would be equipped with expert systems capable of processing these data and making decisions from them. Although this is probably not possible to day, we cannot assume that it will be still impossible tomorrow.

V. Discussion and Conclusion

The stereotactic robot should be considered as a first step in the more general approach of computer assisted medico-surgical procedures. The development of such a system opens the way to an integrated system linking image databases, theoretical reference databases (such as computer-resident stereotactic atlases), and surgical procedures, during either stereotactic or "open surgery". The long term goal is to build an interactive system allowing surgical procedures during which the surgeon knows at every moment where he is, what he is doing, and what structures his acts involve via a feedback mechanism in which the data banks are continually fed with new information gathered during the procedure.

The future of robotics in neurosurgery needs to be considered carefully. As the forthcoming development of this methodology accelerates and as industry becomes more and more interested in robot technology, the size of the market will stimulate progress in this field which in turn will open the way for new applications which cannot be performed at the present time due to technological limitations. All medical technologies have benefited from this kind of process. Recent improvements in endoscopic methods have permitted new neurosurgical applications, but are presently limited by the inaccessibility of deep structures. The increased dexterity provided by robotization will make possible what today is considered utopia. Related fields such as engineering, optics, biomaterials, artificial vision, and miniaturization will contribute to the conception and realization of flexible robots, holding sensors (ultra-sonic, barometric or visual) capable of driving themselves along winding trajectories amidst deep and fragile anatomical landscapes, such as the Sylvian fissures, the basal cisterns and the ventricular cavities, towards deep seated, small sized or even moving targets.

At every step of this evolution, the double imperatives of decreasing invasiveness and increasing precision and efficiency must be kept in mind. Although robot technology will push back the frontiers of the feasable, the time has not yet come for imposing the three laws of robotics stated by Isaac Asimov¹, which make a robot unable to harm a human being, obliged to obey human orders when they do not jeopardize the first law, and committed to protect itself as long as the first two laws are respected. Neither can a robot be heard asking why the surgeon bothered coming into the operating room. Despite the wildest neurosurgery-fiction fantasies, robots will be here for nothing more than helping and serving the surgeon.

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Aspects of the Medical Management in Aneurysmal Subarachnoid Hemorrhage

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Contents

| Introduction |
|---|
| Prevention of Rebleeding |
| I. Drug Administration 50 |
| II. Results of the Treatment 50 |
| III. Complications of the Treatment |
| IV. Conclusion |
| Prevention and Treatment of Cardiac Arrhythmias |
| I. Incidence |
| II. Description |
| III. Etiology 55 |
| IV. Prevention or Treatment 55 |
| Prevention and Treatment of Hyponatremia |
| I. Signs and Symptoms 56 |
| II. Etiology 57 |
| III. Treatment 58 |
| Prevention of Seizures and Epilepsy |
| I. Early Seizures |
| II. Late Epilepsy 61 |
| Prevention of Cerebral Arterial Vasospasm |
| I. Correction of Hypovolemia |
| II. Calcium Antagonists 64 |
| A. Pharmacology |
| B. Nimodipine |
| 1. Uncontrolled Prospective Studies 66 |
| a) Uncontrolled Single Centre Studies |
| b) Uncontrolled Multicentre Studies |
| 2. Placebo-Controlled Randomized Studies |
| a) Controlled Single Centre Studies |
| b) Controlled Multicentre Studies |

| J. | Ρ. | CASTEL |
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| 3. Discussion |
|--|
| C. Nicardipine |
| D. Other Calcium Antagonists |
| 1. Diltiazem |
| 2. Flunarizine |
| III. Other Drugs 76 |
| A. Nizofenone |
| B. Reserpine + Kanamycin |
| C. Anti-Thromboxane A2 Synthetase |
| D. Heparin |
| E. Dipyridamole |
| F. Ticlopidine |
| Treatment of Symptomatic Vasospasm |
| I. Hypervolemia and Hypertension |
| A. Hypervolemia |
| B. Hypertensive Hypervolemia |
| 1. Vasopressive Drugs |
| 2. Hypervolemia and Dopamine |
| 3. Hypertensive Hypervolemia |
| 4. Hypertension + Hypervolemia + Hemodilution |
| II. Vasoactive Drugs |
| A. Isoproterenol + Lidocaïne |
| B. Isoproterenol + Aminophylline |
| C. Aminophylline + Nitroprusside + Dopamine |
| D. Nimodipine |
| III. Naloxone |
| IV. Barbiturates |
| V. Steroids |
| A. Hydrocortisone in Large Doses |
| B. Dexamethasone, Betamethasone, Methylprednisolone 95 |
| Conclusions |
| References 97 |

Introduction

The severity of an aneurysmal subarachnoid hemorrhage (SAH) is not only related to the direct effect of the initial bleeding, but also to the harmful effects of a number of complications occurring in the first two weeks after the ictus. During this time, careful monitoring of the patient and some medical adjuncts can either prevent the occurrence or limit the effects of these complications. Among them, recurrent hemorrhage from the aneurysm and delayed cerebral ischemia are two major events that can dramatically affect the final outcome. Prevention of rebleeding is assured by the surgical exclusion of the aneurysm, whenever possible. Prevention of delayed cerebral ischemia can only be provided by a medical management either pre- or postoperatively. Various drugs have been tried and most of them abandoned becaused of lack of effect. Recent data suggest a role for that calcium antagonists in the prevention of cerebral ischemic complications. Despite early prevention, however, symptoms of cerebral ischemia can occur and a vigorous treatment is needed to reduce the risks of cerebral infarction. The main goal of this treatment has not changed over the years: improvement of cerebral perfusion pressure and thereby of cerebral blood flow.

This chapter documents and discusses some medical aspects of the management of a patient presenting with recent aneurysmal subarachnoid hemorrhage (SAH) and focuses on the complications occurring after SAH such as rebleeding, cardiac arrhythmias, hyponatremia, seizures, and delayed cerebral ischemia associated with vasospasm. All these complications are common, can be diagnosed readily with conventional methods, and a prophylactic or curative treatment is everywhere available. The literature from the past ten years has been reviewed. Deliberately, references are restricted to clinical trials or reports on new treatments proceeding sufficient data. Experimental studies are cited only when they appear to elucidate discussion or controversy.

Prevention of Rebleeding

Recurrent hemorrhage is a major complication generally occurring during the first two weeks after aneurysmal subarachnoid hemorrhage. Often fatal, recurrent bleeding may drastically alter the prognosis for an initially alert patient. Aoyagi and Hayakama (1984) claimed that after a second bleed an alert patient has the same prognosis as a patient admitted in coma after his first hemorrhage. Operative exclusion of the ruptured aneurysm is the best method to prevent recurrence of hemorrhage, but for some patients the optimal time for surgery remains uncertain, depending essentially on their clinical condition. Antifibrinolytic agents have been prescribed to reduce the risk of rebleeding in patients waiting for the operation. Originally, in the course of experimental electrically induced thrombi of the femoral artery of the dog, it was found that one life of thrombi was prolonged, when an antifibrinolytic agent (epsilon-aminocaproïc acid) was previously administered (Mullan et al. 1964). It was proposed that antifibrinolytic agents would inhibit the dissolution of blood clot around the fundus of an aneurysm. Since then, antifibrinolytic agents combined with bed rest, sedatives and antihypertensive drugs, have been proved to limit the rate of early rebleeding in patients who are not candidates for early repair of their ruptured intracranial aneurysm. Antifibrinolytic agents interfer with the fibrinolytic activity of the cerebrospinal fluid (CSF), which markedly increases during the first hours after the hemorrhage. Blood present in the CSF activates the conversion of plasminogen to plasmin,

J. P. CASTEL

inducing the lysis of the clot. Antifibrinolytic agents inhibit this conversion of plasminogen to plasmin and limit the direct effect of plasmin.

I. Drug Administration

Epsilon-aminocaproïc acid (EACA) and tranexamic acid (TEA) are the two antifibrinolytic agents commonly used in SAH. Aminocaproïc acid requires large doses to inhibit fibrinolysis. Oral administration of 24 g to 36 g per day provides the optimal antifibrinolytic effect (Lindsay 1987). Tranexamic acid (6g/day) should be administered intravenously to promptly provide an adequate serum level of 10 to 15 µg/ml (Fodstad et al. 1981). Tranexamic acid is ten times more potent than aminocaproïc acid. Both drugs have the ability to cross the blood-brain barrier. Corroboration of the antifibrinolytic effect of the treatment has been assessed by monitoring either the serum plasminogen concentration level in blood or the fibrin/fibrinogen degradation products in the CSF (Alvarez Garijo et al. 1980, Maurice-Williams et al. (1980), Fodstad et al. 1981, Sawaya et al. 1983, Burchiel et al. 1984, Vermeulen et al. 1985). In some patients who failed to respond to therapy, the recurrence of rebleeding was found to be correlated with a residual high plasminogen activity in the plasma (Burchiel et al. 1984), or high levels of fibrin/fibrinogen degradation product (FDP) in CSF at or above 16 µg/ml (Sawaya et al. 1983). However, the role of FDP in CSF in monitoring the antifibrinolytic activity was denied by Vermeulen et al. (1985). They measured FDP, plasminogen and total protein in the CSF of 48 patients who formed part of a multicentric trial on TEA. Despite the reduction in the incidence of rebleeding in patients treated with TEA, no difference in FDP levels was found in the CSF of patients randomly assigned to TEA treatment compared to the group of patients receiving placebo (Vermeulen et al. 1985). These authors suggested that "the presence of FDP's in the CSF reflects a damaged blood-CSF barrier rather than ongoing local fibrinolysis in the subarachnoid space".

II. Results of the Treatment

Almost simultaneously, Mullan and Dawley (1968) and Norlen and Thulin (1969) published the first clinical experience of antifibrinolytic therapy in aneurysmal SAH. These preliminary results were confirmed in a large series of 502 patients who had their proven rebleed rate reduced to 12.7% (Nibbelink *et al.* 1975). Since then, many favourable reports confirmed the favourable effect of antifibrinolytics in reducing the incidence of rebleeding.

Reviewing carefully the results of 25 published series, however, Ramirez-Lassepas (1981) did not accredit this general favourable opinion. Except in 1 out of 12, all the non-controlled studies were favourable to the drug, documenting reduction of the rate of rebleeding, whereas mortality seemed

uninfluenced by the treatment. By contrast, no effect of the treatment either on rebleeding or mortality rate was found in 6 of the 13 controlled trials. In two of these studies, recurrence of hemorrhage was twice that of controls, and in all of these studies except one the mortality figures were greater in the treated patients. Similarly, Lindsay (1987) also reviewed 14 uncontrolled studies and confirmed that all authors save one reported favourable results in which rebleeding rates ranged from 0% to 17.8%. In these studies the number of patients included varied from 4 to 1114. Among 17 controlled randomized or non-randomized studies. Lindsay (1987) showed that statistically significant reduction of rebleeding was associated with antifibrinolytic therapy in only six studies, mortality of the patients treated being significantly reduced in only two series. These results are disappointing: despite a potential role of antifibrinolytic therapy to prevent rebleeding. the mortality after aneurysmal SAH was not substantially reduced. In two large series (Kassell et al. 1984, Vermeulen et al. 1984), it was clearly demonstrated that, whereas the incidence of rebleed and mortality from rebleed were reduced by antifibrinolytic therapy, there was a dramatic concommitant increase in the incidence of cerebral ischemia and death due to cerebral infarction (see Table 1). In the International Cooperative Study on Timing of Aneurysmal Surgery, 672 patients were scheduled for delayed surgery, and 467 of them received EACA or TEA (Kassell et al. 1984). The rebleeding rate and the mortality from rebleeding were respectively

| | Kassell et al. 1984 | | Vermeulen et al. 1984 | |
|---|-------------------------------|----------------------------------|-------------------------------|----------------------------------|
| n Patients | Anti- fibrinolytics 467 | No-anti- fibrinolytics 205 | Anti- fibrinolytics 241 | No-anti- fibrinolytics 238 |
| Incidence of rebleeding Death from | 11.7% | 19.4% | 9.0% | 24.0% |
| rebleeding | 24.0% | 45.0% | 23.0% | 51.0% |
| Incidence of cerebral infarction Death from | 32.4% | 22.7% | 24.0% | 15.0% |
| cerebral infarction | 42.0% | 24.0% | 45.0% | 27.0% |

Table 1. Comparative Results of the Incidence and Death from Rebleeding or fromCerebral Infarction in Patients Treated With or Without Antifibrinolytics (Kassellet al. 1984, Vermeulen et al. 1984)

J. P. CASTEL

reduced by 39% and 46%. In the Dutch multicenter placebo-controlled randomized study, 479 patients were included and 241 were assigned to TEA treatment (Vermeulen *et al.* 1984). The rebleeding rate and the mortality from rebleeding were respectively reduced by 62% and 54%. Curiously, the death from rebleeding or from cerebral infarction was quite similar in both studies (see Table 1).

III. Complications of the Treatment

Complications due to treatment can occur during the period of the antifibrinolytic treatment with EACA or TEA. Some of these complications include:

— Antifibrinolytics can interfere with blood coagulation and may lead to increased venous thrombosis or pulmonary embolism. However, thromboembolic complications were not markedly increased in a series of 1114 patients analysed by Adams *et al.* 1981. Superficial venous thrombosis occurred in 4,7% of the patients, deep venous thrombosis in 1.2% and pulmonary embolism in 0.7% of the patients.

— Diarrhea and nausea were the commonest unpleasant gastro-intestinal symptoms reported (Adams *et al.* 1981), occurring in half of the patients treated with tranexamic acid.

— All patients receiving more than 24 g per day of aminocaproïc acid have their bleeding time prolonged ranging from 9 to 20 minutes (Glick *et al.* 1981). In all patients the other coagulation tests were within normal limits. The bleeding time returned to normal range within 48 hours of the end of the treatment.

— An increased incidence of communicating hydrocephalus has been observed with the administration of aminocaproïc acid (Park 1979). In 46 patients receiving EACA, hydrocephalus was observed in 43% of the patients and was clinically apparent in 31%. Conversely, in 48 patients not receiving the drug, hydrocephalus was present in only 17% of them, being symptomatic in 10%. This increase in the rate of hydrocephalus was confirmed in other studies but did not reach a significant statistical level (Kassell *et al.* 1984, Vermeulen *et al.* 1984). The production mechanism of cisternal blockade leading to hydrocephalus is still controversial but could be due to the loss of natural fibrinolysis due stopped by the action of EACA.

— Proximal limb and truncal myopathy has been reported on several occasions. Myopathy could be considered as a common complication of prolonged use of antifibrinolytic therapy, especially in patients receiving the treatment for more than 2 weeks and a total dose of greater than 500 g (Brown *et al.* 1982). Muscle biopsy at the onset of the symptoms has shown a necrotizing myopathy, suggested as due to intravascular coagulation or a direct effect of EACA acting as a lysine analogue on the sarcolemna.

— Experimental intravenous or intracisternal administration of aminocaproïc acid in cats resulted in extreme epileptiform changes in the electroencephalogram, and a marked rise in intracranial pressure and blood pressure (Yamaura *et al.* 1980). These experimental findings appeared to be a direct toxic effect of the drug not related to antifibrinolytic activity of the drug.

— Antifibrinolytic treatment undoubtedly increases the risk of cerebral ischemia (Fodstad *et al.* 1978, Ameen *et al.* 1981, Fodstad *et al.* 1981, Fodstad 1982, Kassell *et al.* 1984, Vermeulen *et al.* 1984). As the development of vasospasm is related to the persistence of blood in the CSF, it has been suggested that clearance of blood clots from around the cisterns is delayed by antifibrinolytics, thus leading to a prolonged exposure of the vessels to vasospastic substances. Furthermore, cerebral blood flow was significantly reduced in patients under tranexamic acid treatment, especially on the side of the ruptured aneurysm (Tsementsis *et al.* 1990). Even when the duration of the treatment has been shortened, no reduction in the rate of ischemic complications was reported in a prospective study carried on 119 patients receiving TEA 6 g/day for a maximum of 96 hours (Wijdicks *et al.* 1989). The incidence of cerebral ischemia and the rate of mortality from cerebral ischemia (see Table 1) were similar in the two largest series of the literature reviewing the effects of antifibrinolytics (Kassell *et al.* 1984, Vermeulen *et al.* 1984).

IV. Conclusion

Weir's conclusion (1987) accords with our own; antifibrinolytics have no place in the standard medical management of aneurysmal SAH. Whenever possible, early surgical exclusion of the ruptured aneurysm is one preferred management in prevention of rebleeding. There is no evidence of a beneficial effect of antifibrinolytic drugs, and there is convincing evidence of a potential increase in the incidence and severity of delayed cerebral ischemia for which there is no definite treatment. However, it must be stressed that there is at present no other medical therapy to prevent of aneurysm rebleeding (Adams 1987), and antifibrinolytic therapy may still be administered to some patients admitted within the first week after SAH who are not candidates for early surgery. The addition of calcium antagonists to TEA treatment has been reported by Beck *et al.* (1988) to limit the incidence of cerebral infarction, although these findings have not yet been confirmed by others.

Prevention and Treatment of Cardiac Arrhythmias

I. Incidence

Electrocardiographic (ECG) abnormalities are frequently seen in patients admitted early after subarachnoid hemorrhage. Rare cardiac arrhythmias may also be evident on admission, and some patients are initially admitted to a cardiological intensive care unit. Electrocardiographic changes associated with subarachnoid hemorrhage are not specific and can be associated with many other acute neurological lesions, trauma, meningitis, tumors or cerebral infarction (Marion *et al.* 1986). Comparing 406 patients with subarachnoid hemorrhage and 400 patients with intracranial tumor, the incidence of ECG abnormalities was significantly higher in subarachnoid hemorrhage (Rudehill *et al.* 1987) and approximated to 80%. A prospective study using ECG Holter monitoring initiated within 48 hours of SAH (Andreoli *et al.* 1987) in 70 patients revealed arrhythmias in 91% (64/70) judged as severe in 41% (29/70).

Cardiac arrhythmias are though to be a major cause of sudden death in the early days after subarachnoid hemorrhage, and indeed fatal arrhythmias have been well documented (Estañol *et al.* 1979). Cardiac arrhythmias may however be a less frequent occurrence than sudden respiratory arrest. Among 254 patients Hijdra *et al.* (1984) examined those who had a sudden loss of consciousness and found that within six hours of the first subarachnoid hemorrhage, 37 presented with respiratory disorders and only two had fatal cardiac arrhythmia. Nevertheless, the role of acute cardiac disease and/or ECG changes in the early mortality or final poor outcome after aneurysmal subarachnoid hemorrhage remains unknown (Hijdra *et al.* 1984, Tabbaa *et al.* 1987, Brouwers *et al.* 1989).

II. The Type of ECG Abnormality

Electrocardiographic abnormalities are either morphological or rhythmic in their nature and can be classified as following (Andreoli *et al.* 1987, Brouwers *et al.* 1989):

1A. Rhythmic ECG Changes: Cardiac Arrhythmias

1. Life-threatening ventricular arrhythmias: "torsade de pointe", ventricular flutter, ventricular fibrillation.

2. Serious ventricular tachyarrhythmias: ventricular premature complexes as defined in Lown classes 4 and 5 (class 4, successive ventricular premature complex [VPC] with couplets or salvo; class 5, ventricular tachycardia or early diastolic VPC).

3. Tachyarrhythmias: paroxysmal atrial fibrillation, supraventricular tachycardia.

4. Bradyarrhythmias: sino-atrial block, atrio-ventricular dissociation, idio-ventricular rhythm.

5. Nonsignificant arrhythmias: sporadic supraventricular complexes, VPC as defined in Lown classes 1, 2 and 3, sinusal bradycardia, sinusal tachycardia, sinusal arrhythmia.

1B. Morphological ECG Changes

1. Evidence of cardiac ischemia: ischemic S-T segment, ischemic inverted T wave, transient pathological Q wave.

2. Other abnormalities: Q-Tc interval prolongation, prominent U wave, large upright T wave, short P-R, peaked P.

Arrhythmias of groups 1—4 are considered as serious. Among morphological ECG changes, a prolonged Q-Tc interval can predispose to serious cardiac arrhythmias especially when it is associated with hypokalemia, or catecholamine release.

III. Etiology

The causes of morphological ECG changes induced by SAH are not fully understood, and frequently mimic myocardial ischemia without proven anatomical changes. In the most severe cases, however, structural myocardial damage has been documented (Doshi and Neil-Dwyer 1980, Marion et al. 1986, Brouwers et al. 1989). Elevation of cardiac enzymes occurs after SAH and can only be explained by the presence of such structural myocardial lesions as subendocardial ischemia, small hemorrhages, or necrosis of the myocardium. In experimental SAH, arrhythmias are seen immediately after a sudden elevation of intracranial pressure, whereas chronic intracranial hypertension induces bradycardia and does not produce ventricular arrhythmias. (Estañol et al. 1977). Increased serum catecholamine levels have been associated with ECG changes both in animals and man (Marion et al. 1986). High levels of catecholamines especially norepinephrine may result in myocardial damage either by elevation of the arterial blood pressure with left ventricular strain or by a direct toxic effect. Experimental data and clinicopathological findings support the hypothesis of structural damage of the hypothalamus as the cause of the increase in sympathetic tone and the "adrenergic storm" in the early hours after subarachnoid hemorrhage (Crompton 1963, Cruickshank et al. 1974, Doshi et al. 1980). A variety of later symptoms may occur with ECG anomalities such as hypokalemia, and gluco-corticoïd hypersecretion.

IV. Prevention or Treatment

Prophylactic administration of such autonomic blockers as propanolol has been advocated in patients suffering from SAH (Cruickshank *et al.* 1974, Neil-Dwyer *et al.* 1983, Marion *et al.* 1986, Neil-Dwyer *et al.* 1986). In a preliminary study, Cruickshank *et al.* (1975) found that ECG changes occurring in SAH were reversed by the administration of 80 mg of oral propanolol. More recently 51 patients operated on early after their aneurysmal rupture were included in a placebo controlled randomized trial to study the effects of propanolol on the final outcome. In the 23 patients receiving placebo, 11 (47%) had bad outcome at six months, compared with 2 (7%) of the 28 patients assigned to oral propanolol 80 mg, a significant difference. Although these findings are encouraging, it has not yet been demonstrated that acute cardiac arrhythmias, or morphologic ECG abnormalities with or without structural subendocardial lesions contribute significantly to morbidity and mortality in SAH. Prophylactic administration of sympathetic blockers remains controversial(Stober *et al.* 1988).

The clinician must beware of the cardiac symptoms and ECG abnormalities that can occur in SAH, and treat them appropriately. ECG monitoring or frequent intermittent ECG control is strongly recommended in the early days after SAH. In the absence of any previous cardiac dysfunction, the majority of the ECG abnormalities in SAH are detected within 48 hours after the admission. Only cardiac arrhythmias are to be treated vigorously, although extreme caution should be taken in the presence of prolonged Q-T interval. Propanolol may be the more appropriate treatment, and can be administered orally or intravenously, but in excess can induce prolonged and harmful hypotension. Esmolol chlorhydrate, a ß blocker with a quick and brief action, can be infused intravenously in case of supraventricular tachycardias. Long lasting arterial hypotension is less of a risk since stopping the infusion will rapidly reverse any blood pressure fall. In case of serious cardiac arrhythmias, consultant cardiological opinion should be sought preoperatively.

Prevention and Treatment of Hyponatremia

After aneurysmal subarachnoid hemorrhage, hyponatremia occurs with an incidence of 9 to 33% (Doczi *et al.* 1981, Wijdicks *et al.* 1985, Diringer 1988). It is never severe, the lowest serum sodium level reported has been 120 meq/l, and as a rule, the serum sodium concentration fluctuate between 125 and 130 meq/l (Joynt *et al.* 1965, Fox *et al.* 1971, Wise 1978, Takaku *et al.* 1979, Doczi *et al.* 1981, Nelson *et al.* 1981, Wijdicks *et al.* 1985, Weinand *et al.* 1989).

I. Signs and Symptoms

Generally, the first symptoms associated with hyponatremia are nonspecific, consisting of nausea, anorexia, emesis, muscle weakness, lethargy, seizures and confusion (Arieff *et al.* 1976). Patients usually became symptomatic when the serum sodium level was below 125 meq/l, seizures occurring with levels below 121 meq/l, and lethargy or coma occurring when the serum sodium level is below 115 to 118 meq/l. The severity of clinical deterioration is related first to the rapidity with which hyponatremia develops, and secondly to the level of the serum sodium. Symptoms are usually rapidly reversed by adequate rapid treatment.

Hyponatremia can make worse any critical neurological situation, but its exact role in clinical deterioration after aneurysmal subarachnoid hemorrhage has not been clearly documented. In a series of 134 consecutive patients with aneurysmal SAH, 44 patients developed hyponatremia with a serum sodium level below 135 meq/l (Wijdicks *et al.* 1985). Cerebral infarction occurred in 27 of these 44 patients with hyponatremia, while in the remaining 90 patients who did not develop hyponatremia only 19 had cerebral infarction. Twenty-six of the 44 patients with hyponatremia received fluid restriction, and the resulting cerebral infarction was supposed to be due to concomitant hypovolemia in 21 of them. Wijdicks *et al.* (1985) emphasized the harmful effect of dehydration in the management of patients with hyponatremia, and did not regard hyponatremia as the main cause of neurological deterioration. In other clinical reports, the rate of complications associated with hyponatremia has been lower (Diringer *et al.* 1988, Doczi *et al.* 1988, Hasan *et al.* 1989, Rosenfeld *et al.* 1989, Shimoda *et al.* 1989).

II. Etiology

Hyponatremia is associated with an inappropriate degree of natriuresis, and this syndrome has been attributed to an inappropriate secretion of anti-diuretic hormone (Lester and Nelson 1981). The syndrome of inappropriate secretion of antidiuretic hormone (SIADH) was described by Schwartz and Bartter (1957). The diagnostic criteria for SIADH are: hyponatremia < 135 meq/l for at least two consecutive days — serum hypo-osmolarity < 280 milliosmoles/kg — urinary sodium concentration > 25 meq/l — hyperosmolar urine — apparent normovolemia without osmodiuretic therapy in the absence of renal, adrenal, thyroid or cardiac disease. SIADH is non-specific and has been reported in various other pathological neurological conditions (Lester and Nelson 1981).

Nelson et al. (1981) denied the role of inappropriate secretion of the antidiuretic hormone, as they failed to find consistent elevation in the serum antidiuretic hormone concentration in a series of 12 patients with the required criteria of SIADH (10 patients with subarachnoid hemorrhage, and 2 with head injury). They recalled that in the early 1950's, worsening in the patient's neurological condition associated with hyponatremia was referred to as "cerebral salt wasting" (Cort 1954). This condition is markedly different from SIADH. In the "cerebral salt wasting syndrome" (CSWS), renal salt loss leads to progressive salt and extracellular volume depletion. This is an "inappropriate natriuresis" despite the depressed concentration of sodium in the serum, and hyponatremia is due to excessive salt loss. Conversely, in SIADH, water intoxication leads to an expanded and increased extracellular volume, and hyponatremia is due to serum sodium dilution. CSWS and SIADH should receive quite opposite form of treatment, in the former additional salt supply is necessary with correction of the vascular volume, and in the latter water restriction is the treatment of choice.

The exact cause of this natriuresis remains unknown. Nelson *et al.* (1981) first suggested "a yet undefined natriuretic factor in the brain" that

J. P. CASTEL

alone or with an alteration of renal innervation might be responsible for the inappropriate natriuresis. Recently, a new peptide, Atrial Natriuretic Factor (ANF), has been described (Goetz 1988, Ouirion 1988). ANF is synthesized either in the cardiac ventricles and atria rather than in the brain. There is some evidence that in patients with hyponatremia and excessive natriuresis, serum antidiuretic hormone level remains low (Nelson et al. 1984), and concomitantly plasma concentration of ANF is elevated (Diringer et al. 1989, Weinand et al. 1989). In subarachnoid hemorrhage, ANF in serum is increased two to seven times the normal range (Diringer et al. 1988, Diringer et al. 1989, Rosenfeld et al. 1989, Shimoda et al. 1989). This elevation lasts for 8 to 10 days or even more and progressively decreases. The relationship between ANF and natriuresis on the one hand and between ANF and ADH on the other hand are not clearly demonstrated (Diringer et al. 1989, Rosenfeld et al. 1989, Shimoda et al. 1989). It is proposed that a neural modulation of ANF release and the renal effects of ANF are related. At all event the exact role of this "inappropriate secretion of ANF" in the changes in serum electrolytes after SAH remains unclear. If this persistent excess of ANF is documented as the major factor of "cerebral salt-wasting syndrome", then, in the near future, ANF antagonist peptides may be developed to manage the condition.

III. Treatment

Nelson *et al.* (1981) suggested a pragmatic and less complex pathological cascade related to the inapropriate natriuresis: 1. initial progressive loss of sodium leads to volume depletion; 2. volume depletion is a strong stimulus for secretion of ADH; 3. excess in ADH secretion leads to water retention; 4. water retention along with progressive renal loss of sodium leads to hyponatremia. This may explain why some patients with aneurysmal sub-arachnoid hemorrhage and precessive adequate or inadequate medical management present with such a variety of electrolytic conditions associated to hyponatremia.

Correction of hyponatremia may require both fluid restriction, salt supplements and correction of hypovolemia. Fluid restriction should be avoided as the major treatment of hyponatremia in subarachnoid hemorrhage, leading consistently to hypovolemia and subsequent cerebral ischemia (Wijdicks *et al.* 1985). Furthermore, fluid restriction is too slow in the correction of hyponatremia, and it is difficult to apply in patients requiring intravenous fluid intake for medication or parenteral nutrition. On the contrary, correction of hypovolemia is essential in the management of hyponatremia. With the infusion of colloids, particularly balanced salt solutions, hypovolemic dehydration and hyponatremia can simultaneously be corrected. Additionally, daily fluid intake should be kept higher than 2000 ml given either orally or intravenously (isotonic saline).

Increase in sodium intake can be provided either by oral or intravenous administration of sodium chloride. Oral prescription is commonly used, if needs be through a nasogastric tube in a comatose patient. Intravenous intake is limited by the fact that only isotonic solutions are accepted, hypertonic saline should only be used in severe cases when serum sodium level is below 115 mosml. To avoid serious necrotizing effects on the peripheral veins, hypertonic solution should be administered via a central venous catheter. To limit the renal sodium loss, low doses of salidiuretics have been used but this can lead to a dangerous increase in salt wasting and uncontrolled dehydration. Decaux et al. (1982) advocated the use of urea and increased sodium intake as an alternative to the urgent correction of hyponatremia in SIADH. Reeder and Harbaugh (1989) reported their favourable clinical experience in 48 patients. This treatment was started when the serum sodium level dropped to 132 mmol or less, with an intravenous injection of 40 mg of urea in 100 or 150 milliliters of isotonic saline. Isotonic saline 60 to 100 ml/hour was then infused intravenously, urea infusion repeated every 48 hours. With this treatment, correction of hyponatremia occurs likely within 24 hours.

Prevention of hyponatremia is achieved with control of the sodium and fluid balance. Fludrocortisone has been recommended in the prevention of a negative sodium balance (excessive natriuresis) associated with a negative fluid balance and a plasma volume depletion (Hasan *et al.* 1989). In a randomized multicenter trial, it was found that fludrocortisone administered to 46 of 91 patients with SAH significantly reduced the natriuresis during the first 6 days. The reduction of negative sodium balance resulting from the fludrocortisone administration tended to limit the decrease in plasma volume, although the difference was not statistically significant (Hasan *et al.* 1989). Fludrocortisone was administered intravenously or orally, 400 μ g/day in divided doses, for a maximum duration of 12 days. During the treatment, fluid intake was maintained at 3 liters per day either orally or intravenously.

Should hyponatremia always be vigorously corrected or not? The answer is no when hyponatremia is found in the first days after SAH. It may be due to a previous renal or hormonal dysregulation, and is well tolerated. The answer is again no in the absence of specific neurological symptoms¹. Hyponatremia occurring during the first week after an aneurysmal subarachnoid hemorrhage should be considered as a symptom of nonspecific dysregulation of sodium balance. Nevertheless, the serum sodium concentration should be assessed thoroughly during the first 10 days post-SAH. If the serum sodium level decreases below 122 to 125 meq/l, or if any neurological deterioration occurs, then hyponatremia should be promptly corrected.

J. P. CASTEL

Prevention of Seizures and Epilepsy

Epileptic seizures can occur after aneurysmal SAH, either at the onset of the hemorrhage, or later during the postoperative period. The overall incidence of epileptic seizures after SAH is reported to range between 10 to 26% of the patients (Hart et al. 1981). The aim of prophylactic treatment with anticonvulsants is to prevent maturation of the epileptic focus or to prevent early or delayed recurrence of the fits. However, the value of preventive anticonvulsant therapy given to patients with aneurysmal subarachnoid hemorrhage is uncertain, mainly because the seizures occurring after SAH are related to different etiological mechanisms depending on the time of their occurrence. Seizures occurring early after the initial hemorrhage (at the onset or within the first 24 hours or days after SAH) are considered as an epiphenomenon of the acute brain stress following the aneurysm bleeding. Conventionally, they are designated as "early seizures". Seizures, especially recurrent seizures occurring one month or later after SAH, are considered the clinical expression of permanent brain damage with an epileptic focus, and are properly described as "late epilepsy".

I. Early Seizures

"Early seizures" occur within hours or days after the initial hemorrhage. They occurred at the onset of the hemorrhage, in a recent prospective study, in 19% of the patients (Hart *et al.* 1981). The seizures are usually brief and generalized accompanied by loss of consciousness and incontinence. Status epilepticus rarely occurs. Twenty percent of recurrent bleeding episodes were associated with seizures within 12 hours (Hart *et al.* 1981). The findings support the view that hemorrhage induces the epileptic activity. Early seizures do not indicate a likelihood of late epilepsy, and are not useful predictors of outcome, except in their tight relationship with recurrent hemorrhage.

Prophylactic treatment of "early seizures" remains controversial because of their low incidence, and their relationship with rebleeding episodes. Nevertheless, a patient presenting with early seizures should be given anticonvulsants, at least transiently, even if only to prevent early recurrence of the fits. A rapid serum concentration level consistent with clinical efficiency is best achieved with intravenous administration. Traditionally, intravenous phenytoin 250 mg twice a day is administered initially, with a further oral prescription of 100 mg three times a day. Clonazepam 1 mg to 2 mg/day, a benzodiazepine derivative, can be infused intravenously, after a direct intravenous injection of a 0.5 mg to 1 mg loading dose. Clonazepam is as effective as phenytoin, with possibly less adverse effects.

II. Late Epilepsy

A patient presenting seizures one month or more after the aneurysm rupture, whereas apparently stable after his operation, is a risk of post-SAH "late epilepsy". From the combined results of five large series of aneurysms operated (a total of 1772 patients), the rate of postoperative epilepsy ranged between 1% and 7% (Sbeih *et al.* 1986). In another series of 177 patients operated on for a ruptured aneurysm, late seizures occurred in 14% (25/ 177), and seizures were recurrent in 12% (21/177) (Keränen *et al.* 1985). In their personal series of 100 patients who survived their aneurysm operation, Sbeih *et al.* (1986) found only 3 patients who developed late epilepsy and stated that the risk of postoperative epilepsy seemed to have declined, perhaps as the result of technical improvement in the microsurgical approach and anesthetic management. In conservatively treated patients with cerebral aneurysms, Winn *et al.* (1978) found seizure disorders in less than 10% of the patients.

