



# HUMAN-COMPUTER INTERACTION

THIRD  
EDITION

DIX  
FINLAY  
ABOWD  
BEALE

## chapter 12

# cognitive models

# Cognitive models

- goal and task hierarchies
- linguistic
- physical and device
- architectural

# Cognitive models

- They model aspects of user:
  - understanding
  - knowledge
  - intentions
  - processing
- Common categorisation:
  - Competence vs. Performance
  - Computational flavour
  - No clear divide

# Goal and task hierarchies

- Mental processing as divide-and-conquer
- Example: sales report
  - produce report
  - gather data
    - . find book names
      - . . do keywords search of names database
      - . . . ... *further sub-goals*
      - . . sift through names and abstracts by hand
      - . . . ... *further sub-goals*
      - . search sales database - further sub-goals
    - layout tables and histograms - further sub-goals
    - write description - further sub-goals

# goals vs. tasks

- goals – intentions  
what you would like to be true
- tasks – actions  
how to achieve it
- GOMS – goals are internal
- HTA – actions external  
– tasks are abstractions

# Issues for goal hierarchies

- Granularity
  - Where do we start?
  - Where do we stop?
- Routine learned behaviour, not problem solving
  - The unit task
- Conflict
  - More than one way to achieve a goal
- Error

# Techniques

- Goals, Operators, Methods and Selection (GOMS)
- Cognitive Complexity Theory (CCT)
- Hierarchical Task Analysis (HTA) - Chapter 15

# GOMS

## Goals

- what the user wants to achieve

## Operators

- basic actions user performs

## Methods

- decomposition of a goal into subgoals/operators

## Selection

- means of choosing between competing methods

# GOMS example

GOAL: CLOSE-WINDOW

- . [select GOAL: USE-MENU-METHOD
  - . MOVE-MOUSE-TO-FILE-MENU
  - . PULL-DOWN-FILE-MENU
  - . CLICK-OVER-CLOSE-OPTION
- GOAL: USE-CTRL-W-METHOD
  - . PRESS-CONTROL-W-KEYS]

For a particular user:

- Rule 1: Select USE-MENU-METHOD unless another rule applies
- Rule 2: If the application is GAME, select CTRL-W-METHOD

# Cognitive Complexity Theory

- Two parallel descriptions:
  - User production rules
  - Device generalised transition networks