Some risk factors have been identified for postoperative epilepsy after aneurysm clipping: presence of an intracerebral hematoma, large brain resection, prolonged medial temporal retraction, persistent major postoperative deficit. All these factors indicate permanent parenchymal brain damage evolving toward an epileptic focus. Aneurysms located on the middle cerebral artery have also been shown to carry a higher risk of late epilepsy than other aneurysmal locations. Chronic alcoholism is another factor of risk, from the lower epileptic threshold in such patients. Additionally, craniotomy and surgical exposure may combine their effects with the initial cerebral lesion associated with the subarachnoid hemorrhage in the production of brain damage to a possible late focus.

Double-blind trials on phenytoin prophylaxis either in head injury or in craniotomies failed to show an effect in preventing epilepsy (North *et al.* 1983, Young *et al.* 1983). The overall incidence of late epilepsy after aneurysmal SAH or after craniotomy is extremely low, making difficult valid conclusions about the lack of effect of a prophylactic drug different. More than 90% of the patients have a low risk profile for "late epilepsy". So, the low incidence of late epilepsy after SAH should be stressed more than the hypothetical benefit of prophylaxis and the use of an undue and long-lasting prescription of anticonvulsants abandoned.

After aneurysm operation, prophylactic anticonvulsants should be given only to patients who are at a high risk of developing late epilepsy. The following scale is indicative: a patient who accumulates more than 5 to 6 points should have anticonvulsant prophylaxis.

| Permanent neurological deficit | 4 points |
|---------------------------------------|----------|
| Intracerebral hemorrhage | 3 points |
| Prolonged unconsciousness (> 2 weeks) | 2 points |

| Multiple cerebral infarcts | 2 points |
|------------------------------------|----------|
| Surgical brain trauma or resection | 1 point |
| Middle cerebral artery Aneurysm | 1 point |
| Chronic alcoholism | 1 point |

Prevention of Cerebral Arterial Vasospasm

According to Wilkins (1986), cerebral arterial vasospasm after SAH is categorised by 1. an angiographically evident focal or diffuse narrowing of the lumen of the major intracranial arteries: 2. a delayed neurological deterioration thought to be due to cerebral ischemia; 3. the combination of arterial vasospasm and the symptoms of a delayed cerebral ischemia. The aim of a prophylaxis is to prevent the development of the arterial narrowing and to decrease the risk of cerebral ischemia or to limit the extension of subsequent cerebral infarction. The pathogenesis of cerebral vasospasm is not yet clearly understood. The release of vasoactive substances from the subarachnoid clot is thought to be one of the major factor of the arterial narrowing. Recently attention has been focused on the participation of arterial endothelial damage to the persistent cerebral arterial narrowing with the subsequent effects on the cerebral circulation (Kassell et al. 1985, Bevan and Bevan 1988). Furthermore, the reduction in the circulating blood volume which spontaneously occurs in patients after SAH, can proportionally impair the cerebral circulation.

Prevention of cerebral vasospasm can be attempted by restoring or elevating the circulating blood volume and by the early administration of specific drugs. Specific drugs to protect from cerebral ischemia are still under experimental research, but many agents have been tested in clinical practice to prevent or to limit the extension of arterial constriction (Wilkins 1986). Since the 80's, the use of calcium antagonists has been proposed with both experimental and clinical support, and special clinical interest has been focussed on nimodipine.

I. Correction of Hypovolemia

A. Incidence

Hypovolemia occurs spontaneously in patients presenting with aneurysmal subarachnoid hemorrhage. This decrease in total blood volume (i.e., red cell volume + plasma volume) was first measured in patients by Maroon and Nelson (1979), using radio-isotope labeled erythrocytes and albumin. In a series of 15 patients, they found that the total blood volume was reduced by 17%, equivalent to 850 milliliters loss of blood volume in a

patient weighting 70 kilograms. Hypovolemia in SAH was confirmed later by Solomon *et al.* (1984) in 35 patients. An abnormally low total blood volume and a relative deficit of the red blood cells volume affected respectively 46% and 65% of the patients, and females presented more frequently with hypovolemia.

B. Etiology

The exact cause of hypovolemia in SAH remains unknown and may well be multifactorial. In a normal individual, prolonged bed rest is associated after 10 days with decreased in the red cell volume of 140 to 215 ml. At the same time, plasma volume can be decreased as much as 500 ml. Excess fluid loss (due to hyperthermia, vomiting, enhanced natriuresis, diuretics) associated with decrease in fluid intake (fluid restriction, gastric intolerance, prolonged fasting) contribute to hypovolemia. Daily blood loss for sampling, and elevation of the head of the bed are also contributory factors.

Hypovolemia is considered as an important factor contributing to the development of cerebral ischemia in the presence of vasospasm (Maroon and Nelson 1979, Solomon et al. 1984, Wijdicks et al. 1985, Wilkins 1986). In a group of 46 patients, an increase in the daily fluid intake (minimum 3 1 per day) significantly decreased the occurrence of cerebral ischemia after SAH compared with a group of patients treated with fluid restriction (Hasan et al. 1989). When cerebral autoregulation is intact, cerebral blood flow (CBF) is relatively independent of physiological variations in perfusion pressure. If autoregulation is altered as it is in SAH, then CBF becomes dependent on systemic hemodynamic conditions. In animal experiments, staged hypovolemia without arterial hypotension caused successive decrements in cardiac output and CBF (Davis and Sundt 1980). At the end of the procedure, the cardiac output was reduced by 32% and the CBF by 24%. This can be termed "a coupled response of cardiac output and CBF to hypovolemia" (Yamakami et al. 1987). In the presence of intact autoregulation, CBF was not increased by the elevation of cardiac output (Davis and Sundt 1980). Excess use of antihypertensive drugs with hypovolemia will further lower cerebral perfusion pressure and thereby critically decrease the CBF.

C. Diagnosis and Treatment

The diagnosis of hypovolemia is not easy to confirm. A blood pressure is not an early indicator of hypovolemia. Changes in hematocrit and hemoglobin are not immediately present. Isotopic measurement of the total blood volume is the best way to confirm depletion of the circulating blood volume, but this procedure is not widely available. Measurement of central venous pressure via an intravenous catheter is generally used to estimate the volume
of the circulating blood. Normally, the central venous pressure averages of 5 to 6 mmHg, and volume correction should be achieved when central venous pressure maintains this normal level. However, patients can frequently be managed without central venous pressure monitoring. With the patient in a recumbent position, careful appraisal of the volume of visible veins on the limbs or the external jugular veins can give an approximate indication of changes in the central venous pressure.

Overcorrection of hypovolemia leads to hemodilution, a condition that is not always beneficial for the brain circulation (Hino et al. 1989). However, an experimental study has suggested that decrease in blood viscosity accounted directly for a favorable increase in cardiac output and CBF after volume expansion by plasma infusion (Wood et al. 1984). After SAH, impaired cerebrovascular resistance and impairment of the blood-brain barrier may lead to brain edema, increased intracranial pressure and thereby further reduction of the cerebral perfusion pressure (Voldby et al. 1985). Prophylactic hypertensive hypervolemia has been proposed by Solomon et al. (1988) to reduce the postoperative incidence of delayed cerebral ischemia after early surgery. Fifty-six consecutive patients were successfully treated with prophylactic volume expansion therapy and hypertension for 14 days, with a central venous pressure or a Swan-Ganz catheter. Ten patients (18%) had delayed cerebral ischemia resulting in 3 permanent deficits and 1 death. A single case of hemorrhagic shock with cardiac arrest due to an inadvertant disconnection of the central venous catheter was reported as the only complication of the treatment. Tanabe et al. (1988) studied the prophylactic effect of hypervolemic therapy without hypertension in the postoperative period, and found a 75% reduction of the incidence of cerebral ischemia.

All patients during the first two weeks after their SAH can develop spontaneous hypovolemia. Correction of this hypovolemia should be carried out as early as possible to be effective in prevention of ischemic complications from the cerebral vasospasm. Overcorrection of the hypovolemia can be harmful. In the standard management of SAH, dehydration from fluid restriction or diuretic administration should be discarded and a normal fluid intake should be maintained up to 21 per day, either orally or intravenously. In addition, the use of antihypertensive drugs to control arterial hypertension must be extremely careful. The control of central venous pressure is virtually essential to achieve perfect volume correction.

II. Calcium Antagonists

A. Pharmacology

The therapeutic value of calcium antagonists was discovered in 1963 and their complete history has been reviewed by Fleckenstein (1983) who is regarded as the "father" of calcium antagonists. Calcium antagonists can affect the movement and the binding of calcium. Calcium antagonists decrease the transmembranal influx of Ca⁺⁺ during Phase 2 depolarization of the cell membrane. Thus, the amount of intracellular Ca⁺⁺ available for activation is restricted. Ca⁺⁺ channels (or V.O.C. for voltage operated channel) are voltage dependent. Among the three classes of VOC described (T for Transient or fast, L for Late or slow, N for Neuronal), only the opened type L channels are affected by calcium antagonists. The most prominent hemodynamic action or the calcium antagonists is to lower the arterial blood pressure in reducing the systemic vascular resistance. A common pharmacokinetic characteristic of all calcium antagonists is an extensive first pass hepatic extraction after oral administration, a high clearance, and an extensive binding to plasma proteins. With a such high clearance (half-lives are 2 or 5 hours), multiple daily doses are required to achieve a steady state plasma concentration (Abenerthy and Schwartz 1988).

The pharmacological effect of a calcium antagonist depends on its selectivity for different tissue slow channels. Using the classification proposed by Singh (1986), calcium antagonists can be classified as Type I drugs that include phenylalkylamines (as verapamil) and benzothiazepines (as diltiazem) and their structural analogues, and Type II drugs that comprise the phenyldihydropyridines as nifedipine and its structural analogues nimodipine, or nicardipine. Calcium antagonists have more affinity with some site or other. Type II drugs are potent peripheral arterial dilators with less negative inotropic effects than Type I drugs. Among dihydropyridines, special attention has been focused on the selectivity of nimodipine for the cerebral arteries, which was higher than that of nifedipine or nicardipine (Kazda 1985).

B. Nimodipine

Nimodipine is a lipid-soluble 1,4-dihydropyridine derivative with cerebrovascular selectivity demonstrated in isolated vessels and in experiments on intact animals (Kazda and Towart 1981). Nimodipine can pass the bloodbrain barrier and selectively block the influx of calcium to cerebrovascular smooth-muscle cells. Nimodipine can be administered either by intravenous or oral route. For intravenous infusion, nimodipine is presented in vials containing 10 mg in 50 ml with a special poly-ethylene catheter for the intravenous injection. The vehicle contains 23.7 volume percent of alcohol (10 g in a 50 ml solution). Intravenous recommended dosage is 2 mg/hour. Oral nimodipine is available in tablets containing 30 mg. The oral recommended dosage is 60 mg or 2 tablets every four hours. Pharmacokinetics of the drug have been studied either in volunteers and in patients (Rämsch *et al.* 1985, Vinge *et al.* 1988). Mean serum concentration is expected to average 27 ± 2 ng/ml after 8 hours of intravenous infusion. One hour after each oral dose, the peak plasma concentration averaged 13.2 ng/ml. In some clinical trial, nimodipine serum level concentration has been assessed (Allen *et al.* 1983, Auer 1984, Säveland *et al.* 1986). No major side effects have been reported with the use of nimodipine. The presence of large amounts of alcohol in the vehicle has been criticized, but no direct side effects have been documented. To avoid any arterial hypotension at the onset of the treatment, it is recommended to monitor and correct the patient's blood circulating volume. The duration of the treatment is usually 14 to 21 days. The treatment with intravenous nimodipine 2 mg/hour costs approximately 110 Francs per day (or 16–18 US Dollars).

Harper et al. (1981) reported the effect of nimodipine on the cerebral circulation in primate where continuous intravenous infusion of 2µg/kg/ min of nimodipine caused a modest fall in the mean arterial pressure and gradually increased cerebral blood flow by 27% with no significant effect on cerebral metabolism. These experimental findings were largely confirmed later, indicating nimodipine as possibly the ideal drug in case of cerebral ischemia or arterial spasm. Allen et al. (1983) reported the results of nimodipine in the prevention of cerebral arterial spasm in patients with subarachnoid hemorrhage. In this study the first multicentre placebo controlled randomized clinical trial on nimodipine, of a total group of 125 patients, 56 patients received orally 20 to 30 mg of nimodipine every four hours, 60 patients were given placebo and 9 patients were excluded from the analysis for protocol violations. Cerebral ischemia occurred in 13 patients treated with nimodipine, and in 16 patients in the placebo group. At the end of the treatment 5 severe neurological deficits and 3 deaths occurred in the 60 patients given placebo, but only one death attributed to cerebral ischemia in the 56 given nimodipine. This study has been criticized because of lack of data concerning final outcome, the surgical procedure, however the rate of non-ischemic complications. Following these favourable preliminary results, clinical trials of nimodipine have been carried out in some European centers, well-known for their expertise in aneurysmal surgery. Most of these prospective studies were "uncontrolled" offering no comparison with a group of patients who did not received the drug (see Table 2). In other "controlled" studies, patients were randomly assigned to the drug or to a placebo (see Table 3). All the important published trials on nimodipine will now be summarized as briefly as possible (see also Tables 2 and 3).

1. Uncontrolled Prospective Studies

1-a) Uncontrolled Single Centre Studies

Auer (1983) reported a short series of 31 patients operated only early and prophylactically treated with intravenous (1 to 2 mg/hour) and then oral

 $(4 \times 60 \text{ mg per day})$ nimodipine. No ischemic complication occurred postoperatively. In 6 cases (19%), unfavourable results were attributed to surgical complications.

Auer (1984) selected 65 patients out of 97 for early surgery. Nimodipine treatment was administered intravenously (1-2-mg/hour) and then orally $(4 \times 60 \text{ mg} \text{ per day})$. Postoperative complications occurred in 28 patients and were attributed to reversible or irreversible delayed ischemic symptoms in 4 cases (6.1%) and to surgical complications in 10 patients (15.3%). The conclusion was that nimodipine reduced the management complications and improved the outcome, especially reducing ischemic lesions from symptomatic vasospasm.

Ljunggren *et al.* (1984) presented postoperative results in a series of 60 patients grade 1 to 3 (Hunt and Hess). All patients were operated on early and received prophylactic nimodopine intravenously (2 mg/hour) and then orally (60 mg every four hours). Delayed ischemic deterioration attributable to vasospasm occurred in two patients (3.3%) with one fair outcome and one death. Recovery was uneventful in 78.3% of patients and no surgical complications were reported. The conclusion was that early surgery is beneficial in patients in good clinical condition and that there is an indication that nimodipine provided an additional anti-ischemic effect.

Säveland *et al.* (1986) analyzed 100 consecutive patients admitted early (85 in grades 1 to 3 of Hunt and Hess, and 15 grade 4 or 5), and treated with intravenous nimodipine 2 mg/hour for ten days after early operation. The incidence of delayed ischemic deficit was 7%, transient in 2 cases, permanent in 2 cases, and fatal in 3 cases. It was concluded that nimodipine added good protection against delayed ischemic deterioration.

Seiler *et al.* (1988) treated consecutively 153 patients admitted in all clinical grades within a period of 42 months. All patients were prophylactically treated by intravenous nimodipine 2 mg/hour for 7 to 14 days followed by oral administration of nimodipine 60 mg every four hours for one week. Operation was early in 55 patients and delayed in 57, and 17 patients were not considered for surgery. Delayed ischemic deficit occurred in 8 patients (5.2%) with 6 persistent neurological deficits and 2 deaths.

Hillman *et al.* (1988) in 91 consecutive patients admitted in Hunt and Hess grade 1 to 3 during a 44 months period performed early surgery in 85 of them, and intravenous nimodipine 2 mg/hour was administered preor postoperatively for a minimum period of 10 days. The incidence of permanent late ischemic deficit was 3%. Surgical complications occurred in 10 patients (11.7%). They concluded that the low incidence of ischemia suggested a beneficial effect from nimodipine treatment.

Gilsbach and Harders (1989) reported their experience of the treatment with intravenous nimodipine (2 to 3 mg/hour) following early surgery in 116 consecutive patients in good clinical condition (grade 1 to 3 according

J. P. CASTEL

to Hunt and Hess). The result of treatment was assessed at the end of treatment (2 weeks) and at follow-up. Delayed neurological dysfunction was the cause of morbidity/mortality in 3 patients (3%). However, 13 patients (11%) presented with ischemic neurological deterioration, transient in four. In 7 patients additional complications contributed to exacerbrate vasospasm, and independently from vasospasm, 2 patients had an arterial occlusion considered as the cause of ischemia. Surgical complications occurred in 14 patients (12%). Postoperative computerized tomography revealed cerebral infarction in 24 patients (20.6%), 16 of them being symptomatic. They concluded that in early operation combined with intravenous nimodipine, vasospasm alone is no longer the major clinical problem.

1-b) Uncontrolled Multicentre Studies

Auer *et al.* (1986) reported in a prospective open multicentric study the preventive effect of nimodipine in 120 (consecutive) patients grades 1 to 3 of Hunt and Hess. All patients underwent early surgery. Nimodipine 2 mg/ hour was administered intravenously for 7 to 14 days, followed by oral medication (240–270 mg/day) for one more week. Ischemic cerebral dysfunction of delayed onset, associated with a permanent deficit, occurred in 2 patients (2%). In 8 patients (6.7%) transient ischemic deficits were observed. Surgical complications carefully assessed, affected 20 patients (16%) who had some additional neurological deficit immediately after the operation. However, morbidity resulting from intraoperative complications was 5.8% and mortality was 1.7%. The conclusion was that prophylactic nimodipine may reduce delayed ischemic deficit in early aneurysmal surgery.

Gilsbach (1988), in his recent analysis of nimodipine clinical trials, discussed the results of a similar open multicentric study including 237 patients treated with intravenous nimodipine 48 mg/day or 72 mg/day (Gilsbach *et al.* cited as reference 13 in Gilsbach 1988). Exclusions for protocol violations applied to 33 patients. The clinical condition was Hunt and Hess grade 1 to 3 in 162 patients, and grade 4 or 5 in 42 patients. Seven patients (7 of 204 or 3%) suffered ischemic neurological deficit which led to severe disability in 3 patients and death in one patient (1.9% morbidity/mortality). The outcome was not different in the two dosage groups.

2. Placebo-Controlled Randomized Studies

2-a) Controlled Single Centre Studies

Philipon *et al.* (1986) studied a group of 81 patients admitted within 72 hours after their aneurysmal SAH, and presenting in Hunt and Hess grade 1 to 3. Nimodipine 60 mg was administered orally every four hours. Thirty-

| | | n patients | Grade | Timing of Operation | Incidence of severe ischemic deficit | Total morbidity | Total mortality |
|--------------------------------|------------|----------------------|-------------|---------------------------|--|-----------------------|----------------------|
| Unicentre open studies | | | | | | | |
| Auer | 1983 | 31 | 1–3 | early | 0.0% | 18.5% | 0.0% |
| Auer | Ì984 | 65 | 1–3 | early | 6.1% | 13.8% | 10.7% |
| Ljunggren <i>et al</i> . | 1984 | 60 | 1-5 | early | 3.3% | 22.0% | 1.5% |
| Säveland <i>et al.</i> | 1986 | 100 | 1-5 | early | 7.0% | 22.0% | 7.0% |
| Seiler et al. | 1988 | 153 | 1-5 | early + late | 5.2% | 14.0% | 27.0% |
| Hillman <i>et al</i> . | 1988 | 91 | 1–3 | early | 3.0% | ż | ż |
| Gilsbach and Harders | 1989 | 116 | 1–3 | early | 3.0% | 6.8% | 10.3% |
| Multicentre open studies | | | | | | | |
| Auer et al. | 1986 | 120 | 1–3 | early | 2.0% | 6.6% | 2.5% |
| Gilsbach et al. | 1988 | 237 | 1-5 | | 3.0% | 6.8% | 7.8% |
| Table | | pine in the P | revention o | f Cerebral Arterial | 3. Nimodipine in the Prevention of Cerebral Arterial Vasospasm: Controlled Studies | olled Studies | |
| | n patients | ients Exclusions | sions Grade | le Timing of operation | Incidence of severe ischemic deficit | re Total morbidity | Total mortal- ity |
| | | | | | Nimodipine Placebo | cebo | |
| Unicentre controlled studies | | | | | | | |
| Philippon et al. 1986 | | 11 | 1–3 | >Day 4 | | | ż |
| Mee et al. 19 | 38 75 | 25 | 1–5 | Late | 12.0% 20.0% | % 16.0% | 19.0% |
| hman and Heiskanen 19 | | 7 | 1–3 | 1st week | | | 12.0% |
| Multicentre controlled studies | S | | | | | | |
| llen et al. 19 | | 6 | 1–3 | 2–14 days | 1.7% 13.3% | | 8.6% |
| truk et al. 19 | | 34 | 3-5 | Anytime | | % 21.0% | 43.0% |
| ckard et al. 19 | | 0 | 1-5 | Anytime | | | 19.0% |
| Pickard et al. 19 | | 0 | 1-5 | Anytime | 12.0% | | 18.0% |

Table 2. Nimodipine in the Prevention of Cerebral Arterial Vasospasm: Uncontrolled Studies

69

one patients were randomly assigned to nimodipine treatment for a 21day period, 39 were given placebo, and 11 were secondarily excluded for protocol violations. Antifibrinolytics (tranexamic acid 6 g/day) were generally administered in both groups. Operation after the fourth day was carried out in 68% of the patients. The incidence of neurological deficit was 74.4% (29/39) in the placebo group, and 58.1% (18/31) in the nimodipine group. The clinical results were evaluated at the end of the treatment. When the deficit was directly related to vasospasm, it led to bad outcome in 10 patients (25.6%) given placebo (6 severe deficits, 4 deaths), and only in 2 patients (6.4%) given nimodipine (2 deaths). When vasospasm was associated with other complications, the difference in the results were even more significant (13 patients given placebo had severe outcome compared with 3 in the group given nimodipine). The conclusion was that the efficacy of nimodipine in the reduction of the incidence of neurological deficits due to vasospasm was confirmed.

Mee et al. (1988) reported the results in 75 consecutive patients of a randomized double-blind placebo-controlled study. Final outcome at 3 months was assessed. Nimodipine 60 mg was given orally every four hours for 21 days. The clinical condition of the patients was judged according to the author's scale (detailed in the text), generally similar to the usual Hunt and Hess grades. Cerebral blood flow was estimated daily using the xenon-133 inhalation method. 25 patients were excluded secondarily, 50 eligible aneurysm patients were included in the main analysis, 25 of them receiving nimodipine and 25 receiving placebo. Operation was performed within 21 days post-SAH in 75% of the patients. Operative complications occurred in 4 nimodipine-treated patients and in 9 placebo-treated patients. Four of the 38 patients given nimodipine died, compared with 10 of the 37 given placebo. From the 50 eligible aneurysm patients, 1 patient (4%) on nimodipine died compared with 6 patients (24%) receiving placebo. The conclusions were that nimodipine did not increase the cerebral blood flow and that the trend toward better outcome should be verified.

Öhman and Heiskanen (1988) reported the effect of intravenous nimodipine in 213 (consecutive?) patients admitted in Hunt and Hess grade 1 to 3 with a ruptured aneurysm. Nimodipine treatment was assigned randomly to 62 patients. Starting the treatment as soon as the diagnosis has been made, nimodipine 2 mg/hour was infused intravenously during 7 to 10 days and then continued for 21 days with oral administration of 60 mg every four hours. Betamethasone $6 \times 4 \text{ mg}$ was routinely administered. At the final outcome (three months) it was shown that, in patients operated on during the first week, nimodipine significantly decreased the number of deaths due to delayed ischemic deficit, with 1 death (1.6%) in patients given nimodipine, versus 8 deaths (12.3%) in one placebo group. Öhman and Heiskanen (1989) reported later the results of a placebocontrolled study in 216 patients with 79 patients randomly assigned to nimodipine treatment. In the nimodipine-treated patients, 10 of 79 patients had permanent disability from delayed ischemic deterioration and 1 patient out of 79 died, resulting in a total morbidity plus mortality of 13.9% in that group. Compared to the placebo-treated patients, these results were significantly different (p < 0.03). Unfortunately 52 patients were added to the randomly assigned placebo group who did not received nimodipine and who were said to have been managed identically before the nimodipine study started. This addition renders these favorable statistical results difficult to solidate.

2-b) Controlled Multicentre Studies

Petruk et al. (1988) reported the results of the Canadian multicentre randomized placebo-controlled trial on nimodipine. The effect of oral nimodipine (90 mg every four hours) was documented in patients admitted in unfavorable grade 3 to 5 of Hunt and Hess within 96 hours after their SAH. Among the 188 patients included, 72 patients received nimodipine and 82 were given placebo; 34 were excluded for protocol violation or statistical considerations. At three months, 21 of the 72 nimodipine-treated patients (29.2%) had good outcome compared to 8 of the 82 placebotreated patients (9.8%). The mortality rate was higher in the nimodipine group than in the placebo group (47.2% versus 39.0% with no statistical significance), and, without any detectable reason, the largest difference occurred in the patients grade 3 (mortality of 28,0% for nimodipine-treated patients versus 4.8% for placebo-treated patients). Delayed ischemic deficits were observed in 45.8% (33/72) of the patients given nimodipine and in 65.8% (54/82) of those given placebo. Permanent ischemic deficit due to vasospasm alone occurred in 5 nimodipine-treated patients (6.9%) compared to 22 in placebo-treated patients (26.8%). No significant difference in the degree of vasospasm was observed on the second angiogram carried out after day 4 in 124 patients. It was concluded that in poor-grade patients nimodipine increased the number of good outcome and reduced the incidence and severity of delayed ischemic neurological deterioration due to spasm.

Pickard *et al.* (1989) reported the results of the British aneurysm nimodipine trial. The rate of cerebral infarction and the final outcome was studied in 554 patients admitted in all clinical grades within 96 hours of their subarachnoid hemorrhage and randomly assigned to placebo or oral nimodipine 60 mg every four hours for 21 days. The results were assessed at three months after the entry. Only one patient was withdrawn and there was no exclusion after entry. In the 276 placebo-treated patients and in the 278 nimodipine-treated patients, demographic and clinical data at entry were similar. Intracranial aneurysms were present in 66.4% (368/554) of the patients. The incidence of cerebral infarction was 22% (67/278) in patients given nimodipine compared to 33% (92/276) in placebo-treated patients. In patients given nimodipine, final poor outcome occurred in 20% (55/278) versus 33% (71/276) in patients given placebo. The relative risks of cerebral infarction and poor outcome were significantly reduced by 34% and 40%, respectively. The conclusion was that nimodipine was well tolerated, reduced cerebral infarction, and improved outcome after SAH.

3. Discussion

Uncontrolled studies are open to criticism, especially when the effect of a new pharmacological agent is tested. The results of uncontrolled trials cannot be compared. In the case of nimodipine, uncontrolled single or multicentre studies showed spectacularly good results. It is impossible to determine if such favourable results were due to the judicious combination of expert neurosurgeons, early surgery, careful management and the tested drug or due to the effect of the drug itself. Nevertheless, all these uncontrolled studies suggest a favorable trend of nimodipine in decreasing the incidence of postoperative ischemic complications. In some studies, the rate of surgical complications were remarkably high, approaching 10 to 19%, greatly exceeding the rate of delayed ischemic deteriorations (Auer 1983, Auer et al. 1984, Hillman et al. 1988, Gilsbach and Harders 1989). In some studies, it was possible to calculate the number of early aneurysm operations done each year by each of the 4 to 6 neurosurgeons composing the team: surprisingly, the number was only 3 to 5 aneurysms per year by each neurosurgeon, if no prerogative existed for anyone in the team (Auer et al. 1986, Hillmann et al. 1988, Gilsbach and Harders 1989). All these studies, however, have contributed to the advancement of early aneurysmal surgery and the promotion of calcium antagonists in the prevention of postoperative ischemic deficit possibly due to vasospasm.

The results of controlled randomized trials are more convincing. Special attention must be drawn to the results of the British aneurysm nimodipine trial: oral nimodipine reduced by 34% the incidence of cerebral infarcts and by 40% the poor outcome after subarachnoid hemorrhage (Pickard *et al.* 1989). This is the best prospective placebo-controlled randomized multicentre study ever done to study the effects of nimodipine. It showed a reduction in the rate of severe cerebral ischemic deterioration after subarachnoid hemorrhage from 15-35% without nimodipine to 9-23% with the drug. However, in this trial, there is no evidence that nimodipine reduced the mortality due to cerebral ischemia: of the 39 patients given nimodipine who developed cerebral ischemia 17 (43.5%) died, compared to 25 (40.9\%)

the 61 patients with cerebral ischemia who were given placebo. In the Canadian multicentre nimodipine study, it was found that poor-grade patients benefitted from oral nimodipine treatment. In these patients, nimodipine could reduce by 40% the risk of permanent ischemic deficit due to vasospasm alone or to vasospasm associated with another etiology (Petruk *et al.* 1988).

There is some discrepancy between the favourable clinical results and the less favourable results in a series of experimental SAH in primates. Nimodipine failed to reverse angiographic vasospasm and had no beneficial effect on the neurological symptoms in animals (Nosko et al. 1985). This lack of effect on vasospasm was also observed in some clinical studies. where nimodipine failed to increase the diameter of the intracranial spastic vessels seen as on follow-up angiography (Philippon et al. 1986, Petruk et al. 1988). Additionally, nimodipine did not increase significantly the CBF in patients treated with nimodipine (Messeter et al. 1987, Mee et al. 1988). In 24 patients receiving nimodipine postoperatively, Vinge et al. (1988) found that the serum thromboxane B2 concentration was not substantially different from patients who did not receive nimodipine, suggesting that nimodipine had no effect on platelet function in such patients. The mechanism of action of nimodipine on the cerebral circulation remains imperfectly understood, as its favourable effects are not angiographically measurable as might have been expected. Nimodipine may have a vasoactive effect on capillaries or provide neuronal protection against calcium disorders involved in ischemic brain damage (Brandt et al. 1988, Siesiö 1984). In a primate model of SAH, Dorsch et al. (1989) suggested that nimodipine may have a cellular protective effect against ionic changes due to ischemia, but does not affect significantly the CBF which was increased up to 16%. Presently, especially in the absence of any other treatment, some clinical arguments indicate a place of the calcium antagonist nimodipine in the medical management of patients admitted within 3 days after their aneurysmal SAH.

C. Nicardipine

Nicardipine hydrochloride, a water-soluble drug, is a 1,4-dihydropyridine derivative with cerebrovascular effects demonstrated in animals and human beings (Takenaka and Handa 1979, Yamamoto *et al.* 1983). Intravenous injection of 0.01 mg/kg of nicardipine increased cerebral blood flow by 17% with a minimal decrease in arterial blood pressure (Takenaka and Handa 1979). Nicardipine is essentially used as an antihypertensive drugs. Experimentally, it was demonstrated that nicardipine could significantly decrease the release of prostaglandins PGD2 and leucotrienes LTC4 and enhance the release of prostaglandin PGE2 (Rodriguez y Baena *et al.* 1989).

J. P. CASTEL

Effective serum concentrations were smaller after intravenous administration than after oral doses in both humans and animals, suggesting that the drug is readily eliminated by first-pass metabolism (Higuchi and Shiobara 1980). Nicardipine can be administered either orally or intravenously. Nicardipine for intravenous infusion is supplied in 10 ml ampules containing 10 mg of the drug. Tablets of 30 mg nicardipine are available, the recommended dosage being 90 to 120 mg per day. For intravenous infusion, it is better to add the drug to normal saline or to 5-10% glucose solution, the recommended dosage being 0.03 mg/kg/hour (approximately 2 mg/hour). If higher doses as up to 0.15 mg/kg/hour are infused, it is advisable to begin with a incremental dosage under control of the arterial blood pressure for six or eight hours at least (Flamm *et al.* 1988). No side effects other than hypotension have been reported (Flamm and Adams 1988). Treatment with intravenous nicardipine 0.03 mg/g/hour costs approximately 25 Francs per day (or 4 to 5 US Dollars).

A study of nicardipine dosage relationships was performed in 67 patients with recent aneurysmal subarachnoid hemorrhage admitted within 7 days after hemorrhage (Flamm et al. 1988). Patients were graded 1 to 5 on the author's personal scale similar to that of Hunt and Hess. Nicardipine was administered intravenously in a progressive fashion during the first four hours of the infusion, and thereafter at a constant dosage during 7 to 14 days. Antihypertensives, corticosteroïds, and antifibrinolytic drugs were also administered. Timing of surgery was optional. Two groups of patients were analysed according to the dosage of nicardipine: 34 patients in the group Dose Levels 1 to 6 received nicardipine infused at 0.8 to 7.8 mg/h (0.01 to 0.11 mg/kg/hour), and 33 patients were classified Dose Level 7 and received nicardipine infused at approximately 10.4 mg/hour (0.15 mg/ kg/hour). Hypotension, equivalent to a blood pressure of 100 mmHg or less, was observed in 28.3% (19/67) of the patients and within the first 6 hours after initiation of the treatment in 15 of them. The overall morbidity and mortality were 7% (5/67) and 6% (4/67), respectively, and were comparable in both groups of patients. Delayed ischemic deficit affected 26,5% (9/34) of patients in the group Dose Levels 1 to 6, compared with 6.1% (2/33) of the patients given Dose Level 7. No patient died from an ischemic complication. In the follow-up angiogram performed on days 7 to 10, angiographic vasospasm was observed in 46% (31/67) of cases. This angiographic spasm was less frequent in patients treated with high doses: 24.2% (8/33) versus 67.6% (23/34). The conclusion was that nicardipine appears to prevent both angiographic vasospasm and cerebral ischemia after subarachnoid hemorrhage.

As part of the same study on intravenous nicardipine, 42 of 67 patients awaiting delayed surgery were treated with antifibrinolytics (aminocaproïc acid 1.5 g/hour) and intravenous nicardipine. Fifteen patients received ni-

cardipine at Dose Levels 1 to 6 and 27 patients were treated with high Dose Level 7. Delayed ischemic deterioration with concomitant evidence of angiographic vasospasm occurred in 12% (5/47) of patients resulting on a cerebral infarction in only one case. Compared with the data from the Cooperative Aneurysm Study (34%) and the Dutch-British study (24%), this incidence of symptomatic vasospasm was notably low (Kassell *et al.* 1984, Vermeulen *et al.* 1984). Clinical outcome at three months showed 7.1% (3/42) morbidity and 7.1% (3/42) mortality. These favourable findings suggest that the incidence of vasospasm and cerebral infarction in patients treated with antifibrinolytic drugs can be reduced by the concomitant prescription of a calcium antagonist (Beck et al., 1988).

Conclusions on the efficiency of nicardipine in the prevention of cerebral vasospasm are impossible at present because large clinical controlled trials are still lacking. It would be interesting to know the results of the current placebo-controlled study still going on in North America. Whether it is relevant to further compare two calcium antagonists like nicardipine and nimodipine is doubtful. There is no theoretical evidence to suggest that nicardipine is more efficient and has fewer side effects than nimodipine.

D. Other Calcium Antagonists

1. Diltiazem

Diltiazem is a benzothiazepine derivative with an experimentally demonstrated vasoactive effect on cerebral arteries (Van Breeman *et al.* 1981, Bevan 1982). On the basis of a potent preventive effect on experimental chronic cerebral vasospasm in primates (Frazee *et al.* 1985, Frazee *et al.* 1988), the clinical use of diltiazem has been proposed in the prevention of vasospasm. Diltiazem was administered to 21 patients with subarachnoid hemorrhage (Saunders *et al.* 1986). Although the final results of this trial are not yet known, Saunders *et al.* (1986) reported hematologic complications due to diltiazem in 2 of their patients who developed an increased bleeding time greater than 15 minutes. The bleeding time normalized after diltiazem was discontinued. Most of the calcium antagonists inhibit platelet aggregation, diltiazem being here more potent than many other agents tested.

2. Flunarizine

The results of a prospective uncontrolled study in 72 patients with aneurysmal subarachnoid hemorrhage were favourable to early treatment with 0.7 mg/kg/hour intravenous flunarizine (Kim *et al.* 1989). The incidence of delayed ischemic deficits from vasospasm alone was 13.8% (10/72). Flu-

narizine was successfully administered at a dose of 10 mg four times daily to 37 consecutive patients who were operated on early from their ruptured intracranial aneurysm (Fujita et al. 1990). Only one patient developed permanent ischemic neurological deficit, and there were no side effects from flunarizine.

III. Other Drugs

A. Nizofenone

Nizofenone (Y 9179) is an imidazole derivative with protective properties against cerebral anoxia and ischemia due to its free radical scavenging action and its depressant action on the cerebral metabolic rate. Compared to barbiturates, nizofenone showed far less depressant action on the central nervous system and the cardiorespiratory system (Ochiai et al. 1982). The clinical effects of nizofenone in the prevention of cerebral ischemia after subarachnoid hemorrhage were tested in a placebo-controlled randomized multicentre study (Saïto et al. 1983). One hundred patients were included. 42 of them being assigned to nizofenone, 48 receiving placebo. Ten patients were secondarily excluded for severe non-detailed complications unrelated to vasospasm. Nizofenone 10 mg was administered three times per day intravenously with a slow infusion over 30 minutes. The decrease in the blood pressure was mild and transient, the drop averaging 9% and lasting 90 minutes. Outcome at one month was analyzed. There was no reduction in the incidence of symptomatic vasospasm. The morbidity plus mortality was 28.5% of patients given nizofenone, compared with 37.5% in the nontreated patients, a 24% relative reduction of risk. Nizofenone is not available for clinical or experimental use, except in Japan.

B. Reserpine + Kanamycin

The association of reserpine and kanamycin was proposed by Zervas and associates in the prevention of vasospasm and delayed ischemic deficit after subarachnoid hemmorhage. In experimental studies, Zervas *et al.* (1979) found that the combination of reserpine and kanamycin lowered the concentration of vasoactive amines in blood, preventing the development of vasospasm after cisternal injection of autologous blood. They also found that reserpine and kanamycin failed to reverse established vasospasm or to stop its progression. Both are capable of lowering blood serotonin concentration. Reserpine is a phosphodiesterase inhibitor and prevents storage of vasosactive amines like serotonin in patelets. Kanamycin is an intestinal antibiotic that interferes with the synthesis of pyridoxine necessary in the synthesis of serotonin. Reserpine and kanamycin administered after aneurysmal rupture cannot reduce the vasosactive properties of the initially extravated blood, and thus the incidence of preoperative vasospasm, but rather that occurring in the postoperative period due to peroperative hemorrhage in the area of operation.

A prospective placebo-controlled randomized study was carried out in 54 patients in clinical grade equivalent to Hunt and Hess grade 1 or 2 after their subarachnoid hemorrhage, 26 were assigned to treatment with subcutaneous reserpine 0.2 mg q.i.d. and oral kanamycin 400 mg t.i.d., 28 received placebo (Zervas *et al.* 1979). Preoperative clinical or angiographic vasospasm occurred in 12% (3/26) of the patients treated with the double drug therapy, and in 39% (11/28) of patients given placebo. The incidence of postoperative cerebral vasospasm was not statistically different, being 4.5% (1/22) in the treated group and 14.2% (4/28) in the other. Subsequently, Zervas *et al.* (1980) were not able to confirm the protective effect of this therapy, as in a new series of 28 patients treated the incidence of preoperative ischemic complications was 25%. Postoperative ischemic complications were, however, reduced to 4%.

The favourable results reported by Zervas *et al.* (1980) have never been confirmed by others. In a series of 10 patients who had serum serotonin level controlled below the normal range, Blumenkopf *et al.* (1980) found that the previous administration of reserpine and kanamycin or the reduction of serum serotonin level or plasma norepinephrine level were not associated with reduction in the incidence of ischemic deterioration. In a retrospective study, Knuckey and Stokes (1982) confirmed that kanamycin and reserpine did not protect against preoperative vasospasm, whereas they found that this treatment would reduce the incidence of postoperative vasospasm. Reserpine and kanamycin are no longer considered as drugs of potential interest in the prophylaxis of vasospasm in humans.

C. Anti-Thromboxane A2 Synthetase

It has been suggested that during the active phase of vasospasm following subarachnoid hemorrhage, an imbalance between synthesis of prostaglandin PG12 and thromboxane A2 may be an indirect cause of persistent vasospasm and of microthrombosis, leading to cerebral ischemic symptoms (Suzuki *et al.* 1981, Nosko *et al.* 1988). Excess of thromboxane A2 can reinforce vessel spasticity and lead to thrombosis of the spastic vessel or of perforating arteries. However, in an experimental primate model of subarachnoid hemorrhage, basal levels of prostacyclin and thromboxane A2 were measured in the cerebral vessels and the imbalance found in favour of thromboxane A2 not because of increase of its synthesis but on the contrary because of the relative lack of prostacyclin (Nosko et al. 1988).

For their properties of inhibition of the thromboxane A2 synthetase, the three following drugs have been administered to patients after aneurysmal subarachnoid hemorrhage:

- Trapidil: 5-methyl-7-diethylamino-s-triazolo-[1.5-a]-pyrimidine
- OKY 1581: sodium (E)-3-[4-(3-pyridylmethyl)-phenyl)]-2-propenoate
- OKY 046: sodium (E)-3-[4-(1-imidazolymethyl)-phenyl]-2-propenoate

Trapidil is a coronary vasodilator and an antagonist and selective synthesis inhibitor of thromboxane A2. Oral Trapidil 100 or 150 mg three times daily was given to 20 patients admitted in grade 1 to 4 of Hunt and Hess and operated on within 72 hours post-SAH (Suzuki *et al.* 1981). Angiographic vasospasm occurred in 9 cases (45%) but only 2 cases (10%) displayed mild ischemic symptoms due to vasospasm.

Tani *et al.* (1984) reported their clinical experience of OKY 1581 in 27 patients receiving the drug intravenously during the postoperative period after early surgery. These patients were compared to a group of 22 patients who did not receive the drug but were treated with hypertensive hyper-volemia. No statistical difference was found in the incidence of postoperative angiographic vasospasm nor in the incidence of postoperative ischemic symptoms. The final outcome of the two groups of patients was similar. Low density areas on the postoperative CT scan associated with symptomatic vasospasm were, however, less frequent in the patients treated with the drug: 4 of 27 or 15% versus 10 of 22 or 45% of patients who did not receive the drug.

OKY 046 was administered intravenously in a prospective multicentre uncontrolled study (Suzuki *et al.* 1985). Eighty-two patients were treated and symptomatic vasospasm occurred in 33% (27/82) but with mild or transient neurological symptoms in 18 of them. Thereafter, the incidence of severe vasospasm was 10.9% (9/82). It was shown that OKY 046 decreased the blood of level thromboxane B2 the stable metabolite of thromboxane A2.

D. Heparin

Heparin accelerates the activity of antithrombin III, with the subsequent inhibition of factor X blocking the coagulation cascade. Heparin has paradoxically been proposed in the prophylaxis of symptomatic vasospasm because, experimentally, antithrombin III has been shown to relax basilar arteries precontracted by thrombin or plasmin, vasosactive agents believed to participate in the formation and maintenance of arterial vasospasm (White and Robertson 1987). Heparin may also prevent the proliferative angiopathy following subarachnoid hemorrhage. In experimental subarachnoid hemorrhage, heparin reduced the morphologic changes occurring in the arterial wall after SAH, by inhibition of the platelet-derived growth factor that stimulates the proliferation of fibroblasts and the growth or migration of smooth muscle cells of the vascular wall (Kapp *et al.* 1985). Heparin may reduce the adhesiveness of platelets on the injured arterial wall, limiting the size of platelet aggregates and the risk of micro-emboli. Heparin has no fibrinolytic activity, so it does not increase the risk of recurrent hemorrhage in aneurysmal subarachnoid hemorrhage once the fibrin clot is formed. However, the risk of transforming a recurrent minor leak into a dramatic major bleed with fatal intracerebral hemorrhage is likely to be higher in the presence of heparin.

In a retrospective clinical study, Kapp et al. (1987) reported favourable effects of heparin in the prevention of cerebral ischemic complications following gradual carotid ligation in 161 patients with ruptured intracranial aneurysms. One hundred and four patients received heparin and their results were compared with a group of 57 patients who were treated identically except for heparin. Ischemic neurological deficit occurred in 19.2% (20/ 104) of patients who received heparin, and 36.6% (22/57) of patients who did not receive the drug. Still more surprising was the lower incidence of rebleeding in the group of patients given heparin with a 9.6% incidence versus 26.1% in the non-treated group of patients. Although in this study the cerebral ischemia could have been due to hypoperfusion or emboli in relation with the carotid ligation, heparin may have prevented some ischemic deficits attributable to the presence of chronic vasospasm or proliferative angiopathy, or both. Even if heparin cannot be recommended routinely at present, it is possible that future clinical trials will confirme the role of new low molecular weight heparin in the prophylaxis of vasospasm (Chimowitz and Pessin 1987).

E. Dipyridamole

The role of a proliferative vasculopathy in the genesis of the postoperative delayed cerebral ischemic deficit is still debated. Excessive platelet adhesiveness may result from interaction of blood platelets and abnormal endothelial cells. Release of platelet micro-emboli could be an intravascular factor which combined with vasospasm leads to multifocal cerebral ischemia (Kassell *et al.* 1985, Bevan and Bevan 1988). Studying platelet adhesiveness in patients presenting with aneurysmal SAH, Laine *et al.* (1978) proposed prophylaxis with intravenous daily injection of 80 mg of dipyridamole or 300 mg/day of aspirin orally. In a prospective study the outcome of the 51 patients receiving the drug was reported to be substantially better compared to that of a large retrospective untreated group.

J. P. CASTEL

More recently, the effects of dipyridamole were tested in a clinical trial looking at the outcome of 677 patients presenting with SAH (Shaw *et al.* 1985). Patients were randomized on admission to receive placebo (341 patients) or dipyridamole (336 patients) in a standard oral dose of 100 mg/day or 10 mg/day intravenously. No significant differences were observed between the control and treatment groups.

F. Ticlopidine

Ticlopidine, a drug which modifies platelet aggregability, was administered to 101 of 139 patients admitted within 72 hours after subarachnoid hemorrhage (Kita *et al.* 1989). Thirty-eight patients were treated without ticlopidine. Fatal vasospasm was significantly less frequent in the treated group (6%) than in the untreated group (34%). In this controlled non-randomized prospective study, the results of the hemorrheological control suggested that patients who had fatal vasospasm presented coagulation abnormalities similar to disseminated intravasular coagulation.

Treatment of Symptomatic Vasospasm

Symptomatic vasospasm is a major complication occurring in the first two weeks after SAH and ascribed to arterial spasm leading to progressive delayed cerebral ischemia. This complication may be activated by various extrinsic or intrinsic factors, such as dehydration, hypovolemia, a surgical procedure, or even angiography. Clinically, the term "symptomatic vasospasm" may be ascribed to any delayed neurological deterioration occurring before or after aneurysm surgery, not related to the direct effect of the initial bleed, a recurrent hemorrhage or the development of hydrocephalus. Obviously, the term vasospasm is inappropriate to describe such a multifactorial etiology of cerebral ischemia occurring after subarachnoid hemorrhage, but as Symon (1980) stated "it is so ingrained in the literature and so neatly encompassing a variety of only partially defined problems, that we must perforce remain with it".

The clinical diagnosis of symptomatic vasospasm can rarely be made with certainty and often by exclusion. Thus, whatever the clinical findings suggesting symptomatic vasospasm, CT scan should be performed to rule out other sources of neurological deterioration. The following definition of preoperative and postoperative vasospasm may be proposed.

- Preoperative symptomatic vasospasm refers to an ischemic event occurring while the patient is awaiting surgery. The onset of this syndrome is delayed and progressive, occurring generally between day 5 and day 9 after SAH. This clinical event cannot be attributed to another complication such as rebleed or hydrocephalus. The neurological deficit is not always related to the site of the aneurysm. The cerebral ischemia is associated with the presence of focal or diffuse arterial vasospasm that may or may not be confirmed with transcranial doppler or on angiography (if performed).

— Postoperative symptomatic vasospasm refers to any neurological deficit occurring either after early or delayed operation for ruptured intracranial aneurysm. This neurological deterioration takes place in the absence of intraoperative complications or postoperative treatable events demonstrable on a CT scan such as extra-dural or intradural hemorrhagic collections, or hydrocephalus. This neurological deficit is delayed for some hours or even days after surgery and frequently is appropriate to the side of the operation. It is related to the development of a cerebral ischemia associated or not with an arterial vasospasm.

It is not clear that preoperative and postoperative ischemic neurological deficits have the same origin, but the same mechanism of action is generally admitted: reduction of CBF leading to cerebral ischemic complications. Regional CBF and oxygen utilization are significantly depressed in both hemispheres after subarachnoid hemorrhage (Grubb *et al.* 1977, Montgomery *et al.* 1981, Martin *et al.* 1984, Voldby *et al.* 1985, Hino *et al.* 1989, Yonas *et al.* 1989). Oxygen extraction is increased in both hemispheres signifying failure of the cerebral circulation to satisfy metabolic demand. Correlations between arterial pressure and the fluctuations in neurological deficit have been observed, suggesting that CBF and oxygen delivery can be improved by the elevation of the arterial blood pressure (Montgomery *et al.* 1981). The treatment of symptomatic vasospasm during the preoperative or the postoperative period is thus quite similar and directed towards improvement of cerebral perfusion pressure and/or reversal of the chronic arterial vasospasm.

To improve cerebral perfusion pressure it is necessary to control intracranial pressure and to increase the cardiac output using intravascular volume expansion with or without the addition of vasopressive drugs. Various vasoactive drugs against the persistent arterial vasospasm have been tried with limited effects (Wilkins 1986). To limit extension of the cerebral ischemia, to protect the patient from cerebral infarction and from intracranial hypertension due to extensive cerebral edema, various agents have been suggested. The usefulness of barbiturates, calcium antagonists or steroids, all drugs providing a metabolic neuronal protection, is controversial (Hashi and Tabaka 1980, Hashi *et al.* 1980, Kassell *et al.* 1980, Miyagi *et al.* 1984, Chyatte and Sundt 1984, Samson and Beyer 1980, Koos *et al.* 1985).

J. P. CASTEL

I. Hypervolemia and Hypertension

The most commonly successful treatment of delayed cerebral ischemia after SAH is intravascular volume expansion with or without elevation of the systolic blood pressure. Hypervolemia or hypertension have no chemical neuronal protective effect but improve the cerebral microcirculation through the following sequence: increase of intravascular volume – increase in cardiac output — improvement of CBF with concomitant improvement of the macrorheological and microrheological conditions of the intrace-rebral circulation. Hypervolemia or arterial hypertension should be applied with extreme caution before the aneurysm has been treated.

Clinical trials evaluating the beneficial effect of hypervolemia in the management of symptomatic vasospasm are lacking. Experimental and clinical findings have demonstrated the inability of intravascular volume expansion to increase CBF when autoregulation is preserved (Wood et al. 1982, Davis and Sundt 1980, Yamakami et al. 1987). Despite this, hypervolemic therapy has been widely recommended as the primary treatment of cerebral ischemia after SAH. The first results of hypervolemia were reported by Giannotta et al. (1977). Seventeen patients, who developed postoperative neurological deficits associated with angiographic vasospasm, were treated by arterial hypertension induced by hypervolemia and vasopressors if necessary. The results were favourable in 13 patients, unfavourable in 2 patients in whom a minor deficit persisted, and fatal in 2 patients. In 10 patients, intravascular volume expansion was enough to elevate the systolic blood pressure to an optimal level without the addition of vasopressive drug. Most of these were presumably included in the 13 patients who finally achieved a good outcome. In 10 patients who developed neurological deficit associated with angiographic vasospasm, hypervolemia alone gave excellent results in 9 (Tanabe et al. 1982). In these cases, infusion of albumin did not increase intracranial pressure.

Yamakami *et al.* (1987) measured CBF in 35 patients before and after a slight intravascular volume expansion achieved with 500 ml of 5% albumin. CBF significantly decreased after the infusion in all patients evaluated within the first two weeks after their SAH. During the third and fourth week post-SAH, CBF decreased only in patients with symptomatic vasospasm. In "normovolemic" patients, an increase in the cardiac output without significant elevation of the systolic blood pressure did not further increase CBF. These negative findings were corroborated by some experimental reports. Davis and Sundt (1980) stated that normal autoregulation could account for the lack of change in CBF when cardiac output is increased. In an experimental model of cerebral ischemia (multiple intracranial arterial ligation in dogs), intravascular volume expansion without hemodilution did not increase CBF either in the ischemic or the normal brain, even with a 42% cardiac output elevation (Wood *et al.* 1982). Experimentally, it was confirmed that the reduction of blood viscosity can account for the direct relationship between CBF and cardiac output (Wood *et al.* 1983, Wood *et al.* 1984).

It is likely that volume expansion achieved by intravenous infusion of plasma expanders rather than by erythrocyte transfusion leads to hemodilution with decrease in hemoglobin and hematocrit. Tanabe et al. (1982) reported that with albumin infusion, hematocrit fell from 40 to 27% within a few days and remained at a low level throughout the period of treatment. With cardiac output and pulmonary wedge pressure monitored via a Swan-Ganz catheter the loading volume can be adjusted and hematocrit maintained greater than 32% (Tanabe et al. 1988). The question of the optimal hematocrit in pathologic situations and especially after SAH is nicely discussed by Hino et al. (1989). Hemodilution was used with enthusiasm in the early treatment of cerebral ischemia (Grotta 1987, Heros and Korosue 1989). It is well known that a hematocrit of 33% provides the best tradeoff between blood viscosity and oxygen carrying capacity. The relationship between blood viscosity and cerebral blood flow has been experimentally assessed in cats (Muizelaar et al. 1986). A 20% reduction of hematocrit led to a 45% increase in CBF. Conversely, a 10% increase in hematocrit resulted in a 20% decrease in CBF. In normal situations, with a lower hematocrit, the oxygen carrying capacity is decreased. The increase in CBF is only a normal physiologic compensatory response to the reduction of hematocrit and blood viscosity (Muizelaar and Becker 1986). During hemodilution, the subsequent increase in CBF has not been shown to improve oxygen supply to the normal brain (Hino et al. 1989). Despite very attractive experimental results, the role of hemodilution in the management of patients with stroke is not yet defined (Italian Acute Stroke Study Group 1988, The Hemodilution in Stroke Study Group 1989). In the absence of any specific clinical trial, it is obviously premature to conclude that patients with symptomatic vasospasm after SAH will benefit from hemodilution.

Hypertension was first proposed by Denny-Brown (1951) in the treatment of cerebrovascular ischemic symptoms. Farhat and Schneider (1967) described four patients in whom metaraminol, a vasopressive drug, was successfully used. VanderArk and Pomerantz (1973) successfully treated a patient who postoperatively developed aphasia and motor deficit with metaraminol. The clinical symptoms cleared immediately although only partially after the blood pressure was elevated from 112/70 to 160/ 80 mmHg. In 1976, Kosnik *et al.* reported the results in seven patients who received arterial hypertension as a treatment of their postoperative neurological ischemic deficit. Volume expansion and norepinephrine were infused to elevate systolic blood pressure to 160 mgHg. All patients achieved a complete recovery except one who died. Koznik *et al.* (1976) emphasized the importance of starting the treatment as early as possible, claiming that 'to little and/or too late' may be worse than no treatment at all. Since then, there have been several other reports describing beneficial effect of hypertension and hypervolemia (Giannotta *et al.* 1977, Brown *et al.* 1978, Pritz *et al.* 1978, Ritchie *et al.* 1980, Tanabe *et al.* 1982, Solomon *et al.* 1984, Muizelaar and Becker 1986, Tanabe *et al.* 1988).

A. Hypervolemia

Intravascular volume expansion can be achieved by intravenous infusion of colloids. Crystalloïds are as effective as colloïds in restoring normal circulatory dynamics, but their administration may result in an increase of cerebral edema with subsequent intracranial hypertension (Heros and Korosue 1989). Low molecular weight dextran and human serum albumin or albumin derivatives are colloïds used routinely with a daily total perfused volume that may exceed 1500 ml. Hydroxyethyl starch is another colloïd solution with similar effects to low molecular weight-dextran, but less frequent allergic reactions or adverse renal effect. Human albumin has great advantages as it allows natural colloid osmotic pressure elevation with natural metabolic integration but 500 ml albumin 4-5% costs approximately 420-450 Francs, or 70-75 US Dollars. However, 500 ml infusion of a 4% albumin solution (20g) contains 150 mmol sodium and 0.5 mmol potassium. The daily total weight of albumin that has been administered is reported to range between 0.5 g/kg/day to 2 g/kg/day (Tanabe et al. 1982. Yamakami et al. 1987). Due to the risk of viral disease transmission, the use of human serum, plasma, total blood or packed red blood cells should be abandoned. Optimal hypervolemia is achieved when the central venous pressure has increased from its 5-6 mmHg normal level to a 10 to 12 mmHg maximum level. Frequently, a moderate concomitant elevation of the systolic blood pressure occurs (plus 10 to 30 mmHg). Usually this elevation of the blood pressure is transient and reversed by the enhanced diuresis due to the increase in fluid intake.

Careful monitoring of pulse rate, central venous pressure, arterial blood pressure and continuous monitoring of ECG are strictly recommended (Pritz *et al.* 1978, Ritchie *et al.* 1980, Rosenwasser *et al.* 1983). In the elderly, or in patients with previous myocardial infarction or cardiac failure, hypervolemia cannot be safely applied in the absence of monitoring with a Swan-Ganz catheter. According to Pritz *et al.* (1978), such monitoring renders management of the hypervolemia safer since the permanent monitoring of pulmonary wedge pressure is more accurate than central venous pressure alone. The cardiac output and the cardiac index can also be assessed accurately both valuable parameters in the management of hypervolemia. Prophylactic administration of digoxine may also be used to

Table 4. Structure of Endogenous and Synthetic Sympathomimetic Amines



Dobutamide

improve cardiac function. Intravascular volume expansion can be successfully carried out even in hypertensive patients (Rosenwasser *et al.* 1983). Anti-hypertensive drugs as hydralazine, methyldopate, clonidine or even propanolol are preferable to vasodilators such as nifedipine, nicardipine or angiotensin converting-enzyme inhibitors. Diuretics should not be used.

Excessive volume expansion can lead to hemodynamic cardiac failure with subsequent pulmonary or renal dysfunction and intracranial hypertension. These adverse effects are reported by transient, being easily reversed by reduction of fluid intake, diuretics or digitalization (Giannotta *et al.* 1977, Kindt *et al.* 1980, Tanabe *et al.* 1982, Tanabe *et al.* 1988).

There is evidence that changes in intracranial presure occur during the first two weeks after SAH (Kaye and Brownbill 1981, Voldby *et al.* 1985, Takeuchi *et al.* 1989). Intracranial hypertension may well contribute to cerebral ischemia and clinical deterioration. Furthermore, as the bloodbrain barrier is damaged, volume expansion may exacerbate ischemic cerebral edema. Continuous intracranial pressure monitoring should there-

fore always be carried out in patients presenting with severe neurological deterioration before commencing intravascular volume expansion. Intracranial pressure monitoring may be indicated also if there is a lack of response to treatment or if further deterioration occurs.

B. Hypertensive Hypervolemia

1. Vasopressive Drugs

The addition of vasopressive drugs to vascular volume expansion is often necessary to elevate the systolic blood pressure. Particularly indicated in case of acute left ventricular failure are Dopamine, Norepinephrine and drugs created by change in the structure of norepinephrine (epinephrine, isoproterenol, dobutamide). These elevate cardiac output and systolic blood pressure (see Table 4), and all augment myocardial contractility (positive inotropic effect) by direct stimulation of β 1-adrenergic receptor sites in the myocardium. The clinical application of sympathomimetic drugs is limited by their positive chronotropic effects which cause tachycardia, induce arrhythmias, and increase myocardial oxygen consumption (Vasu et al. 1978). Furthermore, depending on their structure, catecholamines activate receptors other than cardiac β 1-adrenergic receptors (see Table 5). The stimulation of alpha-adrenergic receptors causes peripheral vasoconstriction. The stimulation of β 2-adrenergic receptors in the peripheral arterial system decreases peripheral resistance and thus decreases arterial pressure (Sonnenblick et al. 1979).

Norepinephrine is a potent alpha-adrenergic stimulator and a moderate β 1-adrenergic stimulator and causes a substantial arterial pressure rise. This increase in peripheral vascular resistance can produce considerable hypertension from normal pressure levels. Norepinephrine is no longer available for clinical use in some countries.

Epinephrine is a alpha and β 1-adrenergic stimulator and may be administered intravenously with doses varying on demand. Usually a response is obtained with doses between 0.001 mg/kg/min to 0.005 mg/kg/min. It has the same action as norepinephrine and is also no longer available for human use in all countries.

Dopamine, a precursor in the endogenous synthesis of norepinephrine, has a dose-dependent action on cardiac output and is administered intravenously. At a dose below $5 \,\mu g/kg/min$ it stimulates dopaminergic receptors causing mesenteric and renal vasodilatation with a subsequent increase in diuresis. Between $5 \,\mu g/kg/min$ to $20 \,\mu g/kg/min$, dopamine stimulates $\beta 1$ and $\beta 2$ -adrenergic receptors. In large doses (up to $20 \,\mu g/kg/min$) dopamine may exert vasoconstrictive effects by stimulation of alpha-adrenergic receptors. Dopamine is known to have less prominent chronotropic effects than isoproterenol and less constrictive effects than norepinephrine (Sonnenblick *et al.* 1979). As dopaminergic effects are always present, intravenous administration of dopamine will always increase the urinary output.

Dobutamine is a synthetic catecholamine that directly increases myocardial contractility with less peripheral arterial effects or tachycardia than the other cited drugs (Sonnenblick *et al.* 1979). Its positive inotropic effect is similar to that observed with isoproterenol, a 56% increase in cardiac output for dobutamide and 63% for isoproterenol (Loeb *et al.* 1976). A 9% sinus rate increase has been observed with dopamine compared to the 17% increase in pulse rate observed with isoproterenol (Loeb *et al.* 1976). Dobutamide is administered intravenously at doses ranging from $2.5 \mu g/kg/min$ to $15 \mu g/kg/min$.

2. Hypervolemia and Dopamine

Intravenous dopamine has been reported to be effective in the treatment of patients who developed an ischemic neurological deficit during the postoperative period and who did not respond to volume expansion (Giannotta *et al.* 1977, Brown *et al.* 1978, Haraguchi and Ebina 1982, Mendelow *et al.* 1986). A dose of dopamine as low as possible, mean levels reported from 9 to 46 μ g/kg/min have been recommended. With a central venous pressure of 8 to 15 mmHg with volume expanders, dopamine infusion raised the arterial blood pressure up to 160–200 mgHg. The duration of treatment was 3 to 12 days.

Experimentally, dopamine was found to have a direct cerebral vasodilator effect which has been confirmed in patients (Battista *et al.* 1976, von Essen *et al.* 1980, Mendelow *et al.* 1986). After withdrawal of dopamine, CBF dropped from 34 ± 2 to 27 ± 2 ml/100 g/min on the ischemic hemisphere, and from 38 ± 3 to 32 ± 4 ml/100 g/min on the contralateral hemisphere. The differences between the mean cerebral blood flow values at highest and lowest doses of dopamine were statistically significant. During withdrawal of dopamine, 4 of the 8 patients treated deteriorated and treatment was resumed. All eight patients had a favourable outcome (Mendelow *et al.* 1986).

3. Hypertensive Hypervolemia

A series of 58 patients with preoperative or postoperative progressive severe neurological deficit associated with angiographic vasospasm were treated with hypervolemia and arterial hypertension (Kassell *et al.* 1982). Complete or partial resolution of the neurological deficit within one hour after the beginning of the treatment was observed in 81% (47/58). Permanent improvement occurred in 74% (43/58). Sixteen percent (9/58) remained unchanged and 10% (6/58) deteriorated during treatment. Interestingly, in

16 patients the deficit recurred when the blood pressure decreased transiently, and the clinical status was completely restored when the arterial pressure regained its previous level. In 16 patients with a recent subarachnoid hemorrhage and an unclipped aneurysm, the blood pressure was raised to more moderate levels, and three patients rebled as their systolic hypertension was elevated above 160 mmHg.

Hypervolemia plus hypertension needs continuous monitoring of central venous pressure and arterial pressure. Cardiac function should in addition be monitored with a Swan-Ganz catheter pulmonary arterial wedge pressure, cardiac output and the cardiac index then being continuously assessed. Usually with hypervolemic hypertension, the cardiac index rises up to 3 liters/min/sqm (Pritz et al. 1978). As in hypervolemic therapy, intracranial pressure monitoring is indicated when there is a severe neurological deficit and/or marked deterioration of the level of consciousness. The induction of hypervolemia and hypertension may lead to bradycardia and excessive diuresis. Atropine, 1 mg administered intramuscularly every 4 hours, may be necessary to control the vagal response (Kassell et al. 1982). Excessive diuresis should be compensated for by adequate fluid intake to maintain a positive fluid balance, and at times vasopressin or its synthetic derivatives are needed to keep the urine output down to 200 ml/ hour (Kassell et al. 1982). Complications of hypervolemia with hypertension are related to overhydration with pulmonary edema, hemodilutional hyponatremia, cardiac failure or myocardial infarction, and thoracic or cardiovascular events in relation to the venous or Swan-Ganz catheters. Of course, patients are at risk to rebleeding if their aneurysm has not been treated.

4. Hypertension + Hypervolemia + Hemodilution

Patients operated on early can be treated at the first sign of clinical vasospasm with hypertensive hypervolemic hemodilution (H.H.H.). The results of this triple treatment has been reported in 41 patients admitted for ruptured intracranial aneurysm who presented preoperative or postoperative clinical deterioration attributed to vasospasm (Awad *et al.* 1987). Hemodilution was achieved by intravenous infusion of colloids. The hematocrit fell from $38.4 \pm 3.3\%$ on admission to $33.0 \pm 1.9\%$ during the course of treatment. Mean sodium concentration was not substantially modified by hemodilution. With hypervolemia from intravenous whole blood infusion, central venous pressure ranged between 10 to 12 mmHg. The systolic pressure was increased to 160–200 mmHg. In patients with an unclipped aneurysm, the systolic pressure never exceeded 120–150 mmHg. To reach the desired level of hypertension, it was necessary to add intravenous dopamine or other vasopressors in 39% (16/41) of the patients, under the control of a cardiologist. In hypertensive patients, the hypervolemia and the withdrawal of antihypertensive drugs were sufficient to obtain the desired level of hypertension. These results were considered encouraging as clinical improvement was noted in 60% of patients during the course of treatment. At the end of treatment, 47% (19/41) of patients were neurologically intact, 33% (14/41) had a minor neurological deficit, and 19% (8/41) had a bad outcome (1 major deficit, 7 deaths). Pulmonary edema was a complication in three cases who responded well to diuretics. No ischemic brain edema or hemorrhagic infarcts were reported.

Hypervolemia, hypervolemia plus dopamine, or hypertensive hypervolemia, and hypertensive hypervolemic hemodilution have the same rationale and are only progressive degrees of intensity of the same therapy. There is no evidence that clinical results obtained were substantially different between them. Usually, the more severe the clinical condition of the patient, the more aggressive was the treatment. This is particularly evident in the series where vasopressive drugs were added (Giannotta *et al.* 1977, Kassell *et al.* 1982, Awad *et al.* 1987). Either pre- or postoperatively, hypervolemia with or without hypertension is indicated as the first line of treatment in the presence of clinical deterioration attributable to cerebral ischemia. The following are recommended:

- Hypervolemia should be used first. When available, colloïds are preferred to any other volume expanders.

— Monitoring of central venous pressure is necessary. Hypervolemia is achieved when the central venous pressure stands between 6 and 12 mmHg, with no change in the systolic blood pressure. The hematocrit should not be below 31-33%.

— Hypertensive therapy is indicated in the absence of substantial clinical neurological improvement within four hours after hypervolemia has been achieved. If vasopressors are needed, dopamine is easier to manage, but need careful fluid balance control.

— The association of calcium antagonists with hypertensive hypervolemic therapy remains to be further evaluated as the blood-brain barrier may be modified with loss of autoregulation, and experimental evidence suggests the possible extension of vasogenic edema (Höllerhage *et al.* 1988).

II. Vasoactive Drugs

A. Isoproterenol + Lidocaïne

Sundt reported his experience with isoproterenol and lidocaïne in the treatment of preoperative cerebral vasospasm in 1973. In 14 patients with ischemic neurological deficit, the initial response to treatment was an arrest of progressive deterioration with definite improvement in 12 patients and no effect in 2 patients who died. The rationale of this treatment expected an intracranial arterial vasodilatation with isoproterenol, a β -adrenergic drug that dilates peripheral arterioles, and increases myocardial contractility with subsequent increase of the cardiac output, while Lidocaïne was proposed as an addition to limit the positive chronotropic effect of isoproterenol (Sundt *et al.* 1973). This favourable experience was confirmed later in 30 patients, 21 with excellent or good outcome, 7 poor final results, and 2 deaths (Sundt 1975).

B. Isoproterenol + Aminophylline

In 1976, Flamm found the combination of isoproterenol and aminophylline to be very active in the treatment of experimental chronic vasospasm. Isoproterenol was supposed to stimulate adenyl-cyclase while aminophylline simultaneously inhibited phosphodiesterases. Relaxation of the smooth muscle cell was then favoured, as the cyclic monophosphates controlled the intracellular influx of calcium which is essential for maintaining actinmyosin coupling (Flamm and Ransohoff 1976). Furthermore, it was postulated that this combined therapy might play a favourable role on the balance between prostacyclin and thromboxane A2 (Flamm 1980). With the combination of intravenous 125 µg/hour isoproterenol and 125 mg/hour aminophylline, improvement in neurological status of patients presenting with preoperative symptomatic vasospasm was observed in about 60% of the cases (Flamm and Ransohoff 1976, Fleischer et al. 1977, Flamm 1980, Fleischer and Tindall 1980, George et al. 1984). There was a rapid response in patients who tolerated this treatment. If the pulse rate increased over 140 per minute, the rate of infusion was reduced without addition of any other drug. The duration of this treatment varied from 5 days to 2 weeks. Patients severely affected, however, had a 40% mortality rate. A series of 32 patients presenting with preoperative angiographic vasospasm with or without clinical signs or clinical signs with decreased CBF was treated with the association of isoproterenol + aminophylline (George et al. 1984). The mortality was 37.5% and morbidity was 22.5%. This double-drug therapy was recommended with a monitoring of the central venous pressure and with volume expansion with albumin or plasminate before starting the treatment. Aminophylline and isoproterenol were administered with an infusion pump at a rate of 1.5 mg/kg/hour for aminophylline and 1.5 µg/ kg/hour for isoproterenol. The medication was discontinued after three days if no improvement occurred and was continued for up to 14 days in case of favourable response.

This treatment was not well tolerated by some patients who developped tachycardia and cardiac arrythmias. Isoproterenol has a well-known positive chronotropic effect (Sonnenblick *et al.* 1979). This treatment is thus not indicated in patients with preexisting cardiac dysfunction, as the drug can cause arrythmias and premature atrial or ventricular complexes. The hemodynamic effect of isoproterenol is probably due more to its inotropic cardiac effect than to its β -adrenergic effect on the cerebral arteries. Other cardiac-active catecholamines are preferred to isoproterenol for their comparable inotropic because of their less pronounced chronotropic effect (Loeb *et al.* 1976).

Aminophyline is the water-soluble salt of theophylline. There is a strong relationship between toxicity and plasma concentration. The rate of drug metabolism can be modified by the clinical status of the patient, for example, hepatic cirrhosis increases by four the half-life time which is normally around 8 hours. Adverse reactions or toxic effects are associated with plasma theophylline concentration above 15 to $20 \,\mu g/ml$. Tachycardia is the most common adverse side effect, preceding more serious adverse reactions such as cardiac arrythmias or seizures. Permanent brain damage or even death have been reported (Bailey *et al.* 1981). It is thus advisable to start the treatment with a standard loading dose of 8.0 mg/kg infused intravenously within thirty minutes to achieve a serum concentration between 10 and $20 \,\mu g/ml$, and then adjust the infusion rate based on serial plasma theophylline assays (Bailey *et al.* 1981).

There are experimental arguments against this combined treatment. First, it was confirmed that intracarotid injection of aminophylline in man acts as a cerebral vasoconstrictor, reducing CBF even after infusion of small amounts (Gottstein and Paulson 1972). Secondly, isoproterenol has a low ability to cross the blood-brain barrier in man with a 3.8% extraction in a single passage because of its hydrosolubility (Olesen *et al.* 1978). Thirdly, intracarotid isoproterenol caused a significant reduction of CBF before the correction of a concomitant decrease in arterial pCO2 (Olesen *et al.* 1978). Additionally, experimental delayed cerebral vasospasm in dogs failed to be reversed by aminophylline treatment (Varsos *et al.* 1983).

C. Aminophylline + Nitroprusside + Dopamine

The addition of aminophylline to sodium nitroprusside and dopamine might be expected to have a synergistic favourable effect. Levy *et al.* (1982) reported the result of a combination of these three drugs administered intravenously in 5 patients presenting with evident delayed cerebral ischemia after aneurysmal SAH, who did not respond prior to volume expansion therapy. Aminophylline was started with a loading dose of 500 mg, and then was administered at 1 mg/kg/hour. Dopamine was slowly administered beginning at a dose of 1 to $5 \mu \text{g/kg/min}$, until the blood pressure

J. P. CASTEL

reached an optimal level. The dopamine infusion never exceeded $15 \mu g/kg/min$. The nitroprusside dosage was variable but was never above $4 \mu g/kg/min$. Practically, the doses varied from patient to patient. Theophylline and thyocyanate levels were monitored throughout. All patients responded favourably within 6 hours after the triple-drug therapy started. The improvement was sustained, and the treatment was stopped after two days without any weaning problems. This treatment combines cerebral vaso-dilation and increased cardiac output without causing excessive arterial hypertension (in four patients the ruptured aneurysm had not been secured). The results were encouraging, as all these patients who did not respond to hypervolemia showed a rapid and sustained response to this triple-drug therapy.

D. Nimodipine

Intravenous nimodipine has been proposed as an effective treatment of pre- or postoperative cerebral ischemia associated with vasospasm. In an uncontrolled prospective multicentre study, nimodipine was administered in 109 patients (Koos *et al.* 1985). Nimodipine was administered intravenously (24–48 mg/day) for 7 to 10 days and then orally (240 mg/day). Eighteen patients were later excluded. The results were reported in 91 patients. 60 patients presented preoperative clinical signs of cerebral ischemia, and 31 patients did so postoperatively. While 66% of the patients were in grade 3 to 5 at the onset of the treatment. At the end of the treatment, a favourable outcome occurred in 65% (59/91) of the patients, while 22% (20/91) of the patients did not improve and 13% (12/91) died. No adverse effects occurred. Information about associated treatment or medical or surgical complications was reported.

In a French randomized placebo controlled multicentre prospective study (Jan *et al.* 1988), a trend towards better results was found in a series of patients treated with 0.03 mg/kg/hour of nimodipine intravenously. Patients were eligible for the study when they presented either with a neurological deterioration attributable to cerebral vasospasm and/or marked angiographically confirmed vasospasm. Among 188 eligible patients treated, 61 patients were later excluded for protocol violation. 73 patients received nimodipine and 54 patients received placebo. Mortality or morbidity were not statistically different between treated and non-treated patients in the total group of 188 patients. However, among the 127 validated cases, a separate analysis of the 77 patients presenting delayed ischemic deficit only due to vasospasm showed a significant statistical difference in morbidity and/or mortality. The outcome was less unfavourable in patients receiving nimodipine (8/42 or 19%) than in untreated patients (17/35 or 49%). The risk of morbidity or mortality was reduced by 61% in the treated group (49%-19%/49% = 61%). In this study there is some confusion between patients included with symptoms due to vasospasm associated with angiographic signs and those included only with angiographic signs. Because of its rate of exclusions, the lack of information about the time of operation and the entrance date, and some statistical aspects, this study is open to criticism (Dorsch and Little 1989).

Intra-arterial nimodipine has been tried as a starting treatment of vasospasm during or after the angiography procedure (Grotenhuis *et al.* 1984, and Boker *et al.* 1985). In a series of 7 patients, Grotenhuis *et al.* (1984) concluded that intracarotid or intravertebral injection of nimodipine was not effective after vasospasm occurred, the immediate angiographic appearance remaining unchanged. Intravenous nimodipine was continued after the slow intra-arterial bolus, and the vasospasm was then judged less severe in some patients who had a third angiogram a week later. Boker *et al.* (1985) gave intra-arterial nimodipine to three patients in a dose of 0.2 mg/ hour for 90 minutes. The intra-arterial infusion was not followed by clinical improvement, but in two cases the immediate repeat angiography revealed some resolution of the intracranial arterial narrowing. Subsequent standard intravenous treatment of nimodipine regime was used and the patients were successfully operated on with a good outcome in all three cases.

Unfortunately, there is no strong evidence that nimodipine can reverse signs and symptoms of pre- or postoperative cerebral ischemia from vasospasm with more efficacy than other forms of treatment. Increase in the dosage of intravenous nimodipine has not be reported to be more effective (Hillmann *et al.* 1988, Seiler *et al.* 1988).

III. Naloxone

In 1981, Baskin and Hosobuschi reported spectacular clinical improvement of ischemic deficits in three patients within four minutes after intravenous injection of 0.4 mg naloxone. This effect lasted for 20 minutes and then promptly disappeared. As the deficit in one of their patients was exacerbated by morphine, it was suggested that the use of analgesic agents acting on opiate receptors (even the codeïne so commonly used) in the treatment of headache after SAH should be avoided.

The role of naloxone, an opiate antagonist, in the management of patients with cerebral ischemia remains controversial. The confusion may have resulted from differences in methodology or treatment dose, or from an excessive simplification of the complex mechanism of action of opiate antagonists (Faden 1984). The role of naloxone on cerebral ischemia remains unknown, and a vasoactive role has been also attributed to the alkylparabens used as the vehicle in the parenteral preparation of naloxone hydrochloride (Bell *et al.* 1985). Furthermore, even if the clinical phenomenon of cerebral ischemia can be reversed, it is not clear that this was an effect of naloxone on the pathological process itself.

Naloxone must be given parenterally. After a single bolus naloxone concentration in the brain and in the blood falls rapidly. Thus, a constant infusion is necessary if a sustained action is to be achieved. Side effects of large doses of naloxone have been determined in a dose-response study confirming that large doses of naloxone did not produce major cardio-vascular complications (Adams *et al.* 1986).

No significant beneficial effect of 0.4 mg of naloxone was evident later from a series of 21 patients with mild neurological deficits secondary to aneurysmal SAH (Bell *et al.* 1985), but a higher dose of 2.0 mg favourably (although marginally) changed the neurological condition in 5 patients, perhaps justifying further study in humans. The absence of clinical effects with low doses of naloxone do not justify any reserve in the use of codeïne or small doses of opiate agents for post-SAH headache (Bell *et al.* 1985). Naloxone may differentiate between reversible versus irreversible cerebral ischemia when injected as an intravenous bolus of 0.8 mg in patients with stroke (Estañol *et al.* 1985).

IV. Barbiturates

High dose barbiturate therapy in the treatment of neurological conditions associated with cerebral ischemia and/or intracranial hypertension has given rise to much controvery, as there is still no definitive proof that this therapy is effective in humans. Experimentally favourable effects of barbiturates on cerebral ischemia or intracranial hypertension have been demonstrated. This "brain protection" is supposed to result from the combined effects of a decrease in cerebral metabolic rate, a reduction of brain swelling and intracranial hypertension, an increase in the local tissue perfusion pressure, and membrane stabilization (Kassell *et al.* 1980). Furthermore, experimental in vitro relaxation of arterial tone previously increased by noradrenalin or potassium chloride or 5 hydroxytryptamine has been shown when pentobarbital was added in the circumstances of an organ bath (Marin *et al.* 1981).

When a progressive neurological deficit from vasospasm secondary to subarachnoid hemorrhage is refractory to any other aggressive treatment, this treatment has been proposed without any convincing clinical results (Hashi and Tabaka 1980, Kassell *et al.* 1980, Samson and Beyer 1980, Miyagi *et al.* 1984). All available clinical data pertains to less than 50 patients. The mortality neared 50%, but it is not obvious that this was the result of lack of effect or the consequence of management complications. Until further evidence of utility high dose barbiturate therapy should be limited to patients with severe diffuse cerebral ischemia from vasospasm unresponsive to any other treatment, and must, even then, be regarded as highly speculative.

V. Steroids

A. Hydrocortisone in Large Doses

In cats, after intravenous injection of large doses of hydrocortisone, a marked decrease in the spontaneous electrical activity recorded from the renal sympathetic nerve was documented (Hashi *et al.* 1980). Furthermore, suppression of vasoconstrictor tone in the cerebral vasculature was documented in dogs after 100 mg/kg intravenous injection of hydrocortisone, resulting in significant angiographic dilation. However, this intravenous injection was followed by bradycardia and a 50% drop of the arterial blood pressure. In the treatment of cerebral vasospasm, hydrocortisone in large doses was regarded as causing cerebral arterial dilatation with the prevention of cerebral edema.

This treatment was given to ten patients operated on within four days after the rupture of their intracranial aneurysm (Hashi *et al.* 1980). All patients developed 2 to 9 days later a deterioration of their consciousness with or without focal signs. The hydrocortisone intravenous dose was 100 mg/kg injected within 5 to 10 minutes twice daily, and the expected fall in arterial blood pressure was compensated by infusion of dopamine. Five patients improved clinically within 4 hours after the initial dose of hydrocortisone. The final outcome was excellent in 5 patients, poor in one case, and 4 patients died. Glycosuria exceeding 300 mg/dl was noted in 3 patients and required insulin injection. Gastro-intestinal hemorrhage occurred in one patient.

B. Dexamethasone, Betamethasone, Methylprednisolone

Dexamethasone, betamethasone or methylprednisolone are often used as part of the medical management of patients in poor condition after their aneurysmal SAH. It is surprising to find how frequently steroids are routinely prescribed to all patients admitted, as if it was a standard part of the medical management of aneurysmal SAH (Pritz *et al.* 1978, Giannotta *et al.* 1979, Zervas et al 1979, Kassell *et al.* 1980, Rosenwasser *et al.* 1983, Flamm *et al.* 1988, Öhman and Heiskanen 1988, Seiler *et al.* 1988, Gilsbach and Harders 1989). The usual dose administered is intravenous or intramuscular injection of 4 mg to 6 mg every 6 hours of dexamethasone or betamethasone, or 20 to 40 mg every 6 hours for prednisolone. To our knowledge, there has never been any validation of steroid therapy in such dosage in cases of SAH.

J. P. CASTEL

Various mechanisms of action have been proposed for possible steroid effect including free radical scavenging, lyzozyme membrane stabilization, mitochondrial stabilization, improved recovery of the energy metabolism. Only experimental data has ever suggested that steroids may prevent chronic cerebral vasospasm (Chyatte *et al.* 1983, Chyatte and Sundt 1984). The postulate was that chronic cerebral vasospasm may be linked to an inflammatory process following SAH. In dogs with SAH, large doses of methylprednisolone, dexamethasone or ibuprofen have been tested. A protective effect against cerebral vasospasm was confirmed with high doses of methylprednisolone (30 mg/kg every 8 hours), lower doses of methylprednisolone or usual doses of dexamethasone (0.1 mg/kg) were less effective although better than no treatment at all (Chyatte and Sundt 1984).

Twenty one patients with recent aneurysmal SAH considered to be at a high risk of delayed cerebral ischemia were treated with large doses of methylprednisolone (Chyatte et al. 1987). The intravenous treatment was 30 mg/kg every 6 hours, with a progessive withdrawal of the drug after 3 days. A group of 21 patients admitted at the same time served as controls. Delayed cerebral ischemia occurred in 5 of 21 treated patients, and in 9 of 21 non-treated patients. The mortality was higher in the control group than in the group of treated patients (28% versus 14%). Although the final outcome seemed substantially better in the group of treated patients, the results did not reach statistical significance. In this study, patients receiving high dose steroids developed neurological symptoms of cerebral ischemia later than expected, and it was suggested that the treatment could have delayed pathological events related to the inflammatory response. The toxic effects of prolonged high dose steroid treatment were briefly discussed. While steroids may have potential effect in minimizing ischemic cerebral damage, there is currently no proof of an effect on symptomatic vasospasm. Specific clinical trials are still lacking. In a placebo controlled randomized study, it has been shown the absence of any demonstrable beneficial effect of dexamethasone one the mortality and morbidity of spontaneous intracerebral hemorrhage and the presence of significant harmful effect, i.e., infections and exacerbation of diabetes has been shown (Poungvarin et al. 1987). This study suggested that after aneurysmal rupture with intracerebral hemorrhage, any effect of steroid treatment is questionable.

Conclusions

1. In the early management of aneurysmal SAH, there is a clear evidence that a number of manoeuvres can reduce the incidence of the major complications occurring within the first two weeks. and thereby can affect the final outcome of the patients. From the clinical studies reported, there is convincing evidence in favour of a positive preventive or curative effect of the careful correction or overcorrection of the volemia. There is still a persistent doubt about the real efficacy of prevention provided by some of the drugs that are available.

2. Various pharmacological agents have been studied in patients, but only few of them have been really tested comparatively on a scientific basis. Double-blind controlled trials have been considered the best way to validate the clinical usefulness of a new drug. Controlled clinical trials are not always possible, however, because they require large financial and scientific supports, not always proportionate to the possible market value of the drug in terms of cost/effectiveness.

3. In the management of aneurysmal SAH, it is disappointing to find that after so many years clinical results have not been dramatically improved. This contrasts strongly with extraordinary efforts of experimental research. Experimental findings lead to a better understanding of the phenomena of cerebral arterial vasospasm; they emphasize for example the role of the endothelium in the reactivity of the cerebral arteries after SAH. It is now time for experimental findings to give rise to original clinical investigations.

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B. Technical Standards

Unilateral Partial Hemilaminectomy for the Removal of Extra- and Intramedullary Tumours and AVMs

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With 9 Figures

Contents

| Osteoplastic Laminectomy | 116 |
|----------------------------------|-----|
| Unilateral (Partial) Laminectomy | 116 |
| Clinical Material | |
| Operative Technique | 117 |
| Discussion | 123 |
| References | 130 |
| | |

In 1967, microsurgical techniques were introduced in Zürich. Since that time, the senior author has had the opportunity to operate on 78 spinal AVMs and 250 spinal tumours (46 extradural, 94 extramedullary, and 110 intramedullary tumours).

Extensive laminectomies have been advocated for the removal of spinal cord tumours since Horsley first removed a benign spinal cord tumour in 1887¹³. The first successful laminectomy was performed in 1829 by Alban Smith¹⁸ and the modern approach to laminectomy is well described by Love¹⁷. The bilateral approach to the spinal cord has been recommended for years because it was believed that only such an approach would allow full visualization of the spinal cord and its relationship to spinal cord tumours. The current techniques of approach used for the removal of these lesions are the result of an attempt to minimize postoperative complications concerning the stability of the vertebral column. Initially, the main surgical objective was resection of the lesion without injury to the spinal cord, nerve

| (1967—1989) |
|---------------|
| Tumours |
| of Spinal |
| Treatment |
| Microsurgical |
| Table 1. |

| | Total | Cervical | Cervico- thoracal | Thoracal | Thoracal Thoracolumbar Lumbar | r Lumbar | Lumbosacral | Sacral |
|-----------------------|--|------------------|----------------------|------------------|-------------------------------|-------------------|--|-------------|
| Meningioma | 33 (22) 31 (12) | 7 (3) 19 (0) | 1 1 | 21 (17) 3 (1) | 2 (1) | 2 (1) 8 (2) | $\begin{pmatrix} 1 \\ - \end{pmatrix}$ | |
| Ependymoma | | 11 (6) | 14 (4) | 7 (I) 7 | 7 (3) | $\frac{0}{1}$ (1) | | I |
| Astrozytoma | | 15 (6) | 4 (<u>2</u>) | 12 (3) | Ì. | х Г | Ι | I |
| Malignant glioma | 2(1) | I | 1 (-) | 1 (1) | I | Ι | I | I |
| Glioblastoma | 5(-) | 1 | 1 | 2 (-) | I | I | I | I |
| Spongioblastoma | 3(-) | $\frac{1}{2}(-)$ | 2 (-) | E I | 5 | I | I | I |
| Angloblastoma | | 8 (3) 1 (3) | I | () 0 0 | | I | I | - - - |
| Cyst Ganglioglioma | (C) (C) (C) (C) (C) (C) (C) (C) (C) (C) | | 1 (-) | 3 (1) | 2 (I) 1 (-) | | | I (_) - |
| Angioglioma | $\frac{1}{(-)}$ | | 1 (-) |) | | I | I | I |
| Cavernoma | 2 (2) | 1 (1) | , , I | 1 (1) | I | I | I | I |
| Lipoma | 8 (3) | Ι | 1 (1) | I | I | 1 (-) | 3 (1) | 3 (1) |
| Angiolipoma | (-) | I | I | I | , 1, | í I d | 1 (-) | I |
| Dermoid | 3 (2) | I | Ι | , , , | 1 (-) | 2 (2) | I | 1 |
| leratoma | (-) - (| ; ; | I | | | Ę - I | I | / . |
| Sarcoma | (?) 2 | 3 (I) | | 9 E | | I (I) | I | I (-) |
| Unutration I Amphoma | (c) (f) (() (f) (f) (f) (f) (f) (f) (f) (f) (f) | - CD C | | (<u>-</u>) | - () | | | 1 1 |
| Thymoma | 1 (]) |) | I | ∼ ĩ∣ | 1(1) | I | 1 | I |
| Wilms' tumor | 1 (1) | Ι | Ι | I | 1 (1) | I | I | I |
| Plasmocytoma | 1(-) | I | I | 1 (-) |) | I | I | I |
| Aneurysm. bone cyst | 1 (-) | I | I | 1 (-) | - | Ι | I | 1 |
| Metastases | 16 (4) | 1 (-) | I | 14 (4) | I | I | 1(-) | I |
| Medulloblastoma | 5 (]) | (-) | 1 (-) | 1 | 3(1) | I. | I | I |
| Melanoma | (7) | | 1 | 4 (-) | 2 (2) | I | I | I |
| Syringomyelia | 8 (4) 8 (5) | 7 (4) | | I | 1 | I | | I |
| | $\frac{2}{2}(1)$ | | 1 (1) | 1 ' | 1 | I | $\left(-\right)$ | I |
| Subarachn. cyst | (-) | 1 (-) | 1 | $\frac{1}{2}$ | I | I | 1 (-) | I |
| Hematoma | (I) 7 | I | I (-) | | I | I | I | I |
| Echinococcus | $\left(- \right)$ | I | I | $\frac{1}{2}$ | I | I | I | 1 |
| I uberculosis | 1 (-) | | | 1 (-) | I | 1 | 1 | 1 |
| | 250 (100) | 80 (36) | 29 (8) | 89 (36) | 23 (11) | 15 (7) | 9 (1) | 5 (1) |

() Operated since 1980.

114

M. G. YAŞARGIL et al.

Unilateral Partial Hemilaminectomy

| | Bilateral | Unilateral | Reconstructive | Total (1980–1989) |
|-----------------|-----------|------------|----------------|-------------------|
| Cervical | 52 (6) | (20) | (10) | (36) |
| Cervicothoracal | 19 (4) | (2) | (2) | (8) |
| Thoracal | 69 (16) | (16) | (4) | (36) |
| Thoracolumbar | 12(-) | (11) | (-) | (11) |
| Lumbar | 15 (3) | (4) | (-) | (7) |
| Lumbosacral | 9 (1) | (-) | (-) | (1) |
| Sacral | 5 (1) | (-) | (-) | (1) |
| | 181 (31) | (53) | (16) | (100) = 250 |

Table 2. Approaches to the Spinal Tumours (1967-1989)

() Operated since 1980.

| | Extradural | Extramedullary | Intramedullary | Total |
|-----------------|------------|----------------|----------------|-----------|
| Cervical | 7 (2) | 28 (12) | 45 (22) | 80 (36) |
| Cervicothoracal | 1(-) | 2(-) | 26 (8) | 29 (8) |
| Thoracal | 27 (8) | 27 (18) | 35 (10) | 89 (36) |
| Thoracolumbar | 6 (4) | 13 (5) | 4 (2) | 23 (11) |
| Lumbar | 3 (3) | 12 (4) | _ | 15(7) |
| Lumbosacral | ì | 8 (1) | _ | 9 (1) |
| Sacral | 1 | 4 (1) | _ | 5 (1) |
| | 46 (17) | 94 (41) | 110 (42) | 250 (100) |

Table 3. Localization of Tumours (1967-1989)

() Cases operated since 1980.

roots or vascular structures. In patients with extra- or intramedullary tumours of relatively short length (1-4 cm), cervical, thoracic or lumbar laminectomies of 1-3 laminar segments caused at most minimal instability of the vertebral column. Long extending extra- or intramedullary tumours (5-20 cm), which required osteoplastic laminectomies along 5 to 15 laminar segments have not been without complications, especially in the case of children and young adults. Postlaminectomy vertebral column instability, severe kyphoscoliosis, spinal deformities, extradural scarring with dural constriction and loss of posterior osseous protection have all been described^{3, 5, 14, 16, 19, 23, 24, 30}.

M. G. YAŞARGIL et al.

Osteoplastic Laminectomy

The importance of the preservation of the posterior arch and its ligaments and paravertebral muscles has become more widely recognized and has led to the development of several new posterior approaches to the spinal $cord^{8}$, ^{10, 12, 20, 21, 25}. Our own experience with similar complications of spinal cord column stimulated us in 1973 to develop a technique to preserve the integrity of the posterior bony elements and soft tissues. It involves bilateral laminectomy with the help of an oscillating micro-saw which allows removal of the posterior arch (including spinal processes) in one piece. This is performed over several levels (3-6 or more) leaving the connecting ligamentous structures between the arches and processes intact. In cases in which radical removal of the tumour is possible and no postoperative swelling of the cord is anticipated the arches can be replaced. Two sutures at each end secure the laminae and the proximal and distal aspects are sutured to the adjacent ligamentous tissue. In 12 cervical and 4 thoracic intramedullary tumours this technique has been used successfully between 1973 and 1980. This osteoplastic laminectomy results in good stability of the spinal column but it was abandoned as a routine procedure since it requires immobilization of spinal column for at least 3 months during the healing and stabilization processes with resultant inconvenience to the patient and interference with necessary physiotherapy. In addition, when radical removal of an infiltrative intramedullary tumour was not possible. the laminae could not be replaced over the swollen cord.

Unilateral (Partial) Hemilaminectomy

Since 1980 the technique of more limited unilateral and partial hemilaminectomy has been developed. Microsurgical experiences with deep intracranial lesions have shown that they can be approached and removed without using wide exposure. Experience with microtechniques applied to disc surgery (lumbar, cervical or thoracic) and to surgery of small spinal meningiomas and neurinomas has proved convincingly that this limited hemilaminectomy is effective; such lesions could be successfully removed through a small one-sided opening in the soft tissue (skin and muscle) and with minimal resection of the bony elements.

Therefore we have adopted a similar approach also for extra- and intramedullary tumours. The unilateral approach and unilateral dissection of the paravertebral muscles, partial removal of 1-3 laminae, medial dural and arachnoidal openings provides visualization of both sides of the cord, even of contralateral nerves and vessels and enough space to dissect the tumour in a circumferential manner from both sides. Currently, meningiomas and neurinomas require only 1 or $1\frac{1}{2}$ level hemilaminectomies whereas usually larger gliomas need partial unilateral resection of 3 to 6

laminae or more. The one-side approach saves the soft tissue of the opposite side, as well as the spinal process and the opposite lamina and ligament. Patients therefore can be mobilized the following day after surgery without the use of any external support.

The only tumours that are not removed by this approach are those which have extensive bilateral epidural invasion of the soft tissue surrounding the spinal cord. Intramedullary and extramedullary-intrathecal tumours at all spinal levels have been removed through this limited exposure of hemilaminectomy. The surgical exposure is illustrated in Fig. 3.

Clinical Material

In this series of 100 patients (since 1980), 101 spinal tumours (one patient had two neurinomas) have been resected by using the partial hemilaminectomy approach. The mean age of the 35 males and 65 females was 31 years (range 4-82). Tumours were removed from the cervical (36), cervicothoracic (8), thoracic (36), thoracolumbar (11), lumbar (7), lumbosacral (1) and sacral (1) regions (see Table 2). The average number of hemilaminectomies performed for each tumour was 4 (range 1-8). Seventeen tumours were epidural, 41 were extramedullary-intrathecal, and 42 were intramedullary (Table 3). The tumour pathology is detailed in Table 1. The commonest tumour removed was the intradural-extramedullarv meningioma (33). The largest diameter tumour removed was a 5 cm diameter schwannoma located in the cervical region. The most extensive intramedullary tumour was an ependymoma extending from C2 to T6, and the most extensive epidural tumour was a metastatic chondrosarcoma extending from C7 to T4. In one patient with neurofibromatosis, two meningiomas were removed from the thoracic region and in addition a syringoperitoneal shunt was also performed through this exposure. No intraoperative complications (hemorrhage, spinal cord injury) were felt to have resulted from using this limited exposure. All patients have been carefully followed and no complications such as spinal deformity or spinal instability have been observed. Examples of the spinal cord tumours are shown in Figs. 6-8 (MRI and x-ray images).

Operative Technique

A lateral spinal x-ray is initially used to mark the surgical level for the removal of the spinal cord tumour (Fig. 1). With the patient in the prone position a paramedian skin incision is made on the side of tumours such as meningiomas, neurinomas or any other extramedullary lateral tumour. In the case of an intramedullary tumour, the extension of the tumour more to one side will dictate the side of approach. The subcutaneous tissue is incised to expose the dorsal fascia. The fascia is incised in a curvilinear



Fig. 1. The positioning of the patient for thoracic, and thoraco-lumbar spinal surgery; including a demonstration of the technique of level identification using a lateral x-ray and a spinal needle introduced at the target level



Fig. 2. The specially constructed self-retaining retractor used for unilateral hemilaminectomies

fashion approximately 10 mm off the midline and reflected towards the midline leaving the intraspinal ligaments intact. The paravertebral muscles and ligaments on only one side of the spinous process are stripped subperiostally and reflected laterally. A specially constructed self-retaining retractor is used to maintain this exposure (Fig. 2). With the posterior spinal arch unilaterally exposed, a 6–8 mm wide hemilaminectomy is then performed using a high-speed electric drill and small Kerrison rongeurs. A small amount of bone may also be removed laterally with the rongeurs, but the joint is not removed. The spinous processes may be minimally undercut with the drill to gain access to the contralateral side. The bony opening is approximately 6–10 mm wide (Fig. 3). The ligamentum flavum



Fig. 3. An artistic drawing of a 2 to 3 segment hemilaminectomy. A) The hemilaminectomy and undermining of the spinous process using a high speed drill. B) Following the bone removal, the dura and arachnoid are opened in the midline with full dorsal visualization of the spinal cord



Fig. 4. An artistic drawing of the spinal cord and arachnoidal architecture. The midline septum which may be displaced by tumour expansion and pathological vessels is an important component of the arachnoidal covering

is then incised exposing the spinal dura. In the case of an intradural tumour, the dura is longitudinally incised in the midline. The arachnoid is also similarly opened and then reflected back, after cutting the numerous arachnoid fibers and arachnoidal septa in the dorsal midline, and secured to the edges of the dura with silver clips (Fig. 4).

By gently retracting the spinal cord and spinal roots, and adjusting the line of vision through the microscope, tumours can be removed from dorsal, dorsolateral, lateral, contralateral, ventral and dorsal aspects of the spinal cord. Extensive intramedullary tumours can also be resected through this approach after releasing the cyst in or around the tumour or decompression of the centre of the tumour and dissecting with the help of bipolar forceps around the tumour cleavage plane, sucking off the tumour piecemeal with the ultrasonic dissector (Cavitron).

Following tumour resection the arachnoid is reapproximated using bipolar thermo-coagulation or microsutures and then the dura is closed with a running suture (Fig. 5A–C). The fascia and skin are closed in the standard fashion. The muscles are not sutured. Representative cases are shown in Figs. 6–9.



Fig. 5 A–C. Closure of the spinal dura through a hemilaminectomy required development of a new short radius needle. This needle is now commercially avalaible

M. G. YAŞARGIL et al.









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Fig. 6. Case I: A 19 year old male student presented with a subarachnoid hemorrhage after three years of worsening sacral pain and micturition difficulties. The preoperative MRI revealed a 23 cm long intradural tumour in the lumbosacral region containing a recent hematoma, and marked dilatation of the spinal canal (A, B). The lesion was removed through a left sided partial hemilaminectomy extending from T-12 to S-2. Histology confirmed a myxopapillary ependymoma. The patient was mobilized the day after surgery, the postoperative course was uneventful. The patient remains symptom free, fully active in recreational sports, and without neurologic deficit. MRI plain x-ray and demonstrate the hemilaminectomy with complete vertebral column stability, and tumour resection 8 months postoperatively (C, D)

Discussion

Although the standard multilevel laminectomy continues to be commonly used for spinal cord decompression, it may be associated with a number of postoperative complications. These problems, which include spinal deformity, spinal instability, dorsal extradural scar formation with dural constriction and absence of osseous protection for the spinal cord, may lead to a progressive myelopathy^{3, 5, 14, 16, 19, 23, 24, 30}.

Haft and associates were the first to describe the risk of kyphoscoliosis and instability after laminectomy for intraspinal tumour removal in children¹¹, and Bette and Engelhardt described in 1955 two adolescents "swan-neck" deformities who developed following multilevel laminectomy². Since these original reports many others have detailed the problems with multilevel laminectomy. Yasuoka and associates reported in 1982 a follow-up study of 58 patients aged less than 25 years old who underwent multilevel laminectomy³⁰. They found that spinal deformity developed in 46% of these patients less then 15 years of age and developed in all patients who had cervical laminectomies. Postoperative kyphosis has been reported by others to be as high as 50% in paediatric patients who undergo laminectomy for spinal cord tumour¹⁶. Mikawa and associates describe a group of older patients (mean age 50 years) who underwent multilevel cervical laminectomy¹³. They report that 36% showed postoperative changes in curvature type and 14% developed spinal deformities. Callahan³ describes three groups of patients who have a higher probability of developing deformities: (1) patients aged less than 25 years, (2) patients who have had a laminectomy following trauma and in whom spinal stability is already compromised, (3) patients who have undergone laminectomy with extensive dissection of the facets.

Musculoligamentous insufficiency related to surgical stripping and denervation of the posterior paraspinal muscle complex has been suggested to be responsible for these progressive postlaminectomy deformities²⁰.

In an effort to reduce the rate of postlaminectomy complications, a number of innovative operative techniques have been developed^{6, 7, 8, 9, 10, 12, 20, 21, 22, 25, 29}. All attempt to preserve some of the musculoligamentous attachments and bony posterior elements. Gros and associates describe their conservative lumbar laminectomy in which the laminectomy is performed after the spinous processes have been cut at their bases and retracted en bloc laterally¹⁰. Ohmori and associates recently described their "suspension laminotomy" in which they carefully preserve the posterior spinal ligaments, the paraspinal muscles and reestablish the posterior arch of the vertebra²⁰. The technique of microdiscectomy was also developed to reduce the amount of tissue trauma necessary for the removal of herniated lumbar discs^{1, 4, 6, 15, 26, 27, 28}. It was believed that by using the microscope and





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Fig. 7. Case II: A 32 year old male orthopedic surgeon presented with a 4 week history of dysesthesias involving both arms. Physical examination revealed mild weakness of both arms, right worse than left. MRI revealed a cystic intramedullary tumour from C-4 to C-5 (A, B). The histologically confirmed ependymoma was removed through a C-3 to C-5 partial left hemilaminectomy. The patient's postoperative course was uneventful and he is working at full capacity as a surgeon without symptoms or neurologic deficits. An MRI done 6 months postoperatively demonstrates the tumour resection and normal spinal alignment (C, D). There was no postoperative vertebral column instability. Postoperative plain x-ray of cervical column and lateral view (E, F)

microsurgical technique, the disc could be removed with less dissection of the paravertebral muscles and ligamentum flavum resulting in less extradural scarring and less postoperative instability^{27, 28}.

In Zürich, the technique of hemilaminectomy is used routinely for the removal of all spinal cord tumours, with the exception of those extradural tumours which extensively invade local soft tissue structures bilaterally. With the aid of microneurosurgical techniques, the exposure provided by the hemilaminectomy is adequate for removal of intramedullary, intradural-extramedullary, and extradural tumours. The advantages of this technique versus the standard laminectomy are: (1) unilateral dissection of the paravertebral muscles and ligaments, and unilateral hemilaminar removal

M. G. YAŞARGIL et al.



Fig. 8 A–D

Unilateral Partial Hemilaminectomy



Fig. 8. Case III: A 15 year old girl presented with right leg weakness which recently developed after 2 years of right arm and shoulder weakness. MRI revealed a left sided intradural extramedullary tumour. 1 ¹/₂ × 5 cm at C-4 and C-5 (A, B). The tumour was resected through a partial left (C-4, C-5 and C-6) hemilaminectomy. Histology confirmed a neurinoma. Postoperatively, the patient made a rapid recovery and is symptom free without neurologic deficit. One year postoperatively plain x-ray and MRI demonstrate the tumour resection and hemilaminectomy with normal vertebral column alignment and stability (C, D, E, F)

provides a more stable spine postoperatively since the contralateral side remains intact; (2) the spinous process and intraspinal ligaments remain intact again providing more postoperative stability; (3) unilateral removal of the ligamentum flavum exposes less dural area thus less epidural scarring should occur postoperatively; and, (4) osseous protection for the spinal cord is improved since only a hemilaminectomy has been done.

In summary, by performing a hemilaminectomy and using microneurosurgical technique for the removal of almost all spinal cord tumours, the postoperative complications experienced following multilevel laminectomies can be much reduced. The extensive dissection of the multilevel laminectomy is eliminated and more of the natural protection for the spinal cord and biomechanical stability of the spine is preserved. The use of the



Fig. 9. Case IV: A 12 year old girl in July of 1988 developed an acute right sided arm weakness which rapidly progressed to a dense tetraparesis. She spontaneously improved over several hours but was left with a residual torticollis. The MRI was suggestive of an arteriovenous malformation at C-4, C-5 and C-6 (A, B, C). A selective spinal arteriogram confirmed an intramedullary AVM which was considered too risky for embolization (D, E, F, G). 3 weeks later a right partial (C-4, C-5 and C-6) hemilaminectomy was performed and the lesion removed. The patient had an uneventful recovery and is without symptoms or neurologic deficits. Postoperative MRI after 2 weeks demonstrated normal spinal alignment at the operative site (H, I). No instability of vertebral column



M. G. YAŞARGIL et al.



hemilaminectomy has also advantages over other reconstructive laminotomies because it makes use of the spine's natural musculoligamentous stability and osseous protection.

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132 M. G. YAŞARGIL *et al.*: Unilateral Partial Hemilaminectomy

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Organization of the Primary Transportation of Head Injuries and Other Emergencies in the Federal Republic of Germany*

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With 2 Figures

From ancient times until recently First Aid has been rendered to the injured by laymen. Organized medical assistance was not available. It was Larrey, Napoleon's Chief Surgeon, who in 1792 first introduced military medical emergency care. Army surgeons were stationed close to the front line in readiness for the expected casualty, who were brought to them in horse drawn wagons. It meant that 48 hrs. passed before the wounded received surgical attention; 46% of them died.

The problems of modern primary surgical attention were, in the main, organizational: in as much as it concerned the reduction of the so-called "care-free interval". This interval refers to the inevitable time-lapse experienced by the wounded before receiving medical attention. This period amounted to 12 to 24 hours in the 1st world war with a 8.5% loss of life. Better organization in the 2nd world war contracted this time down to 6–12 hrs. with a subsequent reduction in the loss of life to 5.8%. Nevertheless the time-interval for the evacuation of head injuries continued to average 24 hrs. The reasons for this were twofold: firstly these casualties required evacuation to specialist units and secondly closed head injuries were not so readily diagnosed as injuries to the trunk and limbs. During the conflict in Vietnam the Americans used helicopters for the immediate evacuation of the wounded. This achieved a reduction of the care-free interval to 65 minutes on average, and a figure of 1.7% regarding loss of life.

Already in 1938 Kirschner in Germany raised the question of the demand for the doctor to come to injured persons rather than vice versa.

^{*} FRG means West Germany and West-Berlin before the unification of Germany on October 3, 1990.

Yet it was only in 1957 through the initiative of the surgeon K. H. Bauer that the use of the "flying squad" became a reality. Since then rapid development of the Emergency Services has been seen, not only in Germany but also in other countries of Europe, especially in England progress was fast, as the example of Rowbotham's organizational plans show.

In the Federal Republic of Germany further development led to the introduction of helicopter evacuation. In 1971 helicopter "Christoph 1" was first used in Munich and in 1972 three further helicopters "Christoph 2, 3 and 4" were stationed in Frankfurt/Main, Cologne and Hannover respectively. So today a system of air-evacuation exists, using over 36 helicopter bases covering the whole of the country as shown in Fig. 1. The whole of the Emergency Services of the Federal Republic of Germany are by law part of the State's Health Services, jointly financed by the Federal Ministries of the Interior and of Defence. The organization of the Emergency Service itself is the task of the regions but the District General Hospital and its catchment area is responsible for its ambulance and helicopter headquarters including the provision of emergency medical cover. Such cover is almost entirely provided by hospital doctors, particularly experienced in Intensive Care, Anaesthesia and Emergency Surgery.

Of importance for the further shortening of the care-free interval is the mode of transport. Five different types of transport vehicles are available in the Federal Republic of Germany:

1. Ambulance Type I ("Krankentransportwagen"): This vehicle is used for the movement of non-urgent patients. It is equipped for simple First Aid.

2. Ambulance Type II ("Rettungswagen"): This is a much bigger vehicle supplied with a comprehensive range of medical equipment as laid down by international agreement. It is manned by two ambulance men, but not a doctor.

3. Ambulance Type III ("Notarztwagen"): This is a vehicle for the Emergency Medical Team of the Flying Squad. The vehicle is stationed at the hospital and affords a higher quality of rescue. Two patients can be cared for and the compliment of 4 staff consists of a driver, two ambulance men and one casualty surgeon. The squad is available 24 hrs. round the clock. The staffing ratio is relatively high, requiring 10 ambulance men and 4 doctors.

4. Ambulance Type IV ("Notarzteinsatzfahrzeug"): We refer to this type of vehicle as the "emergency call in". This machine is equipped with all important medical instruments and drugs. The use of this ambulance is cheaper than Type III and is manned by one ambulance man and one doctor. Over the 24 hrs. it represents a considerable saving in personnel compared with the Type III ambulance.

This ambulance, Type IV, works on the so-called rendezvous-system as follows: as medical demand at an accident may require besides this Ambulance, Type IV, additional help, Ambulance Type II is also dispatched; if according to the need of the injured a medical escort to the hospital is necessary, the doctor of the Type IV Ambulance accompanies the injured in the Type II Ambulance for practical purposes converting it into a flying squad ambulance as described under Type III. Should no medical escort be required, then this ambulance, Type IV is ready for the next call and the simultaneously dispatched Ambulance Type II evacuates the casualty in company of the two ambulance men to the hospital.

5. The most expensive method of transportation is by *Helicopter Air Ambulance* ("Rettungshubschrauber"). Among the advantages are a greater catchment area, speed up to 200 km/hour, not dependent upon road conditions or traffic density. The injured can be flown directly to the specialty unit with less movement and disturbance during the transfer, an important consideration where spinal and head injuries are concerned. One drawback of helicopter evacuation is its dependence upon daylight and the weather as it flies by ground landmarks, and so cannot be used in darkness or in snow or fog. Per year these adverse conditions prevented in Germany the use of helicopter on 3 to 10 days (6 days on average in Hannover). A further drawback of the older helicopters (Type MBB BO 105) is the cramped space available which severely restricts what can be done for the patient during the flight. The newer types (MBB K 117) are much better in this respect since there is more room.

An *Ambulance Headquarters* coordinates and decides upon previously agreed criteria what method of evacuation is appropriate and what type of ambulance is sent to the site of an accident. If the Flying Squad is part of the team the Casualty Surgeon will decide on the spot:

- 1. priorities of medical treatment,
- 2. whether more medical or technical equipment is needed,
- 3. to which hospital the injured will be sent.

The Casualty Surgeon will know the hospitals of the area, he may have to overrule local Emergency Services, and will therefore be of consultant status in Emergency Surgery and Intensive Care. He will be able to carry our endotracheal intubation, institute positive pressure respiration, pleural drainage, dress an open head-injury, decide upon urgent medication and the type and amount of immediate intravenous transfusion.

The year 1985 will be taken as an example to illustrate the method and use of these various types of ambulance. In the Federal Republic of Germany 560 000 emergency calls were answered in 1985: 47% constituted medical emergencies, 15.7% traffic accidents, the remainder, 37.3%, included accidents at work, sport and leisure. 54.9% were dealt with by

Ambulances of Type III described above, 40.7% by Ambulances of Type IV and 4.4% by Helicopter Air Ambulances Type V.

The reason for the use of a helicopter is given in a review of 248.000 emergency calls: 42% in traffic accidents, 23% in medical emergencies, 10% in accidents at work, 8% for miscellaneous reasons and in 17% for secondary transports, which means transfer from the primary hospital to another hospital with possibilities for specialist treatment, say a Regional Neurosurgical Centre.

The mode of calling the emergency surgeon, the time it takes for him to reach the scene of the accident, the method of transfer of the injured to the hospital, all in all the effectiveness of the primary care possibilities, will be illustrated by taking the catchment area of the Hannover Medical School as an example. It is to be emphasized that the Institution has a central Accident and Emergency facility capable of dealing with every type of urgent case, not only accidents. The Medical School has at its disposal its own Helicopter Air Ambulance, one Type III Ambulance and one Type IV Ambulance, each with its own personnel on emergency stand-by 24 hours a day. In addition to this commitment Hannover deals with referals from the adjoining catchment areas 13, 19, and 30 (Fig. 1), each served by its own helicopter.

In the first 13 years of existence of a helicopter and other types of ambulances in Hannover, 30.084 emergency calls habe been registered. Of these 14.694 cases came by helicopter and 15.390 by other types of ambulances. Taking into account the area of operation (radius 30-50 km for the helicopter and 6-8 km for the ambulance and the time taken to reach the scene of the accident (helicopter 5–25 minutes, ambulances 5–15 minutes), we were able to establish that within 10 minutes the ambulance was on the spot in 22% of all cases and the helicopter in 65%.

This immediate and fast availability has on average reduced the carefree interval to 10 minutes and the time taken from the arrival of the doctor at the patient's side until his admission to our Emergency and Casualty Department to 30 minutes.

Of these approx. 30.000 emergency admissions during the first 13 years, 23% arrived in coma, 57% had head-injuries (alone or together with other lesions), 40% fractures of the extremities, 24% chest injuries and 30% had breathing difficulties.

Irrespective of the mode of answering the emergency call and with what type of transport the emergencies reached the hospital, it was established during the last few years that approx. 20.000 patients of this category per annum were admitted to our Institution. About half these cases (10.000) had head-injuries either alone or associated with other trauma and 10% (approx. 2.000 head-injuries per year), required neurosurgical attention, irrespective of other surgical needs. Approx. 10% needed neurosurgical
operation (in 1986, for example, there were 180 such cases and 210 in 1988). A further 200 cases were admitted without operation to the neurosurgical intensive care unit because of traumatic cerebral oedema and coma and the need for active ventilatory support.

About half of these 20.000 annual trauma cases had sustained multiple injuries, not only involving the limbs but also the trunk, thorax, vertebral column and head. In severe cases specialist teams will decide the priority of treatment. The most urgent need for diagnosis and treatment will be determined as far as possible taking into account the primary condition. In rare instances two specialist teams may operate simultaneously, as for instance for extradural haematoma and abdominal or thoracic trauma. However, this occurs only 2 to 3 times a year in our experience.

Difficulties arise not infrequently with primary diagnostic procedures, such as computertomography or angiography, since these facilities are not situated in the immediate vicinity of the Casualty Department. The patient will have to be moved to the X-ray department, perhaps some 200 m. Though other supportive treatment continues in the meantime, it is still possible that the time taken for these diagnostic procedures may be 30 to 60 minutes. As previously mentioned, what might be termed the preclinical phase, namely the time elapsing from the doctor arriving at the scene of the accident to the patients' arrival at the hospital, averages out at 30 minutes. This period is therefore exceeded by the time required for establishing a definitive diagnosis, confirmed radiologically.

In principle all patients with head injury or a suspicion there of on initial diagnosis are submitted to computertomography. Depending on the clinical condition in cases with cerebral oedema and not absolutely clear cut minor contusional haemorrhages the computertomography is repeated within 2–8 hours in order to exclude any developing focal surgical spaceoccupying haemorrhage.

One must not overlook the fact that the reduction of the preclinical phase to about 30 minutes by the use of modern methods outlined above, has brought about new problems: the rapid delivery of the most seriously injured cases to the Casualty Department irrespective of their chances of survival has not only raised the demand for greater medical and nursing care but also inevitably the average mortality figures of Intensive Care Units.

The emergency doctor in transit whether by ambulance or helicopter to our Medical School Hospital requests the presence of the various specialists on arrival according to his assessment of his patients' injuries; Ophthalmology for obvious eye-trauma, ENT for bleeding from the ear, nose or throat, colleagues from General-, Abdominal- or Chest-Surgery for clear abdominal and thoracic injuries and not least the neurosurgeon on call. It has to be admitted that a consequence of the presence in the



Fig. 1. Basis of air-evacuation

Casualty Department of several doctors from the various disciplines alerted, creates a crowd around the seriously injured patient or patients, particularly those with multiple trauma, and can prevent the orderly medical care required especially when opinions differ regarding priorities of treatment.



Fig. 2. Neurosurgical Units in the Federal Republic of Germany

Furthermore the performance of several examinations by specialists can prolong the time before a diagnosis is reached and engender a delay for urgent preoperative investigations, such as computertomography or angiography.

139

Unfortunately right from the start our situation is such in Hannover, that we had to accept a considerable distance between the Casualty and Emergency and the Radiology or Neuroradiology Department. The intubated patient on a ventilator has to be transported within the hospital, he may have to be moved into various positions, a risk for the seriously injured patient which has to be accepted. Many personnel will be tied up, much valuable time can be lost, leading to delay in performing a possibly urgent operation.

We have found that in order to overcome these difficulties it is important always to have available two fully-trained senior doctors of consultant status accredited to the Emergency and Casualty Department. Their duty is to be responsible for the care of these patients and it will be within their competence to decide which specialty will take priority in that care.

It is, however, important to determine that this system of medical care is of clear advantage for the patient.

As of December 1989 there were 83 independent Neurosurgical Units in the Federal Republic of Germany including West-Berlin with approx. 5.000 beds (Fig. 2). More than half of these have their own Intensive Care Units, while the remainder participate in the General Intensive Care Unit of their hospital, run by Anaesthesiologists.

Comparison of Fig. 1 with Fig. 2 will show that a neurosurgical emergency air evacuation by helicopter will be rapid to the appropriate centre, where specialist care will be equally rapidly available. It is likely that the staffing complement of some units is not always optimal and that the example, proved over the years in Hannover, of such cooperation between the specialties and the methods of evacuation to the hospital cannot always be achieved. However, it is always possible to transfer a particularly difficult case from the primary hospital, where treatment was initiated, to a Neurosurgical University Clinic or large District General Hospital with a full complement of neurosurgical staff and facilities, when total care can be provided and completed.

The reduction of time of the care-free interval (time from injury to start of treatment) has been described as we have achieved it at the Medical School Hannover, making the best use of all means and methods of rescue for the patient. It is to be emphasized that the evolution to the present structure of organization over many years was only possible through the hard work, great devotion and much good will of all parties concerned. Only the willing cooperation of all has over the last two decades allowed us to reach this standard in Hannover; it was not only done by rules and regulations. It has been a particular pleasure to note during this period how the satisfaction of working together in constant readiness has built up the ideal of all for all in the service of the patient.

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With 5 Figures

Contents

| 1. Implantable Drug Delivery Systems 1 | 145 |
|--|-----|
| 1.1 Access Ports | 145 |
| 1.2 Implantable Pumps 1 | 147 |
| 1.2.1 Pulsatile Pumps 1 | |
| 1.2.2 Continuous Flow Pumps 1 | |
| 1.2.3 Programmable Pumps 1.2.3 Programmable Pu | 149 |
| 2. Implantation Techniques 1 | |
| 2.1 Catheter Placement 1 | |
| 2.2 Implantation of a Drug Administration System 1 | 152 |
| 3. Current Clinical Applications 1 | |
| 3.1 Intrathecal Spinal and/or Intra-cerebro-ventricular Morphine in In- | |
| tractable Cancer Pain 1 | 153 |
| 3.1.1 Neurobiological Basis 1 | 153 |
| 3.1.2 Selection Criteria 1 | |
| 3.1.3 Intrathecal Administration of Opiates via the Lumbar Route 1 | 155 |
| Patients 1 | |
| Implantation Technique 1 | 155 |
| Results 1 | 156 |
| Side Effects of Morphine 1 | 156 |
| Discussion 1 | |
| 3.1.4 Intra-(cerebral)-ventricular Morphinotherapy 1 | 158 |
| Patients 1 | 159 |
| Administration Technique and Morphine Titration | 159 |
| Discussion 1 | 61 |
| 3.1.5 Conclusion – Perspectives 1 | 64 |
| 3.2 Intrathecal Baclofen for Control of Severe Spasticity 1 | |
| 3.2.1 Neurochemical and Pharmacokinetic Basis | |
| 3.2.2 Patient Selection Criteria 1 | 65 |

| 3.2.3 Implantation for Chronic Intrathecal Administration, Titration | _ |
|---|---|
| and Out-patient Follow-up 16 | |
| 3.2.4 Clinical Results 16 | |
| 3.2.4.1 Administration of a Single Intrathecal Bolus of Baclofen 16 | |
| 3.2.4.2 Results After Chronic Administration | 0 |
| 3.2.4.3 Complications | 1 |
| Infections and Neurological Complications | |
| Pharmacological Complications | |
| Acquired Tolerance | |
| 3.2.5 Discussion | |
| 3.2.5.1 Inter-individual Differences in Dose | |
| 3.2.5.2. Influence of Etiology on Functional Improvement 17 | 5 |
| 3.2.5.3 Pharmacological Complications and Risks | |
| 3.2.5.4 Alternatives to Intrathecal Baclofen | |
| 3.2.6 Conclusion | 9 |
| 4. Other Clinical Applications and Perspectives | 0 |
| 4.1 Intracarotid and Direct Intratumoural Chemotherapy for Malignant | |
| Glioma | 0 |
| 4.1.1 The Rationale | |
| 4.1.2 Local or Regional Intracarotid Chemotherapy | 0 |
| 4.1.3 Direct Intra-tumoural Chemotherapy | |
| 4.2 Intraventricular Cholinergic Drug Infusion for Alzheimer's Disease 18 | |
| 4.3 Intrathecal Infusion of TRM in Amyotrophic Lateral Sclerosis 18 | |
| 4.4 Perspectives | |
| Conclusion | |
| References | |

The principle of direct administration of repeated doses of drugs either at or close to their site of action in the central nervous system is based on:

- the identification of specific receptors and neuro-active endogenous peptides which has considerably advanced our understanding of the functioning of the central nervous system. The notion of chemical transmission of information in specific pathways now underlies the early electrical model of Galvani.

- better understanding of the pharmacological action of endogenous and exogenous ligands, which was led to the development of specific and highly active drugs. Such drugs are now employed routinely in the treatment of chronic conditions such as intractable pain or spasticity. In the near future, it may also be possible to treat other neurological diseases by manipulation of the specific neuromediators or neuromodulators involved.

- the fact that high doses of drug are often required via the systemic route, giving rise to adverse reactions in other parts of the organism before the active agent reaches the target organ. Many drugs do not readily cross the blood-brain barrier, and are degraded in the periphery either in the digestive tract, liver or kidneys. This means that the therapeutic ratio is

low for many drugs. Moreover, distribution in the central nervous system itself is not localized to the site of action (spinal cord for example) which can give rise to supra-spinal side effects.

- advances in technology which have stimulated development of implantable systems enabling local or regional administration of many drugs employed in endocrinology, oncology and neurology.

In neurology, treatment of chronic cancer pain using an implanted system for the intrathecal and intraventricular injection of morphine was first described in 1978. This technique is now in routine use. Over the past 6 years, severe spasticity of central origin has also been treated effectively by intrathecal administration of Baclofen.

We will discuss these two applications (pain and spasticity) which are now a routine part of conservative neurosurgery. We will also mention other indications for "pharmacological neurosurgery" which are currently being tested for effectiveness and safety.

1. Implantable Drug Delivery Systems

The main objectives of drug delivery systems (DDS) are to:

a) provide a non-invasive access to the various compartments of the central nervous system (epidural space, lumbar sub-arachnoid space, cisterns, ventricles, parenchyma, etc). or vascular system,

b) enable single or repeated administration of drugs or contrast media,

c) obtain samples of CSF or blood,

d) prevent leakage of active principle to other organs,

e) employ drugs which are not degraded in contact with the generally inert biocompatible materials used in the construction of the delivery systems,

f) enable treatment on a out-patient basis without risk to the patient.

DDS are implanted subcutaneously, and are connected to flexible catheters whose distal end is placed in the zone or site of action. Various systems from single access ports to micropumps are now available for administration of repeated bolus doses, or continuous or programmable infusions.

1.1 Access Ports

These are small volume rigid chambers placed in contact with the compartment to be infused. They are designed to replace lumbar or intraventricular puncture or intravascular injection by a simple subcutaneous injection. The first systems which were used for intra-ventricular injections consisted of a dome-shaped silicone capsule derived from an Ommaya reservoir. They were then modified by moving the catheter junction to the periphery. The Unidose reservoir developed by Cordis^{*} is one of the most widely used. It is available in two sizes (1 and 2 ml). It has a stainless steel base-plate which prevents the injection needle passing right through it. However, its dome shape and its thin wall means that it is not self-sealing, and it can only be used a few times, even with fine needles (25 G), before it starts to leak. It is thus not used for repeated chronic administration.

Pharmacia have developed improved access ports under the trade name Port-A-Cath[®]. They are constructed of stainless steel or titanium closed by a membrane or self-sealing compressed silicone stopper (septum). This septum has a diameter of 10 mm with a surface area around 1 cm² and a thickness of 5 to 6 mm. The depth of the chamber under the membrane is between 5 and 8 mm giving a total capacity of 0.5 ml. Over 1000 punctures and injections ats can be made without leakage using a 22 G needle with a Huber type point.

The access port is joined by a safety connector and an anti-kinking system to the catheter whose diameter depends on the actual site of infusion. The Port-A-Cath[®] system is now widely used in oncology. Various manufacturers now produce similar systems using other biocompatible materials such as stainless steel, polysulfone, reinforced silicone, etc, and more than 20 different models are now available. Examples are the Life-Port[®], Infuse-Port[®], Polysit[®].

The MPAP[®] system developed by Cordis is of interest as it is equipped with a 7 to 8 mm thick septum with a larger surface area (2.7 cm^2) than



Fig. 1. Cordis Multipurpose Access Port (MPAP)^{Im} and Miniport^{Im} for intrathecal or vascular access

^{*} Cordis Biot Operation, Route des Dolines, Sophia-Antipolis, 06560 Valbonne, France.

the other systems. It is thus easily located under the skin, and can be implanted at an oblique angle to facilitate insertion of indwelling needles for infusion via a portable miniature pump. A miniature version, the Miniport[®], with an oblique silicone septum $(0.6 \text{ cm}^2, 6 \text{ mm thick})$ with a 5 to 8 mm deep reservoir is also available. It is employed for intra-arterial administration of drugs used in chemotherapy, and also for intrathecal administration in children as well as adults (Fig. 1).

These systems have the advantage of ease of use and low cost, although the need for repeated injections (daily or even several times a day) into the reservoir restricts the patients mobility, and increases the risk of infection.

1.2 Implantable Pumps

These DDS have reservoirs of varying capacities (generally 12–50 ml) which can be refilled by injection through a self-sealing septum. The freedom of movement of the patient will clearly be influenced by size of the reservoir. The safety and reliability depend in the accuracy and adaptability of the infusion rate (pulsatile or continuous). The different systems can be classified in terms of their programmability, from simple pulsatile pumps to fully programmable, remote-controlled electric pumps.

1.2.1 Pulsatile Pumps

These are purely mechanical hand-operated systems. The Secor[®] pump produced by Cordis consists of three one-way valves in series which delivers constant volume boluses $(0.1 \text{ ml} \pm 10\%)$ (Fig. 2). The dosage is adjusted by varying the number of boluses and/or the concentration of the active principle.



Fig. 2. Cordis Secortm implantable multidose reservoir

The body of the pump is made of polysulfone (6 cm diam, 1.4 cm deep) and it only weights 45 grams. The useable capacity of the flexible Teflon reservoir attached to the bottom of the unit is 12 to 15 ml.On the first generation of Secor pumps, the two push buttons could not be located easily under the skin. The pump was primed by pressing one button and drug was injected by pressing the other one. The two buttons on the second generation now protrude slightly more, and they incorporate a tactile feedback with a click so that the operator knows that the pump has been operated effectively, and the dose administered.

Although the relative inaccuracy of the Secor pump restricts its application especially for administration of drugs such as Baclofen, its safety and low cost make it particularly suitable for intrathecal administration of opiates in the treatment of intractable cancer pain.

1.2.2 Continuous Flow Pumps

An example is the Infusaid* (model 400) first produced in 1969. The drug solution is forced through the system by a gas (Freon) contained in an external chamber connected to the reservoir containing the drug via flexible bellows made of titanium. At a given temperature, the liquid phase of Freon is in equilibrium with the gas phase and exerts a constant pressure (450 mmHg at 37 °C) thus expelling the drug solution progressively through a regulator valve and bacterial filter (0.22 microns) into the catheter. Re-



Fig. 3. Infusaid Model 400 continuous flow pump

^{*} Infusaid Inc., 1400 Providence Highway, Norwood, MA 02062, U.S.A.

filling the pump expands the bellows and compresses the propellant gas to a liquid, thereby reactivating the pump.

Inert materials such as titanium, polypropylene, stainless steel, silicone and Teflon are used in the parts of the pump that come in contact with the drug. It has an empty weight of 208 grams with a reservoir capacity of 47 ml, and is the largest currently available. Model 400 (Fig. 3) is also equipped with a side-port enabling administration of more than one drug and verification of the permeability of the catheter. The flow rates of the pumps are factory-set to between 1.0 and 6.0 ml/24 h, and this flow rate cannot be altered after implantation. The only way of altering the dose is by changing the drug concentration in the reservoir. A further disadvantage is that the flow rate depends of the temperature of the patient and the atmospheric pressure. Furthermore, in emergency, the infusion can only be stopped by draining the reservoir. These disadvantages restrict the use of these DDS in neurosurgery when powerful centrally acting drugs are employed, especially if there are no antagonists available, as is the case for Baclofen. On the other hand, these pumps are well suited for intrathecal or intravenous infusion of the relatively large amounts of drugs used in chemotherapy where accurate dosage is not usually required. The new generation of continuous-flow Infusaid pumps are now fitted with flow regulators.

In 1989, Therex* introduced an implantable constant-flow pump with 30 ml capacity employing the same principle as the Infusaid 400 system. There is a yet insufficient experience with this system to come to any firm conclusions, but it is likely to have the same advantages and disadvantages of the Infusaid 400 system.

1.2.3 Programmable Pumps

These are generally electromechanical pumps of the peristaltic type powered by a lithium battery. Their built-in electronics can be remotely controlled from an external programming unit. The most sophisticated and most widely employed in neurosurgery is the Synchromed system manufactured by Medtronic**. The titanium and silicone unit (70 mm diameter, 27.5 mm deep) has an empty weight of 185 grams (Fig. 4) and a useable capacity of 18.0 ml with a 2.4 ml dead space. Energy is provided by a lithium thionyl chloride battery with an average life of 3 years at a flow rate of 1.5 ml/ 24 h.

The infusion parameters can be remotely controlled, and the flow rate can be adjusted over a range of 0.004 ml/h to 0.9 ml/h. The accuracy of

^{*} Therex Corp., 1600 Providence Highway, Walpole, MA 02081, U.S.A.

^{**} Medtronic Inc., 7000 Central Av. NE, Minneapolis, MN 55432, U.S.A.



Fig. 4. The Synchromed^{1m} infusion system from Medtronic: implantable drug pump and desk-top programmer



Fig. 5. The Infusaid programmable pump and portable programmer

the flow rate at the tip of the catheter is $\pm 15\%$ over a temperature range of 35 °C to 42 °C. The infusion can be programmed in various modes: continuous hourly infusion, repeated bolus infusion with a specified delay, multistep dose over a programmed interval, or single bolus infusion. Although the rate of infusion can be programmed, the only way of stopping the infusion is via the remote-control module. This means that the patient either has to go back to the hospital where the device was implanted or

go to a hospital equipped with the relevant programming unit. This is a major disadvantage, and it is a pity that there is no provision for the patient himself to switch the pump on or off. We have instructed all our patients equipped with this system, especially those that live far from the hospital or that travel widely, on how to drain and rinse out the reservoir with sterile saline in an emergency. Even after drainage, the pump will continue to infuse drug contained in the dead space, and patients must be aware that drainage of the reservoir will not stop infusion immediately. In addition, even when the pump is programmed to stop, the motor idles and infusion will still continue, albeit at a low flow rate (0.00018 ml/h). In practice this does not present any risk to the patient.

Infusaid have recently developed their own implantable programmable pump, the model 1000 (Fig. 5). It is quite large (90.2 mm diameter, 22.5-26.7 mm deep, empty weight 272 g) with a useable capacity of 22 ml. It is also equipped with a side-port. It is derived from the model 400 as it is also powered by the expansion of an inert gas (Freon) through flexible metal bellows. However, the output flow rate is regulated by a system of battery-powered, electronically controlled valves. Flow rates can be adjusted between 0.001 and 0.5 ml/h as periodic flow, multiple flow rates or as a single bolus infusion. The programming unit is miniaturized and portable, which increases patient comfort and safety. Unfortunately, it is rather costly, and this must be taken into account in the overall context of health care expenditure.

2. Implantation Techniques

Subcutaneous implantation can usually be carried out under local or neuroleptic anaesthesia. However, implantation of a spinal catheter can be carried out by laminectomy, and in spastic patients, it is generally preferable to perform the operation under general anaesthesia. The surgical technique is straightforward and will not be discussed in detail here. It has been described in numerous publications^{60, 63, 64, 65, 68, 70, 71}. Nevertheless, it should not be regarded as a minor operation. Strict asepsis must be maintained at all times, and the positioning and permeability of the catheter must be checked carefully. A convenient point of connection to the access port or pump must be made available, and there must be a perfect seal between the system and the infusion catheter. The numerous complications (displacement of the implant, kinking or stenosis of catheter, fistula or accumulation of CSF, disconnection or subcutaneous leakage of drug, sepsis, meningitis, ets.) which may arise, can be avoided by the use of high quality materials and a rigorous technique. It is thus particularly important to check every step of the procedure to avoid technical complications which are not intrinsic to either the method or its application.

Y. LAZORTHES et al.

2.1 Catheter Placement

The technique depends of the site: spinal, intraventricular or intraarterial. The catheter is passed under local anesthetics or neuroleptanalgesia and under radioscopic control to determine its exact location.

A 14 G or a 16 G Touhy needle permits to place the intra-spinal catheter into the subarachnoid space. We use a percutaneous lumbar lateromedian approach so that the puncture pathway is as oblique as possible in order to avoid catheter kinkage during interlaminar penetration of the vertebral canal. It is extremely important that the connection is leak-free to prevent CSF fistulas and subcutaneous drug leakage.

The intraventricular implantation is done via a classical ventricular puncture. The distal tip of the catheter is either placed in the frontal horn of the right lateral ventricle close to the foramen of Monro or in the third ventricle.

2.2 Implantation of a Drug Administration System

Regardless of whether you are using an access port or a pump make sure that the pocket is situated 2-3 cm beyond the incision, not directly on top of it, but yet close enough fo facilitate fixation and to control the catheter connection. The subcutaneous surface must be thick enough to prevent skin necrosis especially with large-size pumps; but on the other hand, the system must not be implanted too deeply in order to facilitate transcutaneous palpation (filling, tactile feed-back, ...). Each system has its own particularities:

The access port. The entire system (catheter-connector-reservoir) should be as straight as possible in order to avoid kinks and assure optimal permeability. Before fixing the access port in the subcutaneous pocket the permeability is tested. For reservoir puncture, a 25 G needle will preserve the self-sealing properties of the septum. During chronic drug administration, the permeability of the system is controlled by observing a CSF reflux, by taking CSF samples for bacteriological analysis and/or by opaque solution injection, if necessary. Drug injection must be done slowly; once the needle is removed, and, if possible the septum is pushed down so that the bolus is progressively ejected into the subarachnoid space. The reservoir can be rinsed with an additional 1-2 ml bolus of CSF which has been drawn prior to injection of the drug.

The mechanical multidose pumps (Secor I and II, Cordis). Its fairly large size requires implantation on the lower latero-thoracic level for intraspinal administration, while the subclavicular level is more suitable for intraventricular administration. Before connecting the reservoir, we must purge it of all air bubbles by filling it with a sterile saline solution. The pump must per-operatively calibrated to check the bolus volume (0.1 ml + 10%) and filled with the drug solution.

The programmable drug administration device (Synchromed, Medtronic). Prior to implantation, remove all water in the reservoir and fill it up with 20 cc of drug solution in a sterile manner. Using the programmer under sterile conditions, we can then proceed with the examination and programmation. We must feed in data concerning the patient, the metrology and the nature of the drug to be administered (baclofen, morphine, antimitotics, saline solution, etc). The limits of the "empty reservoir" alarm must then be set. In practive, according to the pumps specific flow rate, we must program the quantity of drug that can be consumed before the audible alarm rings. At this point, the pump is submerged in 37 °C water to check if all the bubbles have been completely evacuated. Considering the pumps large size, it is preferable to make the subcutaneous pocket in the flank of in the para-umbilical abdominal area. The pump is now ready to be programmed. The programmer includes a computer-type console television screen, keyboard and transmission head. Programming is simplified by the fact that the software guides the manipulator, eliminating any chance of error.

3. Current Clinical Applications

Over the last decade, the use of implantable drug delivery systems has seen considerable development for the treatment of intractable pain and severe spasticity. There are now sufficient clinical data to determine their place in the treatment of these conditions.

3.1 Intrathecal Spinal and/or Intra-cerebro-ventricular Morphine in Intractable Cancer Pain

This was the first application of DDS in the central nervous system. It is based on fundamental understanding in neurochemistry and considerable experimental evidence.

3.1.1 Neurobiological Basis

A series of recent reports concerning the direct action of morphine on both the spinal cord^{19, 32} and brain^{1, 49, 73, 75, 116, 119}, along with the discovery⁴², ^{52, 98, 111} and localization^{2, 3} of specific opiate binding sites and endogenous ligands has spurred development of new therapies for chronic pain, such as intrathecal morphinotherapy. This is the direct administration of opiates, especially morphine, into the perispinal and intraventricular cerebro-spinal fluid. Animal studies have shown that administration of morphine directly to the cerebrospinal fluid produces an intense and durable analgesia which is localized, dose-dependent, stereospecific and naloxone-reversible^{119, 120}. These studies paved the way for the clinical use of intrathecal morphine⁴⁴, ^{46, 80, 88, 90, 117}. It is mainly indicated for intractable cancer pain in the lower half of the body. With spinal administration, the analgesic effect has a limited distribution, and there is less risk of central respiratory depression than when treatment is given for pain of diffuse or cervico-cephalic origin. The existence of intracerebral opiate receptors^{3, 98, 113}, especially around the walls of the third and fourth ventricles, and the analgesic action of intracerebral micro-injections of morphine^{1, 30, 116}, has encouraged various workers to try direct intraventricular administration of morphine in humans^{10, 69, 72, 74, 76, 86, 101, 115}. The efficiency, specificity and risks of spinal and intraventricular administration of opiates have now been evaluated in a number of clinical studies^{64, 68, 72, 86}.

3.1.2 Selection Criteria

Indications for this route of administration of morphine are based on clinical criteria backed up by results of intrathecal tests. Inclusion criteria are the existence of pain:

a) of a chronic nature due to inoperable, invasive and generally terminal cancer,

b) resulting from excess nocioceptive stimulation,

c) resistant to standard medical treatment such as administration of progressive doses of analgesics according to WHO guidelines. These patients must be either inadequately relieved and/or have adverse reactions to prolonged administration of morphine or its derivatives via the systemic, subcutaneous or oral routes (using oral solutions such as Brompton cocktail or slow-release formulations such as MS-Contin[®]),

d) with a bilateral, median and/or diffuse distribution, that cannot be relieved by surgical section of nocioceptive pathways (especially cervical cordotomy),

e) that can be relieved significantly by intrathecal administration of morphine.

The exclusion criteria are:

a) pain from sensory deafferentation such as post-root lesions of the brachial plexus, pain which does not respond to morphine or derivatives whatever the route of administration,

b) patients who have not received adequate doses of morphine via the oral route,

c) temporary or permanent contra-indication to implantation such as infection, suppurating bed-sores, coagulation disorders, etc.,

d) lack of consent from patient or family,

e) absence of a suitable medical or family environment for out-patient treatment and follow-up.

Two sites of intrathecal administration are used: lumbar puncture to spinal CSF, and directly into the cerebral ventricles. They are complementary, and their indications will depend essentially on the origin of the pain (subdiaphragmatic or otherwise).

3.1.3 Intrathecal Administration of Opiates via the Lumbar Route

This route is preferred for treatment of intractable cancer pain involving the lower half of the body. We will describe our experience, and discuss it in relation to other reports in the literature.

Patients

Between September 1978 and March 1990, 128 patients (76 male, 56 female) were selected for chronic spinal administration. The mean age was 61 years with a range of 35 to 80 years. All had become tolerant to oral or parenteral opiate agonists (60 to 300 mg/24 h; mean, 100 mg oral morphine/24 h). The duration of intractable pain before intrathecal administration ranged from 2 to 60 months (mean, 8 months).

The distribution of the primary malignant tumours was as follows: 73 pelvic (rectum 26, kidney 13, bladder 8, uterus 13, sacrum 2, prostate 11), 26 abdominal (colon 9, stomach 5, pancreas 11, retro-peritoneal leimyosarcoma 1), 9 cervico-thoracic (larynx 1, breast 3, lung 5). In 76 cases, the pain was secondary to regional involvement of lumbosacral plexuses. In others, the problem was essentially due to bone metastases (30 cases), visceral metastases (10 cases), or mixed lesions with invasion and metastases (12 cases).

Implantation Technique

The details concerning the implantation technique under local or neuroleptic analgesia are described in section 2.

Morphine solution and administration technique: The preservative-free morphine administered was a hyperbaric solution (7% dextrose) at a concentration of 5 mg/ml. Reservoir puncture was carried out using a fine needle (25 G) in a sterile technique. To check that the system is functioning correctly, 2 ml of cerebrospinal fluid (CSF) are withdrawn and retained for subsequent flushing of the reservoir after the morphine injection. The bolus of morphine is administered slowly into the reservoir. A 0.22 mm Millipore filter can be inserted between the syringe and the needle to ensure that the solution is free of bacteria. Serial CSF samples are then drawn for chemical and bacteriological analyses.

After administration, the patients were maintained in a 40–60 $^{\circ}$ headup position for 4 to 6 hours under routine cardiorespiratory monitoring (apnea monitoring). Nursing staff must be prepared to reverse any occurrence of respiratory depression promptly with naloxone.

A low dose of morphine is given initially (2.5 mg). The doses are then progressively increased (5 mg, 7.5 mg, etc.) depending on the analgesia produced.

Results

Evaluation criteria: Analgesia was evaluated using a multifactorial clinical approach^{63, 65, 69}, based on the estimation of three pain-related criteria: 1) pain relief graded using a subjective linear scale; 2) impact on the patient's level of activity; (3) consumption of other analgesic drugs. Taken together, these three criteria provide a semi-quantitative evaluation of the analgesia obtained in any given patient. Side effects due to direct chronic spinal administration, such as central depression and tolerance, were monitored and noted as well as complications resulting from the implantation. One of us (B. S-C) was responsible for instructing the patient, the family members, and/or the nurses in charge of the out-patient treatment. She was also responsible for keeping in contact with them and their general physician.

Clinical response: The mean follow-up period for this series of 127 patients was 98 days (range 8–913). The mean initial daily dose of morphine was 2.5 mg (range 1.0-7.5 mg). All the patients reported significant analgesia within 5–20 min (mean latency 10 minutes), and pain relief lasted from 6 to 96 hours (mean, 32 h). During the course of treatment, the daily doses of morphine were increased moderately with a mean terminal daily dose of 5.5 mg (range 1.0 to 60 mg).

The final results (concerning the 127 now deceased patients) are: 102 cases of good and excellent results (80%), 21 moderate results (16.5%) and 4 failures (3%) with a mean follow-up of 3 months. We only observed two cases of significant tolerance. For one patient, the daily dose had to be increased from 2.5 mg to 60 mg to produce sufficient analgesia for a follow-up period of 159 days. For the other patient, the dose was increased from 2.5 mg to 50 mg over a 197 day follow-up period.

Fourteen other patients required a moderate increase in daily morphine [10 mg (10 cases), 15 mg (3 cases) and 20 mg (1 case)]. In the majority of cases (86%), analgesia was stable during the total follow-up period.

Side Effects of Morphine

Table 1 summarizes the side effects during the total follow-up (initial trial, titration period, and chronic administration).

The most common side effects were nausea and vomiting (45/128). The nausea generally subsided. In addition, 8 males presented urinary retention,

| Nausea, vomiting | 45 |
|------------------------|----|
| Constipation | 1 |
| Urinary retention | 8 |
| Dizziness | 1 |
| Drowsiness | 12 |
| Respiratory depression | 5 |
| | |

Table 1. Morphine Side Effects After Lumbar Spinal Administration (n = 128)

which was transient in 7 cases. In one patient with a "bladder tumour", we replaced the morphine with an agonist, buprenorphin.

None of the patients, and in particular those in whom the follow-up was longest, developed any neurotoxic disorders secondary to the chronic intrathecal injection of morphine.

We saw 5 cases of central depression with drowsiness, myosis and respiratory disturbances. Three occurred during the initial test: in one case after administration of 5 mg of isobaric morphine at Th12, in another case after administration in the epidural space at Th6, and in the last case, after administration of 2 mg of isobaric morphine at Th5. These three spontaneously reversible complications did not require intubation or naloxone.

The fourth complication developed slowly in an out-patient implanted with a pump programmed to a continuous flow of 3.1 mg/day. The somnolence and respiratory depression were immediately reversed by naloxone. The pump was reprogrammed to a 2.5 mg/day bolus delay regimen without further incident. The fifth case occurred during the chronic administration period. The patient received 50 mg instead of 5 mg. This required intubation, and injection of naloxone.

In this series of 128 patients, we only had two local infections of the implant which had to be removed in one case. Purulent meningitis occurred in 8 cases, 4 during the initial trial, and 4 during chronic administration. In one case, the implant had to be removed. The others recovered after intrathecal administration of antibiotics via the implanted reservoir.

Discussion

In 128 patients suffering from chronic cancer pain intractable to oral or parenteral opiates (mean, 115 mg oral morphine per day), we obtained significant analgesia with low doses of morphine (1.0 mg to 7.5 mg) via the intrathecal route. There was a latency period of between 5 and 20 minutes, and pain relief lasted between 24 and 72 hours. Use of an hyperbaric solution of morphine (7% dextrose) increased the mean duration of analgesia¹⁷. These values are in good agreement with those of other authors^{18, 25, 37, 78, 127}. The distribution of analgesia was metameric with a maximal caudal effect.

Among the 128 patients treated with spinal morphine, only 8 cases had thoracic or cervical cancer, but the location of the pain was always subdiaphragmatic, stemming from lumbosacral and iliac bone metastasis. In one case with cancer of the larynx and cervico-thoracic metastases, pain was only partially relieved after intrathecal morphine administration.

During the follow-up period (mean, 98 days) a steady increase in the daily doses (mean, 2.5 mg at the start and 5.5 mg at the end of the treatment) was needed to maintain the analgesic effects. These doses are comparable to those reported by other workers.

Transient siede effects such as nausea and vomiting, skin reactions and itching occurred frequently in the initial period of the treatment^{33, 72, 86}. Transient urinary retention appears to be specific to spinal administration^{68, 72, 90}, while behavior disorders or mood swings are not observed.

The risk of central respiratory depression is low, as patients had already received significant amounts of oral or parenteral morphine. We noted 5 cases of respiratory depression. In three cases, central depressant complications occurred after intrathecal administration of an isobaric morphine solution as also reported by others⁴⁰. In agreement with other authors^{105, 125}, we found that depressant effects may be reduced by administration of morphine in an hyperbaric solution with the patient in a 40–60 ° head-up position. In the other two cases, respiratory depression was due to overdosage. The choice between spinal intrathecal and intraventricular morphinotherapy will be discussed in section 3.1.4.

The future of this conservative and non-invasive method also relies on the development of new opioids activating different opiate receptors. During percutaneous intrathecal spinal screening, we tried the administration of pentazocine (5 mg) in 12 cases, and 1.13 or 1.10 amine dynorphin (100–200 g) in 5 cases. The results were disappointing. Recently, we have also tried buprenorphin in 11 patients during the initial period of intrathecal administration. The results were encouraging, and 5 terminally ill patients have been treated with this drug until their death.

However, use of this route of administration is in decline due to the introduction of new oral drugs such as Temgesic[®] (buprenorphin) or Moscontin[®] (slow-release morphine sulfate).

3.1.4 Intra-(cerebral)-ventricular Morphinotherapy

This is indicated essentially for pain with a supradiaphragmatic distribution such as that arising in cervicothoracic cancer, or the diffuse pain from metastases. This route of administration (ICV) can also be considered after failure of lumbar intrathecal administration for pain in the lower half of the back. We report our own results before discussion of the work of others.

Patients

From 1984 to April 1990, we selected 74 patients for chronic ICMV via an implanted access port. The age of the patients ranged from 40 to 80 years (mean, 62), and the duration of intractable pain before ICVM therapy ranged from 2–36 months (mean, 10 months). All were tolerant, oral or parenteral opiate agonists. The distribution of the primary malignant tumours and the distribution of the chronic pain were: 7 cases with diffuse bone or visceral metastases (2 kidney, 1 melanoma, 1 prostatic, 1 breast, 1 bladder and 1 vaginal); 22 cervico-cephalic cancers; 37 lung cancers with thoracic and upper limb pain; 8 abdominal or pelvic cancers. All eight patients with abdominal or pelvic cancer had sub-diaphragmatic pain, but there was a contra-indication or failure after spinal intrathecal administration of opioids via a lumbar access port.

Administration Technique and Morphine Titration

Using a sterile technique, the access port is percutaneously punctured using a 25 gauge needle connected to a 2.5 ml syringe. To check that the system is functioning correctly, 2 ml of cerebrospinal fluid (CSF) are withdrawn. This CSF sample is retained for flushing the access port after the injection of morphine. In case of doubt, the permeability of the device can be checked by injection of a contrast medium.

The preservative-free morphine sulfate solution (concentrations, 1 ml = 10 mg or 1 ml = 1 mg) is slowly adminstered from a 1 ml syringe. A 0.22 m Millipore filter can be inserted between the syringe and the needle to ensure that the solution is free of bacteria. Serial CSF samples are then drawn for chemical and bacteriological analyses.

In order to limit the risk of side effects and to gauge the efficiency, 0.125 mg of morphine is given as the first dose. Later, and according to the analgesia produced, the doses are progressively increased (0.25, 0.5, 1.0 mg, etc). The patients are kept under close neurological observation and cardio-respiratory monitoring (apnea monitoring) throughout this titration period. Nursing staff must be prepared to reverse any occurrence of respiratory depression promptly with naloxone.

Results

Evaluation criteria: Analgesia was evaluated using a multifactorial clinical approach^{1, 20, 21}, based on the estimation of three pain-related criteria: 1) pain relief graded using a subjective linear scale; 2) impact on the patient's level of activity; 3) consumption of other analgesic drugs. Taken together, these three criteria provide a "quantitative" evaluation in any given patient. Side effects due to direct chronic ICVM, such as central depression and

tolerance, were monitored and noted as well as complications resulting from the implantation. One of us (B. S-C) was responsible for instructing the patient, the family members, and/or the nurses in charge of the outpatient treatment. She was also responsible for keeping in contact with them and their general physician.

Clinical response: The mean follow-up period for this series of 74 patients was 75 days (range 12-230 days). The mean initial daily dose of morphine was 0.30 mg (range, 0.1-1 mg). All the patients reported significant analgesia within 5-60 min (mean latency, 20 min), and pain relief lasting from 12 to 70 hours (mean, 28 h). During the course of treatment, the daily dose of morphine was increased moderately. The mean terminal daily dose was 1.7 mg (range, 0.1–20 mg). However, the relative increase was quite low, since the ratio between the initial and terminal doses ranged from 1.26 to 3.41. The final results (concerning 74 now deceased patients) are: 62 cases of good and excellent analgesia (84%), 10 moderate analgesia (14%) and 2 failures (2%) with a mean follow-up period of 2.5 months. We only observed two cases of tolerance. One patient had been totally pain-free with 1 mg of morphine 32 days before the trial, but progressive increases in daily dose up to 20 mg did not induce analgesia. For another patient, the daily dose had to be raised from 0.5 mg to 15 mg to produce sufficient analgesia. Eleven other patients only required a moderate increase in daily morphine, never exceeding a four-fold increase of the initial therapeutic doses. In the majority of cases (61 cases), the analgesia was stable during the total follow-up period.

Side effects of morphine: Table 2 summarizes the side effects observed during the total follow-up period (initial trial, titration period and chronic administration).

| | Titration period | Chronic administration | T | |
|--------------------------|------------------|------------------------|---|--|
| Withdrawal syndrome | 0 | 0 | 0 | |
| Constipation | 3 | | 3 | |
| Urinary retention | 1 | | 1 | |
| Dizziness | 5 | | 5 | |
| Drowsiness | 8 | 1 | 9 | |
| Myosis | 3 | | 3 | |
| Respiratory depression | 2 | | 2 | |
| Disorientation, euphoria | 6 | | 6 | |
| Hallucination, agitation | 1 | | 1 | |

Table 2. Morphine Side Effects After Intra-cerebro-ventricular Administration (n=74)

Minor morphine side effects (nausea, vomiting, constipation, urinary retention, itching, dizziness, headache, disorientation, euphoria or drowsiness) were initially observed but were short-lived. Some patients presented multiple side effects. We observed three major central side effects during the trial and titration period. In two cases, after administration of 1 and 1.5 mg of morphine, resepectively, the patients developed drowsiness, myosis and respiratory depression. A third patient presented visual hallucinations and behavioral disorders after an injection of 1 mg. These three central complications were immediately reversed by systemic naloxone with only a slight decrease in analgesia.

In this series of 75 patients, we only observed 3 complications due to local infection of the implant. In one case, the implant had to be removed, while the other two cases with transient purulent meningitis recovered after direct intraventricular administration of antibodies via the implanted reservoir. No patient presented CSF fistula.

Discussion

The time-course of ICVM analgesia was rather variable with a latency period of between 5 min and 30 min (mean, 15 min), to give a maximum intensity between 15 and 60 min after administration (mean, 25 min). The analgesia lasted for 12 to 72 hours (mean, 26 h). These values are in agreement with those reported by other authors^{9, 74, 76, 86, 115}. The analgesia spreads rapidly throughout the body, particularly clear-cut in the patients with diffuse pain from widespread bone metastases. This effect has been reported by all authors, and it confirms the animal data on analgesia induced by intra-cerebral microinjections^{1, 31, 73} or ICV administration^{12, 13}.

During the follow-up period, repeated ICV administration required a steady increase in the daily doses of morphine (on average 0.30 mg at the start and 1.7 mg at the end of treatment) to maintain the analgesic effects. The average daily doses of morphine administered, as well as the range of doses used at the start and end of treatment, were comparable to those reported in the literature (see Table 3) for similar follow-up periods. Only Obbens *et al.*⁸⁷ report the use of much higher doses, between 3 and 60 mg/ 24h for an average follow-up period of 92 days. The doses required depended directly on the doses of systemic morphine used previously. They also report a more frequent development of tolerance. These results conflict with ours and those involving larger series^{10, 74, 77, 115} (Table 4). The risk of central respiratory depression with ICVM is low^{76, 102, 103, 115}. We only observed it twice during the initial titration period over a cumulative total of 5000 patient-days. Drowsiness was also rare (9 cases, 8 of which occurred in the initial period), in spite of the high doses sometimes used by the end of treatment. Behavioral disturbances such as hallucination and nervousness, and mood swings were noted more often using this site of administration than after spinal administration^{63, 69, 72, 86, 115}. Apart from initial and transient nausea or vomiting, digestive disturbances, constipation in particular, were short-lived, and ICVM did not have to be interrupted in any of the patients. We did not observe any cases of urinary retention which appears to be a directly spinal effect^{45, 64, 68, 72, 80, 90, 117, 121, 124}. The lasting effectiveness and the low incidence of side effects are in general agreement with the literature data reported between 1982 and 1987^{10, 12, 74, ^{76, 77, 86, 101, 102}. This is summarized in Tables 3 and 4.}

In chronological order from 1982 to 1987, analysis of reports concerning ICVM shows that overall clinical experience, including our own, is still limited. Less than 300 cases have been published so far. Our disappointing experience with PVG stimulation⁶¹ in eight patients suffering from chronic pain of neoplastic origin led us replace this technique with ICVM. In fact, in six cases with a significant follow-up period (3-12 months), there was a rapid development of tolerance (37 weeks) to deep brain stimulation which was not reversed of satisfactorily by simultaneous parenteral administration of serotoninergic drugs (L-tryptophan, 5-HTP or amitriptyline). Along with most authors $^{63, 68, 69}$, we believe that the choice between spinal intrathecal and intraventricular morphinotherapy depends essentially on the distribution of the pain. Table 3 shows that, excepting Obbens et al.⁸⁷, all authors restrict ICVM to the treatment of pain in the upper half of the body. Pain of this type generally stems from diffuse, cervicocephalic and thoracic cancers, or abdomino-pelvic cancers with metastases. The latter may not respond to spinal intrathecal morphinotherapy. How-

| | n | Diffuse metastases | Cervico- facial | Thoracic | Abdomino- pelvian |
|----------------------|-----|-----------------------|--------------------|----------|----------------------|
| Leavens et al. | 4 | | | | 4 |
| Lobato et al. | 44 | 12 | 19 | 4 | 9 |
| Roquefeuil et al. | 8 | 3 | 1 | 3 | 1 |
| Nurchi | 5 | 3 | 2 | | |
| Thiebaud et al. | 32 | 6 | 16 | 2 | 8 |
| Lenzi et al. | 38 | 5 | 29 | 4 | |
| Blond et al. | 79 | 19 | 58 | 2 | |
| Obbens et al. | 20 | | | | 20 |
| Lazorthes and Verdié | 74 | 7 | 22 | 37 | 8 |
| Total | 304 | 55 | 147 | 52 | 50 |

Table 3. ICVM – Literature Data in Chronological OrderA) Topography of Pain of Cancerous Origin

| 0 | | | | | | | 1 | 1 | | | |
|---------------------------------------|-------------------------|---------|---|-----------------------|-------------------|--------|-------------------------|--------------|--------------|---------------|----------------------|
| | Side-effects | vigil | 1 | ε | 7 | ł | 9 | 5 | 1 | £ | 2 |
| | | respir. | and the second se | e | | 1 | - | 1 | 7 | | 2 |
| | Tolerance | | 1 | | 1 | | 6 | | | +++++ | 2 |
| and Results | Analgesia (B+E) (%) | | 75 | 67 | 80 | 100 | 90 | 95 | 94 | > 50 | 84 |
| B) Daily Doses, Follow-up and Results | Follow-up (days) | ave. | 85 | 55 | 73 | | 50 | 65 | 65 | 98 | 75 |
| | | range | 2–90 | 6-150 | 8-120 | 8-48 | 4230 | 4292 | 3-132 | 7-510 | 12–230 |
| | Doses (mg) min – max | | 0.5-7 | 0.25 - 16 | 0.4 - 7 | 2-4 | 0.10 - 15 | 0.5 - 2 | 0.05 - 3 | 3-60 | 0.10-20 |
| | a | | 4 | 44 | 8 | S | 32 | 38 | 79 | 20 | 74 |
| | | | Leavens et al. | Lobato <i>et al</i> . | Roquefeuil et al. | Nurchi | Thiebaud <i>et al</i> . | Lenzi et al. | Blond et al. | Obbens et al. | Lazorthes and Verdie |

Table 4. ICVM – Literature Data Daily Doses, Follow-up and Resul ever, for chronic pain of cancerous origin in the lower half of the body, we prefer, for both ethical and clinical reasons, to start with intrathecal morphinotherapy.

Although the effectiveness of the analgesia from ICVM is undoubied, the neurophysiological mechanism is still unclear. If the effect is purely supraspinal, we do not know which descending control systems modulate the input of the nocioceptive messages to the spinal cord⁶⁹. It is thought that ICV-administered morphine acts directly on central structures rich in opiate receptor sites, and that these structures influence the descending pathways originating in the brain stem which inhibit neurons of the dorsal horn¹².

We feel that the intense and diffuse analgesia reported with ICMV is independent of any direct action of morphine which might have diffused to the spine. This is supported by the following observations:

1) The time-course and the topography of ICVM analgesia is different from that observed after spinal morphinotherapy^{67, 69}.

2) HPLC assay of morphine in lumbar CSF after ICV administration showed that perispinal diffusion occurs after the development of analgesia, and that the amounts diffused were not sufficient to induce direct spinal analgesia⁸. Thus, in a patient with two intrathecal access sites (one in the lateral ventricle and the other lumbar), repeated ICV administration of 1.0 then 1.5 mg of morphine did not lead to significant concentrations of morphine in spinal CSF 1 h after ICV injection.

3) The kinetics of ICV-administered radiolabelled iodomorphine showed that: (a) the radioactivity migrated very slowly, (b) after 1 h (i.e. after the maximum latency of development of analgesia) only 5% of the injected dose had left the cerebral ventricles, and (c) the drug did not diffuse beyond the thoracic region¹¹⁴.

3.1.5 Conclusion – Perspectives

The use of morphinotherapy by intra-ventricular (ICVM) administration for treatment of chronic intractable pain of cancerous origin is supported by recent fundamental data. This new method has aroused considerable clinical interest not only because it is effective, but also because it is relatively non-invasive, and the drug effect is reversible. It now forms part of the panoply of modern neurosurgical techniques involving activation of neurophysiological control mechanisms.

In patients who have become tolerant to large doses of parenterally administered morphine, ICVM produces fast and complete analgesia at low doses. Tolerance with ICVM is reduced since the mean terminal daily dose is 1.5 mg in the various published series. Although the site of administration is central, the side effects, especially central depression, are mod-

erate. They are generally observed in the initial period and are rapidly reversible. There is, however, a potential risk with this technique, and patients must be carefully monitored. The intra-ventricular route of administration is complimentary to the lumbar intrathecal route, and the choice of route will depend mainly on the site of the pain. ICVM is particularly indicated for chronic neoplastic pain of cervico-cephalic, thoracic or diffuse origin arising from widespread bone metastases.

3.2 Intrathecal Baclofen for Control of Severe Spasticity

3.2.1 Neurochemical and Pharmacokinetic Basis

For the last 20 years, Baclofen (Lioresal[®]) has been the most widely used antispastic drug, especially in the treatment of motor and spastic syndromes, notably of spinal origin^{27, 122}. Its effect on the increased muscle tone and spinal neuron hyper-excitability is attributed mainly to its ability to block the release of neurotransmitters at spinal synapses¹²⁸. Baclofen is a specific agonist of gamma-aminobutyric acid B receptors which are abundant in the superficial layers of the spinal cord^{15, 16, 100}. When given orally, Baclofen does not readily penetrate the blood-brain barrier^{55, 56}, and it is distributed equally to the brain and spinal cord, giving rise to side effects at therapeutic doses, of which the most troublesome is somnolence. To get round these obstacles, Penn^{58, 91, 92} pioneered direct intrathecal administration of this drug for the treatment of severe spasticity. This was aimed at direct activation of GABA-B receptors by preferential perfusion in the spinal cord in an attempt to limit the central effects.

3.2.2 Patient Selection Criteria

Clinical pre-selection was based on the following criteria:

Cases of severe invalidating spasticity secondary to a stable spinal cord or cerebral lesion itself secondary to:

- lesion of traumatic origin (para, tetra or hemiplegia),

- a demyelinating spinal disease such as multiple sclerosis specially in its slowly progressive spinal form,

- or a motor disability of cerebral origin with spastic predominance.

Failure of medical treatment, and notably of oral Baclofen administration over a protracted period. Usually, it is the occurrence of unacceptable side effects (constant drowsiness and confusion) which limits an increase in oral dose. In the present study, all the patients were taking around 90 mg of Baclofen (60–100 mg) per 24 hours in association with other anti-spastic drugs such as diazepam and sodium dantrolene without any effect on their spastic and motor syndrome. Absence of contra-indications for pharmacological reasons (vis-à-vis Baclofen), or psychological or local reasons such as bed-sores or skin lesions in the lumbo-abdomino-pelvic region which preclude the percutaneous implantation of a catheter or drug delivery system. It is essential that conditions (limb bed-sores, urinary infections, etc). which, though they may not interfere with the implantation site, can aggravate the spastic syndrome, be treated before any intrathecal pharmacological trial.

Consent from the patient clearly informed as to the constraints of the method (regular consultations, adaptation of dose, etc.) and therapeutic limitations.

Finally, the patient must be in an environment (family, general practitioner or institution) that is favorable to regular follow-up as an outpatient.

After clinical evaluation, the definitive selection is confirmed by a test lumbar intrathecal administration of Baclofen. This preliminary trial is an essential step before considering chronic out-patient treatment. It is carried out in the homes of patients who satisfy the clinical criteria for inclusion, and is designed to test individual tolerance, to judge the efficiency of intrathecal administration, and to fix the effective dose of Baclofen for an 8-12 hour action. This test period often needs to be prolonged for several days, and sometimes for a few weeks. We therefore replaced the initial use of an externalized lumbar sub-arachnoid catheter by systematic implantation of a lumbar intrathecal access site (Cordis Multipurpose Access Port, or Miniport) allowing prolonged testing. The risk of complications (CSF leakage, headache from intracranial hypotension, meningitis, etc.) or secondary displacement using percutaneous sub-arachnoid catheters clearly increases with duration of the trial. Experience has also shown that it is preferable to wait for a few days, and even up to complete healing of the implant incision, before starting intrathecal administration tests. Simply implanting the catheter and the port can aggravate spasticity for several days, and thus affect the results of the first pharmacological tests. Progressive dose tests must be run as soon as the clinical condition stabilized. Whatever the previous oral dose of Baclofen, the first intrathecal dose must be low in order to evaluate individual tolerance. We usually gave a first bolus dose of $25 \mu g$, and then steadily increased the dose, generally by $25 \mu g$ per day until a dose was reached which produced an effect for 8-12 hours. The test administration can be carried out either by repeated bolus doses, or by perfusion with a portable external pump. Clinical evaluation of the results by muscular testing is gauged by systematic exploration of the H reflex. At the end of the trials, the lumbar intrathecal access port was left in position. This was used for subsequent sampling of the CSF not only for cytobacteriological examination but also for pharmacokinetic study (HPLC assay) to check the steady-state intrathecal levels of Baclofen¹⁰⁴.

Out of 43 patients receiving intrathecal Baclofen, 21 were selected for chronic administration. Most of these patients (17/21) suffered from spasticity of spinal origin: 8 presented multiple sclerosis of the spinal form which was fairly stable, 8 others had spinal trauma, there was one case of spinal ischaemia secondary to a diving accident, and another case was secondary to transverse myelitis. The 3 other patients selected for chronic Baclofen treatment presented spasticity of cerebral origin: one case of cerebral palsy, one case of traumatic cerebral lesion and one spastic syndrome arising from hemiplegia of cerebro-vascular origin. The age of the patients ranged from 14 to 70 years (mean, 35 years). There were 12 men and 8 women.

Three of the first patients selected had been treated without success by chronic cervical spinal cord stimulation. Thus 22 spastic and motor syndromes tested by short-term intrathecal administration were excluded for chronic administration. The exclusion factors were either ineffectiveness of intrathecal administration or over-effectiveness with loss of useful spasticity of the lower limbs enabling the patient to stand and walk to a certain extent, or a reduction in residual motor performance of the upper limbs. Finally, other patients were rejected because of foreseeable difficulties in follow-up, or lack of conset.

3.2.3 Implantation for Chronic Intrathecal Administration, Titration and Out-patient Follow-up

We employed various implantable drug-release systems in this series of patients. The lumbar intrathecal access port used for the test was also used for chronic administration in the first 6 patients. This required repeated daily injections with its inherent inaccuracy in dosage and appreciable risk of infection. We have now gone over to implantable programmable drugrelease systems that allow accurate administration of the titrated individual dose of Baclofen. The last 15 patients have thus benefitted from implantation of a Synchromed system. The implantation technique is simple, and can be performed percutaneously under local anaesthetic, although in some patients, implantation was carried out under general anaesthetic either at their request or because of excessive muscular spasm in flexion. The simplicity of the surgical technique of implantation does not, however, mean that technical precautions can be ignored, especially concerning the positioning of the subarachnoid catheter. The intervertebral space is generally punctured at the lower lumbar level (L3/L4/L5) in order to introduce the catheter far enough into the subarachnoid spaces to avoid secondary displacement. The distal end of the catheter under fluoroscopic guidance is usually placed at the level of the lumbar enlargement, i.e. between Th10

and L1. The exit of the catheter from the superficial lumbar layers must be carefully fixed to the subcutaneous or fascial tissue to avoid displacement and stenosis. The proximal end of the catheter is tunnelled under the skin, and linked to the drug pump which is implanted in a subcutaneous site, usually in the abdominal wall, but occasionally in a lateral thoracic position.

For safety reasons, the initial dose administered immediately after implantation was twice the effective 8-hour dose determined during the intrathecal selection test. Baclofen, supplied by Ciba-Geigy Laboratories as a intrathecally-administrable solution was used at 3 concentrations, either 50 µg/ml (during the test period) either 500 or 2000 µg/ml (during the period of titration and chronic administration). During the first weeks, the dose was steadily increased in steps of 10-20% of the daily dose depending on the clinical response and the effect on the H reflex. We observed a considerable inter-individual difference in the initial efficient daily dose (15 to 250 µg/24 h); mean, 90 µg/24 h). After determination and stabilization of the initial efficient daily dose, adjustments must be made periodically as a function of clinical response and incidence of side effects. This step is particularly important, and it relies on efficient coordination of the care team. A pharmacist in our team is responsible for liaison between our laboratory, the patients and their family and medical environment. The patients come in for regular consultations either as scheduled or if the alarm beep warns them that the pump reservoir needs filling within the following week. The residual volume that sets off the alarm system is fixed according to the daily consumption of the individual patient. Daily consumption increased moderately during the first months of treatment before stabilizing to an average of $190 \,\mu\text{g}/24 \,\text{h}$ (range, 26 to $500 \,\mu\text{g}/24 \,\text{h}$). The maximum useful volume of the reservoir is 18 ml with a Baclofen concentration of 500 µg/ml. More recently, a concentration of 2000 µg/ml has been employed, so the period between refills varied considerably between patients. At each consultation, adjustments of the therapeutic dose were sometimes required in the light of the clinical and functional findings. The patients were informed about possible side effects, and the risks of overdose. Owing to the absence of antagonists specific for Baclofen, it is essential that the patients are aware of the first signs, i.e. excessive salivation, dizziness, nausea and/or vomiting, excessive muscular hypotonia spreading to the upper limbs, progressive difficulty in concentration with somnolence. A large overdose of Baclofen leads to respiratory depression and coma. Apart from a mechanical failure in the drug delivery system producing a sudden increase in delivery, overdose phenomena develop slowly, usually over a period of several hours or even days. If the patient is relatively close to the hospital, there is generally enough time for a forewarned patient and an informed family to come and have the dosage adjusted, or if necessary, the pump stopped and the reservoir emptied.

The clinical response was based on objective assessment of both the spasticity and the functional improvement. Spasticity was evaluated by scoring muscular hypertonus on Ashworth's scale^{94, 97}, although tendon retraction may hamper accurate evaluation. The frequency of painful spasms was noted during the clinical examination. Tendon and plantar reflexes were also determined. Functional improvement was evaluated on a scale scoring the different motor performances. The scale was derived from that proposed by Davis²⁹ for evaluation of the motor performance of motor invalids with cortical involvement. This scoring system was used successfully in a similar situation¹¹⁰, and so we employed it to evaluate functional improvement. This was split into 3 levels: marked improvement (> 3), moderate improvement (between 1 and 3) and no improvement (0).

The Ashworth Scale

1. No increase in tone

2. Slight increase in tone, giving a "catch" when affected part(s) moved in flexion or extension.

- 3. More marked increase in tone but affected part(s) easily flexed.
- 4. Considerable increase in tone, passive movement difficult.
- 5. Affected part(s) rigid in flexion or extension.

Neurophysiological exploration of the Hoffman reflex (ratio H max/ M max) was also employed to back-up the clinical assessment of hypertonia. This was measured using various procedures. During the test period, the H-reflex was measured before and after a bolus intrathecal injection. After implantation and during dose titration, the H-reflex was monitored semicontinuously during a rapid or slow infusion of the efficient therapeutic dose. Results were related to the clinical response. The H-reflex was also measured at out-patient follow-up in order to adjust therapy to individual functional targets, and keep the M max/H max ratio to within normal limits ($\leq 50\%$).

3.2.4 Clinical Results

3.2.4.1 Administration of a Single Intrathecal Bolus of Baclofen

Single bolus administration was employed during the test period, and only exceptionally during chronic treatment. In the latter case, the patient requires successive bolus injections (bolus delay) which assumes that the patient has reached his basal clinical state between two consecutive boluses. This rarely occurs in practice. In fact after a single lumbar intrathecal bolus, the latency of a clinical effect is relatively long (around 1 hour), and it affects the lower limbs first. Subsequently there is rapid development

Y. LAZORTHES et al.

(about 15 min) with a drop in muscular hypertonia, progressive disappearance of tone and a somewhat delayed decrease in tendon reflexes. Babinski's sign, or the plantar reflex in extension, is the last to disappear. The intensity, the metameric topographic extent as well as the duration of the clinical effect depend on the dose administered and on the individual patient. The efficiency of an individual dose is based on clinical criteria since in some cases, full hypotonus of the lower limbs should be avoided in order to maintain "useful spasticity" of the extensor muscles.

3.2.4.2 Results After Chronic Administration

Our results only concern the first 18 patients selected and implanted for chronic intrathecal administration over the period from May 1984 to December 1988. The mean follow-up was 28 months (range 4 to 56 months). In all the patients, muscular spasticity improved significantly. All the patients were selected at clinical stage 4 (5 patients) or 5 (13 patients) on Ashworth's scale. After treatment for various lengths of time, all patients presented a decrease in muscular hypertonia: 4 patients at stage 1, 12 patients at stage 2, and 2 patients at stage 3. The improvement in spasticity was observed during the titration period, and remained stable thereafter. Moreover, an improvement was seen in 14 out of 16 patients with painful muscle spasms.

Functional improvement varied considerably between patients, and depended on both the clinical stage and the etiology. Long-lasting functional improvement was observed in 3 patients including a 26 year-old bedridden Th8 paraplegic who recovered full autonomy within the space of three years. He was able to carry out basic tasks, get about in a wheelchair and even to stand and walk with a walking frame. This stable improvement was induced by a continuous slow intrathecal administration of Baclofen (26 µg/24 h). Functional improvement was moderate in 9 other patients, although in 3 of them it could be regarded as a marked improvement. Treatment had to be interrupted in case 5, a 56 year-old C6 tetraplegic, despite an excellent initial clinical response, due to local sepsis complicated by transient meningitis. The access port had to be removed after 26 months, and there was some delay before a Synchromed system was implanted. Case 11, a 27 year-old complete Th4 paraplegic, increased autonomy and improved use of his wheelchair, but did not retain any residual motor function. Case 14 with minor paraparesis secondary to spinal ischaemia from a dividing accident, with effort-induced distal spasticity of the posterior muscles of the leg, was cured of the spasticity, but the initial handicap was slight, his functional category was not altered.

The last 6 patients showed no functional improvement. They were all severely handicapped, bedridden and presented with tendon retraction.

Improvement in these cases was gauged essentially in terms of nursing requirements. Four of these patients had multiple sclerosis. The most common etiology in our patients selected was spasticity of spinal origin either due to trauma (7 patients) or the degenerative myelopathy of multiple sclerosis (6 patients). Comparing the results obtained in these two subgroups of patients, the most significant and stable improvements were observed in spasticity of post-traumatic origin, especially the cases of incomplete paraplegia. In these patients, there was both improvement in the spasticity and functional gain. The only patient without functional improvement was a 29 year-old full C5 tetraplegic (case 7), although he had a reduction in spasticity with suppression of painful spasms, and thus an improvement in nursing comfort.

In the 6 patients with spinal spasticity secondary to multiple sclerosis however, the results were less favorable. Spasticity was consistently reduced and in some cases completely suppressed, but there was little actual functional improvement (good in 1 case, moderate in 1 case and nil in 4 cases). This lack of improvement stemmed essentially from the gravity of the initial state and the absence of residual motor function. Moreover, although the selection criteria used were those of stable demyelinating disease, this is a progressive disease and the spinal deficit is commonly associated with supraspinal impairment such as a cerebellar syndrome which augments the handicap. In addition these patients were generally referred to us at a late stage when they had become bedridden with irremediable tendon retraction which effectively compromised functional improvement.

3.2.4.3 Complications

Side effects may be of technical, neurological or pharmacological origin, and they represent a very real risk for this type of therapy. A rigorous methodology must therefore be employed with careful follow-up.

The technical complications included 3 cases with displacement of the catheter from the sub-arachnoid space towards the epidural or extra-spinal space. This occurred in patients implanted with access ports (cases 1, 2, 3). The initial sign of a complication was lack of effectiveness of the intrathecal Baclofen, confirmed by administration of a radio-opaque substance via the access port. In all cases, the catheter was repositioned with resumption of response to a previously established effective dose. Two patients, who were initially treated with a mechanically activated Secor pump delivering isolated bolus doses, had to have the device removed as there was imprecision in dosage. These patients (cases 7 and 9) were subsequently implanted with programmable Synchromed pumps with a successful resumption of treatment. Lastly, one patient, the only motor invalid of cerebral origin in this series (case 8), received an overdose due to a pump failure which led

to respiratory depression and temporary coma. The pump had to be stopped in emergency and removed. In this case, the pump was a first generation programmable device (DAS system from Medtronic) which was implanted in September 1984, and which had operated perfectly for over a year.

Infections and Neurological Complications

We observed 4 cases of local sepsis around the subcutaneously implanted drug delivery systems, and 3 cases of temporary meningitis. There were no neurological complications. Of the 4 patients with subcutaneous sepsis, 3 were implanted with access ports (cases 2, 5, 6), and so required repeated daily percutaneous injections. The fourth patient, a case of severe immunodepressive multiple sclerosis (case 9) had a Synchromed system. Six months previously, she had developed temporary meningitis during a preliminary treatment phase via an access port. Although the implantable pump had not been implanted in the same place (abdominal instead of lateral thoracic site), secondary infection could not be prevented. The patient was bedridden and suffered from recurrent urinary infections.

Purulent meningitis was observed in 3 patients. This was treated by both local (via the access port) and systemic (i.v.) antibiotics. In 2 of these patients (cases 9 and 14), the meningitis established during the initial trial with the access port was isolated and there was no subcutaneous sepsis. On recovery, intrathecal treatment was resumed either temporarily (case 9) or permanently (case 14) via an implantable Synchromed pump. The third patient (case 5) developed purulent meningitis along with subcutaneous sepsis and removal of the access port was required before recovery took place. In this patient, who had an excellent response to intrathecal therapy, implantation of a programmable pump is planned as soon as the cerebrospinal fluid is clear of infection.

In summary, although the occurrence of local sepsis always led to removal of the access port and temporary or permanent interruption of intrathecal administration, the isolated occurrence of purulent meningitis did not stop the treatment program (cases 9 and 14). All the cases of infection whether local or meningeal were observed in patients treated via access ports. The increased risk of infection arising from daily percutaneous injections in immunodepressed patients finally led us to abandon this method of administration in favor of the now systematic use of implantable programmable pumps..

Pharmacological Complications

These represent an intrinsic risk of this method and are seen as an overefficiency, an overdose, or a losee of efficiency due to acquired tolerance. Detrimental transitory muscular hypotonia was one of the most frequent therapeutic reasons for non-selection during the initial trial period. Some patients were rejected for chronic treatment, as the over-efficiency of intrathecal Baclofen abolished useful spasticity in the lower limbs, or reduced motor performance in the upper limbs.

In the initial titration phase, we observed muscular hypotonia in 5 patients. Three of these patients were treated via an access port, but we could not be sure that all or part of the dose had actually been administered, even though the access port was flushed after each administration. In two other patients treated via a Synchromed system, the transitory hypotonia was rapidly counteracted during the titration period. This highlights the necessity for exact tailoring of dosage. We believe that the required accuracy can only be achieved using programmable implantable pumps.

A minor overdose leading to diffuse muscular hypotonia with temporary drowsiness was observed in 4 patients, 3 of whom were being treated via an access port. This arose during the titration period, and did not recur during the period of out-patient treatment after establishment of the efficient daily therapeutic dose.

Serious overdose causing progressive respiratory depression and transient coma occurred in two patients. In one of the patients (case 8), this was due to malfunction of the pump. The coma and respiratory depression were corrected by respiratory resuscitation with intubation, repeated lumbar puncture and i.v. hydration to accelerate renal elimination of Baclofen. The pump was stopped in emergency and removed. Treatment was not resumed in this patient In the other patient (case 1), with advanced multiple sclerosis, the overdose occurred after a bolus administration of 200 μ g of Baclofen into the access port. The moderate respiratory depression and coma reversed spontaneously without the need for respiratory resuscitation in intensive care. Accidents of this sort can now be avoided by interruption of intrathecal administration and i.v. injection of physostigmine.

Acquired Tolerance

After the titration period, the dose of Baclofen was usually adjusted every 24 hours. The dose increment varied greatly from one patient to another, although efficient stable doses were obtained within three months. We have yet to observe a genuine case of pharmacological tolerance.

3.2.5 Discussion

Our results confirm the efficiency of this type of administration in patients suffering from an invalidating spastic syndrome that in intractable to long-term oral anti-spastic therapy. All our patients had previously been treated with high doses of Baclofen (60 to 100 mg/24 h) in association with sodium
dantrolene which in many cases was associated with diazepam. This treatment had become inefficient with side effects such as somnolence, and confusion.

3.2.5.1 Inter-individual Differences in Dose

The pharmacological tolerance observed during oral administration was overcome by intrathecal administration since very low doses could be employed. Typically, the therapeutic effect was obtained, after the titration period, for an average dose of 90 μ g of Baclofen. We did, however, observe considerable inter-individual variation in threshold of efficiency (15 to 250 μ g).

During the initial trial period with bolus intrathecal administration the effects of the drug were only felt after a latenty period of from 45 to 60 minutes. This was presumably the time required for Baclofen to diffuse passively through CSF to the dorsal spinal root junction via the Virchow Robin cisternae, and finally bind to GABA-B receptors in the superficial layers (Rexed, 1 to 4) of the dorsal horn. This is a fairly long delay considering that the anatomical distance is only a few millimeters. It may be a result of the low liposolubility of Baclofen. After the latenty period, the effects set in rapidly with a peak response 15 minutes later. We observed a decrease in the muscular hypertonia with a progressive disappearance of the clonus and the monosynaptic tendon reflexes and finally loss of the Babinski's sign. Muscle tone and reflexes can be entirely abolished with larger doses. Baclofen distributes rapidly in CSF, but to a variable height with a predominantly caudal, metameric action, depending on the local concentration. The duration of the effect is variable albeit dose-dependent, and symptoms reappear in the reverse order and just as rapidly. The effect is perfectly reproduced after a new administration.

These observations were reported after the first trials of Penn^{91, 92, 96} and have been confirmed by various authors^{43, 66, 67, 71, 81, 82, 94, 97, 110}. In the present study (n = 18), the follow-up period (on average 28 months) and the long-term results are sufficient to demonstrate a stable clinical response without acquired tolerance. In all patients, we noted that the 24-hour doses had to be steadily increased during the first month of treatment, remaining stable thereafter. The average efficient dose rose from 90 to 190 µg for a range of individual values between 26 an 500 µg. These results are comparable to those of Penn^{93, 94, 96, 97} who found that in a series of 20 patients, the initial average efficient dose increased from 150 to 340 µg (range, 62 to 749). Müller *et al.*⁸², in a series of 25 patients with an average follow-up period of 2 years, reported an increase in the average daily dose from 234 to 294 µg. All authors noted a marked inter-individual variability of the efficient therapeutic dose. In our series, it was of the order of 1 to 20.

Penn⁹⁴ reported a 1 to 30 ratio whereas for Müller and Zierski⁸² it was 1 to 80 (10 to $800 \mu g$). This variability can be accounted for by differences in enzyme-mediated metabolism, local clearance by recirculation, and range and extent of the spinal lesions. This stresses once again the importance of accurate dosage which must be carefully adjusted in accordance with the therapeutic objectives which will also differ from one patient to another. They depend on the clinical stage of the condition, and whether the desired effect is total abolition of spasticity in patients who have lost all motor activity, or partial reduction of spasticity aimed at conservation of a certain degree of useful spasticity in patients with some functional motor activity. In order to optimize dosage, we were guided, not only by the clinical response, but also by the quantitative changes in Hoffmann's monosynaptic reflex (H reflex) and CSF Baclofen levels.

The ratio M max/H max determined at each consultation, is an index of efficiency which may also be taken into account in the light of therapeutic goals. For example, after a bolus intrathecal lumbar injection (50 to 75 ug of Baclofen) to six patients with longstanding spasticity and impaired voluntary motor control. Latash and his colleagues⁵⁹ demonstrated that the dramatic suppression of the spastic signs was accompanied by more selective voluntary muscle activation. Tonic coactivation of the antagonists and distant muscle groups during voluntary contraction was decreased, while the agonist level on electromyography (EMG) was not affected (3 cases) or only slightly reduced (3 cases). Furthermore, in one patient with sufficient residual motor control, there was a considerable increase in the speed of fast isotonic movements, accompanied by the emergence of the ability to generate phasic muscle bursts on EMG that were characteristic of normal motor pathways. This suggests that Baclofen exerts different effects upon reflex pathways and descending motor pathways, and demonstrates that elimination of spasticity may also improve voluntary motor function in some patients.

3.2.5.2 Influence of Etiology on Functional Improvement

Analysis of the results showed that the efficacy depended on both the etiology of the spasticity and the clinical stage of disability. From the clinical findings and the results of the trials using repeated bolus doses, we found, in agreement with other authors, a greater effect on spasticity of spinal origin whether post-traumatic or secondary to demyelinating disease. In fact we found that the effect on spasticity and painful muscular spasm was more or less identical in the two subpopulations, but functional improvement was greater in trauma cases with spinal lesions, and in patients with stabilized neurological lesions and deficits below the level of the lesion. In multiple sclerosis, the progressive character and the associated spinal lesion of a higher level tend to enhance the handicap and reduce the

functional improvement. The severity of the clinical stage also accentuates this difference. Initially, this therapy was restricted to the advanced, highly disabling clinical forms, such as bedridden patients or those with restricted freedom of movement. In these clinical forms, the motor deficit is complete below the lesion irreversible tendon retraction is common, and the only functional benefit concerns nursing comfort and suppression of painful muscle spasms. This was the case for 7 of our patients, 2 of whom had post-traumatic cervical or cerebral lesions, and the other 5 had multiple sclerosis.

Subsequently, patients with less serious clinical features were selected. In these patients, independence of movement and motor performance are reduced owing to the intractable nature of the spastic syndrome, and therapy is aimed at improving both comfort and autonomy. This is reflected by greater independence in a wheelchair with sometimes a real functional improvement in the motor performance of the arms, or walking with the aid of a frame, when there is residual motor function of the lower limbs with "useful spasticity". This was the situation for 8 of our patients, 4 of whom were suffering from spinal post-traumatic lesions at the thoracic level. For these patients, the functional gain was secondary to both reduction in spasm and improved motor control. Latash *et al.*⁵⁹ has also reported an unmasking of motor control by intrathecal Baclofen, although the degree of improvement does not appear to be predictable before intrathecal drug administration.

Future indications for this mode of administration will probably extend to minor clinical forms in fully autonomous patients with disabling effortinduced spasticity sometimes accompanied by painful spasms, especially at night. The problem here is essentially that of accurate dose adjustment in order to normalize muscle tone with conservation of adequate motor function and extensor tone for standing or walking. Dosage can now be adjusted with some precision using implantable programmable pumps like the Synchromed. Complex infusion cycles can be programmed allowing administration of higher doses at night to counteract painful spasm without interfering with motor function during the day. This was the case for two of our patients. In one of them, the distal effort-induced hypertonus was suppressed with no concomitant disturbance of motor function.

However, the spastic syndrome is not static, and numerous intrinsic factors (infections, asthenia, etc.) or extrinsic factors (changes in the weather, temperature, atmospheric pressure, travelling, etc.) can aggravate the condition, requiring temporary adjustment of the intrathecal treatment regimen. Although the implantable programmable systems available at present (Synchromed for example) can be programmed at the treatment center, there is no provision for the patient to self-prescribe a predetermined extra dose in the event of fluctuation in the spasticity.

The risks of direct intrathecal perfusion of Baclofen have been stressed by all authors, although technical risks should not be confounded with pharmacological risks. The mechanical complications were reported mainly during the initial period of development of the technique. Steady technological progress over the years has already solved many of these problems. and those remaining are likely to be overcome in the near future. We feel that access ports should be abandoned for chronic treatment, but they are indispensable for the prolonged trials during the selection period. We have also found it useful to leave the port in place during chronic treatment for removal of CSF samples if necessary. Along with other authors^{53, 82, 94}, we found that continuous intrathecal administration gave better results than administration by repeated injection. Of the 11 patients implanted with the Synchromed system, only one showed a better clinical response to daily bolus administration at a fixed time (9.00 a.m.). In the other patients, a better balance of the spastic and motor syndrome was obtained with continuous infusion.

3.2.5.3 Pharmacological Complications and Risks

Pharmacological complications represent the potential risks involved with this method, and they can detract from its value. Oral Baclofen is evenly distributed in the brain and spinal cord but its anti-spastic action is essentially at the spinal level. Increasing the dose via the systemic route thus leads to central side effects such as drowsiness, and confusion. Local intrathecal administration leads to a preferential perfusion of the spinal cord. although since the subarachnoid spinal and cerebral CSF compartments are continuous, central side effects can also occur with this route of administration. Our results, as well as the experience of others highlight the marked inter-individual variability in effective therapeutic doses (between 26 and 500 µg in our series), and the importance of optimal individual titration. This variability is accounted for by different rates of passive diffusion in both CSF and at the posterior spinal root junction, and differences in catabolic activity. There are also likely to be considerable differences in degree and extent of the lesions between patients. The half-like of Baclofen in the CSF is between 4 and 5 hours^{96, 100}. In the event of an overdose, 30 to 40 ml of CSF can be withdrawn by lumbar puncture, or via the access port, for a quicker reduction in adverse reactions. Although it is not a specific antagonist of Baclofen, intravenous physostigmine (1 to 2 mg over 5 min) can counter its central effects, especially the drowsiness and respiratory depression⁸³. It is therefore an effective antidote and improves the safety of the technique. However, it should be noted that physostigmine has a short half-life in CSF, and it may be necessary to repeat a 1 mg i.v. injection every 30 to 60 minutes. A specific antagonist Baclofen

has recently been reported to antagonzie both the peripheral and central effects of Baclofen⁵⁴. Delta aminovaleric acid has also been shown to have an antagonist action in the central nervous system¹⁰⁷. The future availability of specific Baclofen antagonists will undoubtedly improve the safety of the technique.

3.2.5.4 Alternatives to Intrathecal Baclofen

Whether administered intrathecally or systemically, Baclofen is the most effective anti-spastic drug to date. However, during the percutaneous trial period (in 5 patients) and the chronic administration period (in 3 patients). we compared it to both morphine and midazolan. Intrathecal morphine has been proposed for control of spasticity³⁴. With a follow-up period of 1 to 7 months. Erickson reported a significant response in spasticity of post-traumatic origin. Morphine is a non-specific opiate agonist of u receptors. Its action is dose-dependent, selective, and it affects nociception and polysynaptic reflexes. Willer reported that intrathecal administration did not lead to any objective modification in the motor function or gamma monosynaptic reflexes in voluntary paraplegics¹²⁶. In our series, we noted an overall decrease of spasticity, but it was guite moderate compared to the effect of Baclofen. In 3 patients treated over a 2 to 3 months period, we observed a falling off in clinical response, progressive urinary retention and acquisition of tolerance. We therefore discontinued intrathecal morphine whose only advantage is the availability of a specific antagonist, naloxone.

Midazolan is a water-soluble benzodiazepine that can be administered intrathecally, and it has been employed in the treatment of spasticity⁶⁶. The clinical effect is moderate and rather short-lived as the biological half-life is around 2 hours. High doses provide no additional therapeutic effect and lead to somnolence.

The place of intrathecal Baclofen in the treatment of spasticity still remains to be established, while controversy still surrounds the surgical treatment of this condition⁵³. Chronic electrical stimulation of the spinal cord has been used in the treatment of spasticity of post-traumatic origin or that arising from demyelinating disease²¹, while stimulation of the anterior cerebellum has been applied in spastic and motor syndromes of cerebral origin^{22, 29, 62, 123}. Chronic neurostimulation of the spinal cord or cerebellum is a conservative method, only slightly invasive, is totally reversible and is founded on neurphysiological principles^{108, 109}. However, its immediate effectiveness is moderate with little clinical or neurophysiological response⁸⁹. There is also a lack of appreciable long-term functional improvement. The relatively low efficiency of electrical neurostimulation led us to abandon it in favor of intrathecal Baclofen administration in the treatment of severe spasticity of spinal origin¹⁰⁹.

Functional neurosurgery for spasticity commenced as early as 1908 with the introduction of posterior radicotomy by Foerster. Since then, numerous techniques for interrupting the spinal reflexes have been proposed, including longitudinal myelotomy, or stereotaxic operations such as cerebellar dentatectomy or thalamotomy¹⁰⁹. Apart from a chemical or surgical partial peripheral neurotomy, which is a viable option when only a single muscle group is involved, only posterior root section continues to be employed. This is not the classical general root section involving all the posterior rootlets, but rahter a selective rootler section at the posterior spinal root junction¹¹¹. The aim is selectively to destroy the small nocioceptive unmyelinated fibers, and converse the large myelinated fibers which carry non-nocioceptive somesthetic information. This technique is thought to be a value for spasticity of a limited distribution involving the territory of 2 or 3 roots at most. This is especially the case for those spastic syndromes of the arm arising in brachial diplegia secondary to cerebral palsy.

3.2.6 Conclusion

There is now enough evidence showing the superiority of lumbar intrathecal infusion of Baclofen to oral administration and even to destructive techniques for treating invalidating spasticity of spinal origin⁷¹. In theory at least, its remarkable efficiency obviated the need for double-blind trials. However, in a recent double-blind crossover study (Baclofen against saline), Penn et al.97 provided additional evidence that intrathecal Baclofen markedly reduces severe spasticity. The advantages of the method are that it is conservative, non-invasive, reversible and selective in that it can suppress muscular hypertonus and inhibit mono and polysynaptic reflexes without affecting residual voluntary motor function. Bedridden or wheelchair patients with longstanding spastic paresis can also benefit from improved voluntary motor function. A difficulty is the marked inter-individual difference in response requiring accurate determination of individual effective doses. Continuous microperfusion gives better results than repeated bolus administration. The treatment of intractable spasticity by this new technique will rest on a firmer footing when we have a better understanding of Baclofen pharmacokinetics. The availability of specific antagonists and implantable programmable pumps with adequate sized reservoirs that are both reliable and inexpensive would also be highly desirable.

However, clinical trials with longer follow-up periods are still required to assess possible long-term tolerance. We also need to establish optimal efficient doses that suppress detrimental spasticity without interfering with residual voluntary motor function in ambulant patients.

4. Other Clinical Applications and Perspectives

4.1 Intracarotid and Direct Intratumoural Chemotherapy for Malignant Glioma

4.1.1 The Rationale

The overall effect of antimitotic agents depends on achieving adequate concentrations at the tumour site over a sufficient period of time. The brain and the cerebrospinal fluid are limited access sites, and drugs delivered systemically do not reach the central nervous system in high concentrations. The carotid artery is the principal afferent for the majority of the supratentorial gliomas. It would thus be a logical step to employ regional perfusion of anti-mitotic drugs^{24, 35}. Injection of equal amounts of ¹⁴ C-labelled BCNU via the intravenous and intracarotid routes in monkeys showed that 3-fold higher concentrations were obtained in the ipsilateral brain with the intracarotid than with the intravenous route. In addition, the perfused cerebral hemisphere received 4 to 5-fold higher doses than the contralateral hemisphere.

The advantage of intravascular chemotherapy is that it localizes the cytotoxic agent, and avoids local increases in plasma peak concentrations. High local drug levels for prolonged periods, and diffuse distribution of the anti-mitotic throughout the tumour boundary have been achieved in the post-operative intra-cavity chemotherapy of malignant brain tumours via an external catheter^{14, 39} or implantable pump^{47, 79, 93}.

4.1.2 Local or Regional Intracarotid Chemotherapy

The indications are essentially cerebral malignant glioma (III and IV grade astrocytoma) with a unilateral hemispheric situation and secondary diffuse metastases. Surgical extirpation and conventional radiotherapy are carried out prior to chemotherapy. Chemotherapy is either given systemically following radiotherapy, or if there is a recurrence. The results of intra-arterial chemotherapy using various chemical agents in these indications have been lesenbed by various authors^{41, 42, 99}. Some of these preliminary studies are listed in Table 5. Most of the authors have employed the femoral approach for each session of chemotherapy (normally every 2–3 months). The aim is to increase selectivity (supra-ophthalmic), in order to reduce the risk of neurotoxic retinal effects. Prior to chemotherapy, the blood-brain barrier can be rendered permeable by intravenous or even intra-arterial infusion of hypertonic mannitol followed by a rapid infusion of BCNU or cisplatinum.

Implantable pumps have been employed only by a few workers in this indication. In ⁹⁹1982, Philips proposed a slow, continuous FudR perfusion

| Authors | Patients | | Technique | | Site | Drug |
|---|----------|----|-----------|----|-----------------------|-----------------------|
| | GL | Μ | Cath | Р | - | |
| Greenberg et al. (1981) Greenberg (1984) | 30 | | 30 | | I-A | BCNU |
| Dakhil et al. (1981) | 7 | | | 7 | I-V | Metho- trexate |
| Philips et al. (1982) | 6 | | 6 | | I-A FUdH Cispla | BCNU, R, atinum |
| Feun et al. (1984) | 20 | 10 | 30 | | I-A Cisplatinum | |
| Stewart et al. (1984) | 16 | 16 | 32 | | I-A | BCNU, atinum |
| Beck et al. (1984) | | 3 | | 3 | I-Th | Metho- trexate |
| Morantz (1984) | 11 | | | 11 | I-T | Bleomy- cin |

Table 5. Regional Chemotherapy for Malignant Brain Tumours

GL=Gliomas grades III and IV; M=metastasis; Cath=femoral catheter; P=Pump; I-A=intra-arterial; IV=intraventricular; I-T=intratumoural; I-T=i

(4.8.–6.5 mg/day) using an Infusaid 400 model pump for a 14–70 day period. A side-port was used for repeated bolus injections of either BCNU or cisplatinum. Ocular toxicity is the major complication with this method⁴², and it appears to be related to the alcohol concentration in the BCNU solution. Supra-ophthalmic catheterisation should thus reduce the risk of retinal toxicity. The second toxic risk is purely neurological and is independent of the route (intra or supra-ophthalmic) or method of infusion. Nevertheless, implantable, continuous-flow pumps allow simultaneous infusion of such "cell-cycle-specific" chemical agents as FudR or even radiosensitizers (BUdR). Another advantage of these slow continuous-flow pumps is their ability to maintain high tissue concentrations of drug. In the near future, connection of a slow-flow perfusion pump to an intraarterial, supra-ophthalmic catheter should become feasible without compromising patient safety.

4.1.3 Direct Intra-tumoural Chemotherapy

Intra-ventricular chemotherapy has been reported²⁶, while direct implantation of a catheter at the tumour site has also been envisaged. Local administration of cisplatinum to rats has been reported by Kroin and Penn⁵⁷, although it seems that tissue diffusion (maximum of 2 cm) limits such applications. Local chemotherapy is tempting as it would considerably reduce the neurotoxic and other risks inherent in the use of such powerful drugs. Local microinfusion may be the technique of the future. Bleomycin has been tested in cases of glioma^{11, 79}, and other drugs have been tried via multiple implanted catheters^{14, 39, 93}. Recently, Harbaugh⁴⁷ reported a phase I study of intra-tumoural methotrexate infusion in recurrent glioblastoma. This author showed that post-operative intra-cavity methotrexate infusion through a single catheter as well tolerated if systemic folinic acid was given to prevent peripheral side effects. Radio-immuno assay demonstrated that drug diffusion and levels in the tumour (biopsy and autopsy samples) and surrounding brain tissue (biopsy samples) was much higher than those achieved by systemic administration. At the present time however data on efficacy are not available from this phase I study, and no significant tumour response has been observed so far. Conclusions will have to await the results of further studies with methotrexate and other chemotherapeutic agents.

4.2 Intraventricular Cholinergic Drug Infusion for Alzheimer's Disease

Progress in understanding the neurochemical deficits of various degenerative diseases of the CNS has led to the development of new applications for local infusion of drugs. Recent data indicate that there is a reduction in cholinergic cerebral acitivity in patients with Alzheimer's disease (A. D.). Cerebral biopsies of such patients were also found to have reduced amounts of choline acetyl transferase (ChAT), a specific marker of cholinergic neurones, as well as a decrease in acetylcholine synthesis^{23,28}. Based on the possibility of a decreased muscarinic receptor activity in A. D. patients, Harbaugh *et al.*⁴⁴ suggestd perfusing small doses of muscarine agonists directly into the ventricular cerebrospinal fluid.

After toxicity studies in dogs, 4 A. D. patients were subjected to a preliminary feasibility test followed by a cerebral biopsy. A constant flow pump (Infusaid) was connected to a catheter placed in the lateral ventricle in 3 patients and in the cisterna magna in the other. Bethanecol chloride was infused slowly until the optimal therapeutic dose for each patient was obtained (between 0.05 and 0.7 mg/day). During an 8 months follow-up period, he observed a number of spontaneous, reversible complications: initial nausea, and in one case, a transient Parkinsonian syndrome which regressed after reducing the dose (0.6 to 0.4 mg/day). Although the therapeutic response seemed encouraging, experience is still too limited for any general conclusions to be drawn. Subjective family reports noted improvement in cognitive function as well as in the patients' functional capacity. Patients returned to their initial state after infusion of a placebo. The

efficiency of this treatment has yet to be confirmed over a longer followup period with quantitative evaluation of mental function using standardized scales.

Since this initial study^{44,45}, Harbaugh⁴⁶ and Penn⁹⁵ have reported results of a double-blind study and escalating dose trials. They only noted moderate improvements in behavior and neuropsychological test scores after infusion of bethanecol, and they did not recommend treating this condition by infusion of any of the currently available drugs with implantable pumps. The prospects of this new therapeutic approach hinge essentially on neuropharmacological developments. In Alzheimer's disease for example, the goal is to find an agent that selectively reestablishes cholinergic activity. The possibility of supplying nerve growth factors directly to the brain of A. D. patients by intraventricular infusion has also been envisaged⁵⁰.

4.3 Intrathecal Infusion of TRH in Amyotrophic Lateral Sclerosis

The intrathecal administration of thyrotroping releasing hormone (TRH) in degenerative neurological diseases such as amyotrophic lateral sclerosis was proposed by Munsat⁸⁴. It appears to be less toxic with a longer lasting effect by the intrathecal route than after intravenous administration. There is little information of date on the efficacy and the safety of this treatment, although a blind study is in progress⁸⁵.

4.4 Perspectives

There are many potential applications of direct CNS infusion of specific agents to treat neurological diseases or disorders. Systemic side effects, peripheral drug destruction, poor blood-brain barrier penetration often hamper drug therapy of such conditions^{48, 70}. A number of trials can be mentioned:

Ballantine⁶ has suggested that the intraventricular administration of lithium has potential psychiatric applications. Animal kinetic studies showed that intraventricular drug delivery can reduce both the extracerebral toxicity and the caudal neurotoxicity of lithium.

The intrathecal or intraventricular perfusion of anti-convulsant, glial 5-aminobutyric acid (GABA) uptake inhibitors such as THPO was suggested for the treatment of obstinate epilepsy^{8, 48}.

Intra-operative topical application of Nimodipine and post-operative intracisternal infusion of calcium inhibitors after repair of ruptured arterial aneurysms has been reported by Auer^{4, 5}. Cisternal administration of Nimodipine ($200 \mu g$) was performed in 20 patients for 3 to 10 days postoperatively.

The use of L Dopa methylester in animal models of Parkinson's disease has been described by Hood⁵¹.

Lastly, Harbaugh⁴⁸ has suggested the possibility of combining neural tissue transplantation with CNS infusion of neurotrophic factors.

Conclusion

Chronic intrathecal administration of opioids and Baclofen is now a routine therapeutic option for the control of pain and spasticity. The future prospects of local neuropharmacology are promising. They depend largely on progress in our understanding of the action of endogenous and exogenous ligands and the neurophysiological substrates of degenerative diseases. However, the neurotoxicity of new agents must be determined in rigorous animal toxicity studies before clinical trials.

From a technological standpoint, further developments in implantable and programmable drug delivery systems should be directed at improving reliability and safety, reducing cost and adapting the systems to patient needs. Over the coming generations, pharmacological neurosurgery is sure to see considerable development, and it represents a most promising field of research.

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Author Index

Abenerthy DR 65 Abernathey CD 123 Adams HP 53, 74, 94, 95 Adams HP Jr 51, 52, 53, 75 Addison D 154, 181 Afifi A 56 Aguilar F 94 Ahn HS 66, 69 Ahr G 65 Ajuriaguerra J de 5, 9, 25 Akaike A 153, 154, 159, 161 Akiva I 80 Alexandre A 6 Alker GJ 5, 6, 39 Allen GS 66, 69 Alvarez Garijo JA 50 Amano K 39 Ameen AA 53 Anderson HB 157 Andersson KE 73 Andoh Y 39 Andreoli A 54 Angrilli F 6 Anstätt TH 56 Aoyagi N 49 Arieff AI 56 Arnold PG 154 Arntz H-R 141 Asano T 76 Asimov I 4, 6, 40 Atweh SF 153, 154 Auer LM 66, 67, 68, 69, 72, 81, 92, 183 Augustenburg G 157 Awad IA 88, 89 Azar FM 79 Aznar JA 50 Backlund EO 182 Badui Dergal E 54

Bailev RT 91 Bakay RAE 50 Baker RP 81 Ballantine P 183 Bancoud J 25 Barnett Gh 57, 58 Barsan WG 94 Bart G 154, 162 Bartter FC 57 Baskin DS 93 Bastide R 151, 154, 156, 157, 162, 174, 179 Batier C 154, 161, 162, 163 Battista AF 87 Bay J 157 Bay JW 91 Beck DO 181 Beck DW 74, 95 Beck O 157 Beck W 53 Becker DP 83, 84 Beckman F 49 Begue T 90 Beique R 25, 26, 28, 30, 180, 182 Beissinger RL 165 Bell BA 94 Benabid AL 5, 14, 19, 26, 28 Bende J 56 Benedetti A 16 Benezech J 154, 161, 162, 163 Ben Gayed M 11, 38 Benjamin RS 181 Bennett W 57 Benoit B 154, 181 Benveniste D 157 Berger MS 39 Bertrand G 7 Besson JM 153, 161

Bettag W 93 Bette H 123 Betti O 24 Bevan JA 62, 75, 79 Bevan RD 62, 75 Beyer CM 81, 94 Bhoopat W 96 Bickell WH 115, 123 Birg W 5, 6 Blacklock JB 178 Blackshear P 164, 183 Blanchet P 154, 161, 162, 163 Blond S 154, 157, 161, 162, 163 Blumenkopf B 77 Böker DK 93 Bonis A 25 Bordas-Ferrer H 25 Bordas-Ferrer M 5, 9 Borel C 58 Börner M 141 Borner U 154, 162 Bosch DA 182 Bouhassira D 153, 161, 162 Boulianne M 28, 30 Bourbon R 154 Bousquet C 79 Bouvier G 5, 25, 26, 28, 29, 30, 180, 182 Bowery NG 165 Brandt L 65, 66, 67, 68, 69, 72, 73 Branston NM 73 Braun DP 174, 180, 182 Brice J 55 Brimioulle S 59 Brouwers PJAM 54, 55 Brown DR 87 Brown FD 84, 87 Brown JA 52 Brown RA 6 Brownbill D 85 Bucheit F 92, 154, 161, 162, 163 Bucheit WA 84, 85, 95 Burchiel KJ 50 Burckhardt CW 34 Burgess MA 181 Bussel B 178 Byer JA 60

Callahan RA 115, 123 Camillerapp J 28 Campan L 151 Candia G 76, 77, 95 Candia M 76, 77, 95 Capiau P 154 Carillo JP 151, 174, 179 Carl P 157 Carter LP 88, 89 Carter S 123 Caspar W 123 Catros JY 28 Cattell HS 115, 123 Caute B 151, 154, 156, 157, 162, 164 Cech DA 154, 158, 162, 163 Cesarman E 54 Chandler F 180, 181 Chandler W 181 Chandler WF 180, 181 Chauvon M 154 Chen JY 5, 13 Chimowitz MI 79 Chio Sh-M 123 Chirossel JP 26 Chiu KM 174, 180, 182 Chuang WP 181 Chyatte D 81, 96 Cinquin P 5, 14, 16, 19, 28 Clark GL 115, 123 Clark JA 5, 28 Clarke RH 7 Clemente G 151, 156, 162 Clergue ML 151, 154, 162 Cloutier L 25, 26, 28, 30 Clower BR 79 Coatrieux JL 28 Colohan ART 62, 79 Colombo F 6 Combelles J 154, 161, 162 Combelles M 157 Commbs DW 191 Condon BR 5, 20 Conseiller C 153 Consins MJ 158 Contzen H 141 Cook WA 159 Coombs DW 153, 159, 178, 182, 183

194

Cooper IS 178 Corcos DM 175, 176 Cordos D 169, 174, 179 Corona T 94 Corredor H 5, 9, 18 Cort JH 57 Covello L 5.9 Cowles AL 180 Coyle JT 182 Crafts DC 180 Craigen L 66 Crawford ME 157 Crompton MR 55 Cros J 151, 154, 156, 157, 162 Cruickshank JM 55 Curelop S 57 Dagreon F 68, 69, 73 Dankhil SR 180, 181 Danel F 14 Danet B 164 Daumas-Duport C 24 David M 5, 9, 18, 25 Davidoff RA 165 Davies P 182 Davis DH 63, 82 Davis R 169, 178 Dawley J 50 Dayan AD 180, 182 Decaux G 59 De Colvenaer L 154 Delgado TE 84, 85, 95 Delong MR 182 Delwaide PJ 165 Demongeot J 5, 14, 19, 28 Dempsey PK 180, 182 Denavit J 17 Dennery J 154, 181 Denny-Brown D 83 De Reougemont J 14, 26 Derome P 18, 26 Descouens D 151, 156, 162 De Somer R 154 Devulder J 154 Dharker S 87 Dickenson AH 153, 161 Dietz H 141

Dieumegarde M 5, 28 Di Pasquale G 54 Diringer M 56, 57, 58 Dieines M 157 Doan K 180, 181 Doczi T 56, 57 Doll J 11 Domino DF 184 Dorrance DE 69, 70, 73 Dorsch NWC 73, 93 Doshi R 55 Drake CG 81, 94, 95 Dralle D 174, 175, 177 Dubar M 154, 161, 162 Dubner R 153 Duggan AW 158 Dumoulin K 154 Duparet R 9 Durr P 34 Durward OJ 87, 88, 89 Earnest F 5, 6, 36, 39 Ebeling U 68, 69, 72 Ebina K 87 Eggert HR 123 Eichling JO 81 Enevoldsen EM 64, 81, 85 Engelhardt GH 141 Engelhardt H 123 Ensminger WD 180, 181 Erickson DL 178 Eriksen J 157 Esquerré JP 164 Essen C von 67, 69, 72, 87, 93 Estanol B 94 Estanol BV 54, 55 **Evanston ILL 17** Faden AI 93

Falik JL 56 Fankhauser H 34 Farcot JM 154, 161, 162, 163 Farhat SM 83 Farnarier Ph 20 Fatela LV 154, 161, 162, 163 Favre J 34 Feldmann JM 77

Author Index

Fenstermacher JD 180 Feret J 158 Feun LG 181 Fiebach BJO 93 Fields ES 181 Fink EA 83 Fink ME 64 Fiorentino A 9 Fiorentino M 9 Fischer G 191 Fiume D 157 Flamm ES 53, 74, 87, 90, 95 Fleckenstein A 64 Fleischer AG 90 Fleischer AS 90 Flury P 34 Fode NC 96 Fodstad H 50, 53 Foerster O 179 Fohanno D 19 Forni C 116, 123 Forssell A 53 Fox JH 183 Fox JL 56 Fov PM 80 Frazee JG 75 Frerebeau Ph 116, 123, 161, 162 Freund HJ 20 Frishman WH 86, 87, 91 Fukumori T 78 Fujita S 76 Funakubo H 34 Fürst G 20 Gaab MR 89 Gaetani P 73 Gaio JM 26 Gaitzsch J 123 Galli G 154, 161, 162, 163 Gandolfini M 154, 161, 162, 163 Gardeur D 19 Garfield J 180, 182 Gaylor M 159, 178 Gebhart GF 153 George B 90 Ghosh S 28, 30 Giannotta SL 82, 84, 85, 87, 88, 89, 95 | Handa J 73

Giard N 25, 26 Gijn J, van 54 Gildenberg PL 5, 6 Gilsbach J 123 Gilsbach JM 67, 68, 69, 72, 95 Glauser D 34 Glick R 52 Glvnn CJ 158 Goecke J 141 Goerss SJ 5, 6, 28, 39 Goetz KL 56, 58 Goldstein M 87 Gordon YB 50 Goto J 38, 39 Gottlieb GL 175, 176 Gottschalk W 154, 158, 162 Gottstein U 91 Gouardères CH 151, 157, 162 Goutelle A 191 Gowers WR 113, 123 Gozalo A 161, 162, 163 Graham JR 158 Grahovac Z 154, 181 Gray EF 169, 178 Greenberg HS 153, 180, 181, 184 Green D 52 Greenburg H 181 Grell AM 157 Gremban KD 17 Grob R 68, 69, 73 Gros C 116, 123, 154, 161, 162, 163 Grossart KWM 5, 20 Grotenhuis JA 93 Grotta JC 83 Grubb RL 81 Guerard MJ 180, 182 Guerrouad A 11, 38 Guiot G 18, 26 Gutai J 58 Gutierrez FA 116, 123 Gutin Ph 26 Hache JC 11, 38 Hadley Dm 5, 20 Haft H 123 Hall JG 158

196

Handel S 181 Hanieh A 61 Hankey GJ 174 Hanlon K 84, 87 Haraguchi S 87 Harari A 158 Harbaugh RE 59, 153, 154, 162, 180, 182, 183, 184 Harders AG 67, 69, 72, 95 Hardison J 56 Harper AM 66 Harris JE 174, 180, 182 Harris RJ 73 Hartenberg RS 17 Hartl RG 60 Hasan D 53, 54, 55, 57, 59, 63 Hashi K 81, 94, 95 Hatch JH 39 Hawrvlyshyn P 26 Hayakawa I 49 Hayat S 11, 38 Hayati S 5, 13 Hayes RL 153 Headly PM 158 Hee Han D 91 Hefti F 183 Heiskanen O 69, 70, 71, 95 Hempelmann G 154, 162 Henderson WC 50 Henriksen H 157 Henry S 26 Hernesniemi J 61 Heros RC 83, 84 Hertz M 91 Higuchi S 74 Hijdra A 54, 56, 57, 58, 63 Hilfrich J 157 Hill CS 161, 162, 163 Hill DR 165 Hillman J 67, 69, 72, 93 Hills CS Jr 154, 158, 162, 163 Hindmarsch TH 182 Hino A 64, 81,83 Hirabayashi K 116, 123 Hitchcock ER 53 Hoffman JM 50 Hoffmann D 5, 14, 19, 28

Höllerhage HG 89 Hommel M 26 Hood TW 184 Hopkins HS 154, 181 Hori T 5, 29 Horsley V 113, 123 Horsley VA 7 Hosobuchi Y 25 Hosobuschi Y 93 Hou J 5. 13 Hougard K 91 Howe JR 165 Huber P 67, 69, 80, 93, 95 Hudson AL 165 Hugenholtz H 154, 181 Hughes J 153 Hunt WE 83 Husch M 157 Huska E 56 Hwang OK 75

Ikebe J 38, 39 Ilach F 56 Illingworth R 53, 69, 71, 72 Inoue N 39 Iseki H 39 Ishida Y 116, 123 Ishijima B 81, 94 Isobe K 63, 82, 84 Ito Z 183 Itoh H 64, 83, 84, 85 Ivankovitch AD 154, 158, 162 Iwabuchi T 77, 78

Jacob M 5, 9 Jan M 92 Jang CS 153, 154 Jansen P 28 Jenkins A 5, 20 Jensen FT 64, 81, 85 Johansen SH 157 Johnson DW 81 Johnson RM 115, 123 Jonckheere EA 5, 13 Joo F 57 Jorgensen HOK 157

Joynt RJ 56 Junsford LD 5, 6 Kaiser D 123 Kall BA 5, 6, 28, 36, 39 Kamitani H 39 Kanade T 17 Kang JK 75 Kapellakis GZ 86 Kapp JP 79 Karimi-Nejad A 141 Kasda S 66 Kasdon DL 177, 178 Kasdon J 183 Kassell NF 51, 52, 53, 62, 75, 79, 81, 87, 88, 89, 94, 95 Kaufmann HH 5, 6 Kawaguchi T 76 Kave AH 85 Kazda S 65 Kelly PJ 5, 6, 28, 36, 39 Keränen T 61 Kerr DIB 178 Kettenberger H 39 Khan M 87. 91 Kiessling M 24 Kim DS 75 Kindt GW 82, 84, 85, 87, 88, 89, 95, 180, 181 Kirschner M 133, 141 Kistler JP 77 Kita H 80 Klar M 5, 6 Klockgether T 178 Knuckey NW 77 Knuttsson E 165 Koike T 85 Koos WT 81, 92 Korosue K 83, 84 Kosnik EJ 83 Kosugi Y 38, 39 Krishna Murthy KS 5, 6 Kroin JS 165, 174, 180, 182 Kron RE 64, 82, 83 Krueger C 73 Kugler E 141 Kuhar MJ 153, 154

Kvasina T 5, 9, 18 Kwoh YS 5, 13 Labissonniére P 28, 30 Laborde G 123 Ladenson PW 56, 57, 58 Laine E 79 Lamas E 154, 161, 162 Lamb S 26 Larsen LB 157 Larsson ST 182 Latash ML 175, 176 Lavados A 151, 154, 156, 162 Lavallée S 5, 14, 19, 28, 29 Lazorthes Y 151, 154, 156, 157, 162, 163, 164, 166, 174, 178, 179, 183 Leavens ME 154, 158, 161, 162, 163, 181 Le Bars D 153, 161, 162 Le Bas JF 5, 14, 19, 28 Lee A 7 Lee CK 115, 123 Leibel SA 26 Le Jemtel TH 86, 87, 91 Lennihan L 64 Lenzi A 154, 161, 162, 163 Lepers B 11, 38 Leplumey J 28 Lesage J 25, 26 Lester M 57 Leven VA 25 Levin VA 180 Levy WJ 91 Lewis PJ 64, 83, 84, 85 Lewis VA 153 Lezniewski W 67, 69, 72, 93 Lindblom U 165 Lindsay KW 50, 51, 52, 53, 57, 63, 75 Lilequiest B 53 Liszciak TM 91 Little JM 73, 93 Ljunggren B 65, 66, 67, 69, 73 Lobato RD 94, 154, 161, 162, 163 Loeb HS 87, 91 Loew F 123 Lonstein JE 115, 123 Lorenz WJ 20

Lott S 20 Louveau A 26 Love JG 113 Lowe D 69, 70, 73 Loyo MV 55 MacCarty CS 115, 123 McCulloch JA 123 McGillicudy JE 82, 84, 85, 87, 89, 95 McLaurin RL 50 McMurty JG III 63, 84 Madrid JL 154, 161, 162, 163 Maeda Y 78 Maier-Borst W 11 Makino H 52 Maranhao R 154, 156, 162, 164 Marchand EP 5, 28 Margolis RN 115, 123 Marin J 94 Marini G 154, 161, 162, 163 Marion DW 53, 55 Marks M 7 Markham JW 113 Maroon JC 56, 57, 58, 62, 63 Maroun JA 154, 181 Martensson A 165 Martin EM 183 Martin WRW 81 Marzatico MS 73 Massrv SG 56 Masuzawa H 39 Mateos JH 55 Matge G 154, 161, 162, 163 Mather LE 158 Mathieu-Daude JC 154, 162, 163 Matson DO 115, 123 Matsumoto Y 81, 95 Matsuoka Y 81, 95 Maurer LH 180, 182 Maurice-Williams RS 50 Mavligit G 181 Mawko G 5, 28 Mayer CHA 53 Mee EW 69, 70, 73 Meisheri KD 75 Mempel E 5, 9 Mendelow AD 87

Menetrey D 153 Mennel HD 24 Mercier C 26 Merideth J 90 Messeter K 73 Meynadier J 154, 157, 161, 162, 163 Michaelson M 178 Mikawa Y 115, 123 Miller JD 94 Mischler D 28 Miyagi K 81, 94 Mizukawa N 64, 81, 83 Mohr G 69, 71, 73 Moller IW 157 Monsaingeon V 24 Monsarrat B 151, 157, 166 Montgomery EB 81 Mooring BW 17 Morantz MA 180, 181, 182 Morel P 25 Muizelaar JP 83, 84 Mullan S 49, 50, 52, 84, 87 Müller H 154, 162, 174, 175, 177 Müller-Schwefe G 177 Munari C 24 Mundinger F 5, 6 Munsat TL 183 Muresan LV 5, 28 Murray GD 51, 52, 53, 69, 71, 72, 75 Murray W 39 Muzard O 90 Nakamura T 52 Nauss LE 158 Neil-Dwyer G 55 Neill WR 79 Nelson PB 56, 57, 58, 62, 63 Neto NGF 94 Nguyen DN 28, 30 Nguyen JP 19 Nibbelink DW 50, 52 Nicholas DA 96 Niedorhuber J 181

Nielsen SA 180

Norlen G 50

North JB 61

Nierenberg DW 180, 182

Norton JA 61 Nosko M 73, 77, 78 Nurchi G 154, 158, 161, 162, 163 Obbens EAMT 161, 162, 163 O'Boynick OL 56, 58 O'Brien W 61 Ochiai C 76 Öhman J 69, 70, 71, 95 Ohmori I 116, 123 Ohta T 73 O'Keefe DD 86 O'Laoire SA 61 Olesen J 91 Olinger CP 94 Oliver A 5, 25, 26, 28 Oliveras JL 161 Olivier A 7 Olsson GL 54 Ong J 178 Onofrio B 154 **Oosterlinck A 28** Organ LW 26 Ostertag CB 24 Otha H 183 Otis F 161, 162, 163 Otte D 141 Overton TR 84 Pageau MG 159, 178 Paice JA 154, 158, 162 Park BE 52 Parquet-Gernez A 79 Passagia JG 26 Pastyr O 11 Patterson J 5, 20, 87 Paulson OB 91 Pedersen JEP 157 Peerless SJ 81, 87, 88, 89, 94, 95 Penhall RK 61 Penn RD 154, 158, 162, 165, 169, 174, 175, 176, 177, 178, 179, 180, 182, 183 Peragut JC 5, 20, 26, 30 Perlman D 174 Perneczky A 81, 92, 123 Perret J 26 Perry JH 5, 6

Pert CB 153, 154 Pessin MS 79 Peters TM 5, 28 Peterson E 154, 181 Peterson HA 115, 123 Petrov V 5, 29 Petruk KC 69, 71, 73 Philippon J 68, 69, 73 Phillips TL 26 Philips TW 180, 181 Picard C 7 Piccini M 157 Pickard JD 69, 71, 72 Piepenbrock S 157 Pilbrant A 50, 53 Pinelli G 54 Pinna V 6 Ploetz J 77 Pola P 9 Pollak P 26 Pomerantz M 83 Post KD 63, 84 Poungvarin N 96 Prager RH 178 Preziosi TJ 66, 69 Price DD 153 Price DL 182 Price GW 165, 177 Pritz MB 84, 85, 88, 95 Privat JM 116, 123 Prossolentis A 5.9 Pruvot M 154, 161, 162 **O**uirion R 58 Raggio JF 90 Raichle ME 81 Raimondi AJ 116, 123 Ramirez-Lassepas M 50, 54 Rämsch KD 65 Ransohoff J 90, 123 Rapp RP 61, 91 Raulo O 157 Ravani B 17 Rea GL 169. 1 Reed IS 5. 13 Reeder RF 59, 183, 184

200

Reeder TM 153, 180, 182, 183 Regolo P 116, 123 Reif J 123 Reig E 154, 161, 162 Reulen HJ 67, 69, 93, 95 Reynolds LO 178 Richard KE 141 Richard MT 154, 181 Richardson AE 61 Rico ML 94 Riklan M 178 Ritchie WI 84 Rivas JJ 154, 161, 162, 163 Robert G 19 Roberts DW 39, 153, 182, 183 **Robertson JT 78** Rodriguez y Baena R 73 Rolly G 154 Roquefeuil B 154, 161, 162, 163 Rosenbaum AE 5, 6 Rosenfeld JV 57, 58 Rosenwasser RH 84, 85, 95 Roth ZS 17 Rougemont J 26 Rowan JO 5, 20 Rowbotham GF 141 Ruda M 153 Rudehill A 54 Rudy TA 153, 183 Rusch N 96 Russell N 154, 181 Ruthenbeck SS 161, 162, 163 Saint Hilaire JM 25, 26 Saito I 76 Saitoh T 82, 83, 84, 85 Sallerin-Caute B 151, 166, 174, 179 Salter JE 79 Samii K 154, 158 Samson DS 81, 94 Sandouk P 154 Sarabia R 161, 162, 163 Sasaki O 85 Saski T 62, 79 Sato F 81, 94 Satoh M 153, 154, 159, 161 Sator J 39

Saudve A 87, 91 Saunders FW 75 Saunders RL 153, 159, 178, 182, 183, 184, 191 Savaraj N 181 Säveland H 66, 67, 69 Savov SM 169, 174, 179, 183 Sawaya R 50 Sawhny B 91 Sbeih I 61 Scerrati M 9 Schach G 154, 161, 162, 163 Schad L 20 Schannong M 50, 53 Schaltenbrand G 18, 28 Schaub C 25 Scheremet R 123 Schermann JM 154 Schlegel W 11 Schmitt F 20 Schneider RC 83 Schnyder P 34 Schröder R 141 Schulz R 77, 78 Schwartz JB 65 Schwartz M 178 Schwartz WB 57 Sears ES 165 Sedan R 9, 20, 178 Seeger JF 180, 181 Seeger W 123 Segal R 53, 55 Seif SM 56, 57, 58 Seiler RW 67, 69, 93, 95 Sekhar L 81 Sen S 56 Shalhoub RJ 56 Shao HM 5, 13 Shaw MDM 80 Shibata T 153, 154, 159, 161 Shikata J 115, 123 Shima K 80 Shimoda M 57, 58 Shindo K 56 Shiobara Y 74 Shose Y 76 Sichez JF 19

Siegfried J 162, 169, 174, 178, 179 Siesiö BK 73 Sila CA 57, 58 Sim FH 115, 123 Simeone FA 64, 82, 83 Simon EJ 153, 179 Simon J 164 Sindou M 191 Singh BN 65 Slaughter JR 60 Snyder BD 54 Snyder LL 83 Snyder SM 153, 154 Smets C 28 Sobata E 77, 78 Soldner E 141 Solomon RA 63, 64, 84 Solymosi L 93 Song JU 75 Sonnenblick EH 86, 87, 91 Sonnino V 50 Spetzler RF 88, 89 Spiegel EA 7 Steinmetz H 20 Stern BJ 56, 57 Stewart Dj 154, 181 Stewart-Wynne EG 174 Stober T 56 Stokes BAR 77 Storch WH 141 Stott AW 55 Stovanov M 154, 162 Stratton C 55 Strohbehn JW 39 Sturm V 11, 20 Suetens P 28 Sundqvist K 54 Sundt TM 63, 81, 82, 90, 96 Sutor B 165 Suzuki A 183 Suzuki K 116, 123 Suzuki S 77, 78 Svien HJ 115, 123 Swink CA 5, 6 Sykes A 50 Symon L 80

Szenthe L 24 Szikla G 5, 9, 24, 25, 26, 29, 30 Tabaka K 81, 94 Tabbaa MA 54 Tachdiian MO 115, 123 Tachibana S 82, 83, 84, 85 Tafani M 154, 156, 162, 164 Taft J 183 Takagi H 153, 154, 159, 161 Takaku A 56 Takakura K 38, 39, 76 Takenaka T 73 Takeuchi S 85 Talairach J 5, 9, 18, 25 Tamas LB 61 Tamorri M 157 Tanabe T 64, 82, 83, 84, 85 Tanaka S 56 Tanaka T 78 Tang RA 181 Tani E 78 Tapaninaho A 61 Taren J 18, 26 Tasker RR 26 Tenicela R 154, 162 Tenjin H 64, 81, 83 Tettenborn D 65 Thiebaut JB 154, 161, 162, 163 Thomas JE 158 Thompson ME 53, 55 Thorpe CE 17 Thulin CA 50 Thurel C 154, 161, 162, 163 Tindall GT 90 Toda N 73 Tönnis W 141 Torner JC 50, 51, 52, 53, 75 Tournoux P 5, 9, 18 Towart R 65 Tremoulet M 92 Trigo JC 18, 26 Truong TK 5, 13 Ts'ao CH 52 Tsementzis SA 53 Tsou K 153, 154 Tsuji H 116, 123

202

Tsutsumi Y 39 Tung AS 154, 162 Turnbull MJ 165, 177 Turner JW 5, 20 Unger J 59 Vailati G 49 Vallicioni PA 20 Van Breeman C 75 Vandenberg T 154, 181 VanderArk GD 83 Vandermeulen D 28 Van Effentere R 19 Van Vliet HHDM 50 Varsos VG 91 Vasu MA 86 Vecsernyés M 57 Venaille C 28 Verdié JC 151, 154, 156, 157, 162, 163, 164, 174, 179, 183 Vermeulen M 50, 51, 52, 53, 54, 56, 57, 58, 59, 63, 75 Viars P 154, 158 Vidal P 11, 38 Vilches JJ 50 Villanueva L 153, 161, 162 Vinge E 65, 73 Viriyavejakul A 96 Vitrac P 154, 161, 162 Voldby B 64, 81, 85 Völler H 141 Vrousos C 26 Wahren W 18, 28 Wakano K 116, 123 Wallace S 181 Walter A 28 Walter P 55 Waltz JM 178 Wang JK 158, 162 Wara WM 26 Wassmann H 93 Watanabe E 38, 39 Watanabe K 116, 123 Watanabe T 38, 39

Watkins RG 123 Weaver KA 26 Weinand ME 56, 58 Weinstein SP 159 Weir B 53, 73, 77, 78, 84 Werner WJ 183 West M 69, 71, 73 Weston JS 154, 158, 162, 163 Weyland JB 154, 158, 162, 163 White RP 78 Wheeler R 181 Wijdicks EFM 53, 54, 55, 56, 57, 58, 59, 63 Wilkin GP 165, 177 Wilkins RH 63, 77, 81 Willer JC 178 Williams RW 123, 125 Wilson PR 158 Wilson RS 183 Winn HR 61 Winter P 154, 162 Wise BL 56 Wood JH 64, 82, 83 Wollmann RL 52 Wullner U 178 Wycis HT 7 Wyper DJ 5, 20 Yaksh TL 153, 154, 162, 183 Yamada S 57, 58 Yamakami I 63, 82, 84 Yamamoto I 57, 58 Yamamoto M 73 Yamamuro T 115, 123 Yamaura A 52, 63, 82, 84 Yaşargil MG 123, 125 Yasui N 183 Yasuoka S 115, 123 Yonas H 81 Yoshimoto S 38, 39 Young B 61, 91 Young PH 123 Young R 5, 13

Young RJ 5, 13

Young RR 165

Yung WKA 181

Young SE 181

Zanardo A 6 Zenz H 157 Zervas NT 76, 77, 87, 95 Zieglgänsberger W 165 Zierski J 174, 175, 177 Zorub DS 5, 6 Zumkeller M 89 Zuzuki A 183

Subject Index

Alzheimer's disease intrathecal therapy 182 Aminophylline 90 in treatment of vasospasm 90, 91 Amyotrophic lateral sclerosis (ALS) intrathecal therapy 183 Aneurysm rebleeding 48, 50, 51 prevention of 49 Aneurysmal subarachnoid hemorrhage, medical treatment of 47 Antifibrinolytic agents and nicardipine 73 complications 52 indications 53 in SAH 49, 50, 51 Anti-thromboxane A 3 synthetase 77 **SAH 77** Ashworth scale 169 Atrial natriuretic factor (ANF) 58 Baclofen therapy, intrathecal 145, 165, 179 advantages 179 clinical response 169 Ashworth scale 169 complications 171 neurological 172 pharmacological 172, 173, 177 technical 171 implantation for chronic administration 167, 184 catheter placement 168 doses 168 overdose 168 influence of etiology of spasticity 175-177 inter-individual differences in dose 174, 175

neurochemical basis 165 pharmacokinetics 165, 179 results 170, 171 selection of patients 165, 167 exclusion criteria 167 indications 166 single bolus 169 test-administration 166, 168 titration 173 Baclofen therapy, oral 166, 174, 179 side effects 165 Blood-brain barrier in SAH 64 "Brain" of the Grenoble stereotactic robot system 16 British Aneurysm Nimodipine Trial 71 Canadian Multicentre Nimodipine Study 73 Calcium antagonists 64 diltiazem 75 flunarizine 75 in vasospasm 81 nicardipine (see nicardipine) nimodipine (see nimodipine) pharmacology 64, 65 type I 65 type II 65 Carbon dioxide laser 36 Care free interval, in head injuries 133, 136, 140 1st world war 133 2nd world war 133 Vietnam war 133 Cerebral blood flow (CBF) hypervolemia, as treatment of vasospasm 82 nimodipine 73 in symptomatic vasospasm 81 intracarotid isoproterenol 91

Cerebral perfusion pressure (CPP) 49 in SAH 49, 64 symptomatic vasospasm 81 Cerebral salt wasting syndrome (CSWS) 57 Cerebro spinal fluid (CSF) fibrinolytic activity 49 Chemotherapy, intra-arterial administration infraophthalmic 180, 181 supraophthalmic 180, 181 rationale 180 Computer guided "open surgery" 14 Computerization in stereotaxy 6 Cooperative aneurysm study 75 CT scan 5 3 **D** reconstruction 20, 28, 31 Grenoble stereotactic robot system 16 Denovit-Hartenberg model 17 Diltiazem 75 pharmacology 75 Dipyridamole 79 in SAH 80 Dopamine 86, 87, 91 Drug delivery systems (DDS) 143 Baclofen therapy, intrathecal (see Baclofen therapy, intrathecal) chemotherapy 180 intraarterial 180, 181 intratumoral 181 implantable access ports 145 advantages 145 implantable pumps 147, 184 continuous flow 148, 149 programmable 149, 150, 184 pulsatile 147, 148 implantation techniques 151 access port 152 catheter placement 152 pump 152 intrathecal infusion of TRH 183 intraventricular cholinergic drug infusion 182, 183

morphino therapy, intrathecal (see morphino therapy, intrathecal) perspectives 183 rationale 144 DSA 5 and Grenoble stereotactic robot system 14, 16 Dutch-British Aneurysm Study 75 Endoscopy 5, 40 Engineering, biomedical 4 Epsilon-aminocaproic acid (EACA) and nicardipine 74 complications 52 in SAH 49 intracisternal administration 52 Fibrin/fibrinogen degradation product (FDP) in SAH 50 Flunarizine 75 **SAH 75** Fludrocortisone in treatment of SIADH 59 Gaba-B receptors 165, 174 Galvani, electrical model of 144 Grenoble-Paris-Rennes robotized microscope 37 Grenoble stereotactic robot system 13 automated adjustment 28 calibration 17, 18 clinical applications 24 brachytherapy 25 complications 26 midline stereotactic neurosurgery 26 perspectives 27 SEEG investigations 25 tumour biopsies 24 CT scan 19 data acquisition 16 detection of vascular impacts 28 general procedure 21 general structure 13, 14 **MRI 19**

| penetration of the probe 27 projective neuroradiology 18 | 125 I brachytherapy 25, 26 Imaging modalities 5 | | | |
|---|--|--|--|--|
| transfer of data 20 | Infusaid pump 148, 149 | | | |
| Guiot's diagram 18 | disadvantages 149 | | | |
| Sulot o diagram ro | Intelligence, artificial 4 | | | |
| "Hands" of Grenoble stereotactic robot | Italian Acute Stroke Study Group 83 | | | |
| 15 Head injuries organization of the | "Key hole neurosurgery" 5 | | | |
| Head injuries, organization of the primary transport in Germany | Knoh-Young robot system 34 | | | |
| ambulance headquarters 135 | | | | |
| care free interval 133, 136, 140 | Laminectomy 114, 123 | | | |
| casualty surgeon 134, 135 | complications 115, 123 | | | |
| disadvantages 137, 138, 139 | disadvantages 116 | | | |
| flying squad 134 | in treatment of spinal tumours 115 | | | |
| helicopter air ambulance 135, 136 | osteoplastic 116 | | | |
| advantages 135 | | | | |
| disadvantages 135 | Mayo Clinic robot system 35 | | | |
| helicopter evacuation 134 | and tumour resection 36 | | | |
| rendevouz-system 135 | Midazolan therapy, intrathecal 178 | | | |
| transport vehicles 134 | Miniport 147, 166 | | | |
| Hemilaminectomy, partical unilateral | Morphinotherapy, intrathecal 153, 178 | | | |
| 113 | exclusion criteria 154 | | | |
| advantages 117, 125, 130 | HPLC assay 164 | | | |
| clinical material 117 | indications 154, 158 | | | |
| indications 113 | intraventricular administration 154, | | | |
| operative technique 117-120 | 158 | | | |
| Hemodilution in Stroke Study Group | clinical response 160, 162 | | | |
| 83 | complications 161 | | | |
| albumin derivatives 84 | doses 161, 168 | | | |
| crystalloids 84 | evaluation criteria 159 | | | |
| hydroxyethyl starch 84 | indications 158, 162 | | | |
| low molecular dextrans 84 | patients 159 | | | |
| Heparine | side effects 160, 161 | | | |
| in SAH 78 | technique 159 | | | |
| Hoffmann reflex 168, 169 | kinetics 164 | | | |
| Hydrocephalus, communicating | lumbar intrathecal administration | | | |
| in antifibrinolytic treatment 52 | 155 | | | |
| Hypertension | clinical response 156 | | | |
| in treatment of vasospasm 83–84 | implantation technique 155 | | | |
| Hypertensive hypervolemia 86 | patients 155 | | | |
| complications 88 | side effects 156, 158 | | | |
| dobutamine 87 | neurobiological basis 153 | | | |
| dopamine 86 | opiate binding sites 153 | | | |
| epinephrine 86 | treatment of spasticity 178 | | | |
| norepinephrine 86 | MPAP system 146, 166 | | | |
| Hypervolemia | MRI 5 and the Teleirech frame 10 | | | |
| in treatment of vasospasm 82–83 | and the Talairach frame 19 | | | |

Subject Index

MRI 5 data transfer 20, 21 linearity of the data 20 Naloxone in treatment of vasospasm 93, 94 Neuronavigator 38 Nicardipine 76 effects 73 pharmacokinetics 74 Nimodipine 65 British Aneurysm Nimodipine Trial 71.72 Canadian Multicentre Randomized Placebo-controlled Trial 71 chemical structure 65 clinical trials 66, 69, 70 controlled randomized trials 72, 73 experimental SAH 73 incidence of cerebral infarction 72 in treatment of vasospasm 92 intraarterial 93 pharmacological effects 66 uncontrolled studies 72 Nizofenone 76 properties 76 Pallido-thalamotomy 7 parallax errors 23, 25 port-a-cath 146 Puma robot system 11 Reserpine and kanamycin controlled trial 77 in SAH 76 Retractor robot 38 Robot assisted nursing care 38 **Robotics 5** future 40 general principles 5 history 5 state of the art 11 Robots 4 flexible 4, 39, 40 in neurosurgery 11 ophthalmology 38 open surgery 39, 40 prerequisites 10

sensor guided 39 six angles coordinates 16 systems Grenoble 13 Kwoh-Young (Long Beach) 11, 12, 13.34 Lausanne 34 Small angle-double incidence angiograms (SADIA) Talairach system 20 Spasticity, treatment of **Baclofen** intrathecal (see Baclofen therapy, intrathecal) chronic neurostimulation 178 functional neurosurgery 179 Stereo-electro-encephalographic (SEEG) investigations 25 Stereotactic frames 7 center of arch system 9 phantom 9 Talairach system 9 Stereotaxy 4, 5, 13 history 5 Subarachnoid hemorrhage, aneurysmal (SAH) 47 antifibrinolytic agents 49 arterial vasospasm see vasospasm, arterial cerebral calcium antagonists 64 see calcium antagonists cardiac arrhythmias 53 etiology 55 treatment 55 complications 49 delayed cerebral ischemia 48 epilepsy, late 61 prophylactic anticonvulsants 61, 62 risk factors 61 experimental findings 97 hyponatremia 56 etiology 57 signs and symptoms 56 treatment 58, 59 seizures, early 60 treatment 60

Swan-Ganz catheter 83, 84, 88 "Swan-Neck" deformity following multilevel laminectomy 123

Talairach frame 9, 11, 14, 19, 29, 30 Ticlopidine 80 Tranexamic acid (TEA) 52 complications 52 in SAH 50 Trapidil 78

Unidose reservoir 146 US imaging 5

Vasospasm, arterial cerebral 62 antifibrinolytics and nicardipine 75 anti-thromboxane A 2 synthetase 77 barbiturates 94 definition 62 diltiazem 75 dipyridamole 79 heparin 78 hypovolemia diagnosis 63, 80 etiology 63

incidence 62 treatment 64 naloxone 93 nimodipine 92, 93 nizofenone 76 prevention 62 reserpine and kanamycin 76 "symptomatic" 80 postoperative 81 preoperative 80 steroids 95 ticlopidine 80 trapidil 78 treatment 81 aminophylline 90 **CPP 81** hypertension 83, 88 hypervolemia 82, 88 isoproterenol and lidocain 89 Voltage operated channel (VOC) 65 L, low 65 N, normal 65 T, transient 65

Xray system and stereotactic frames 10, 11

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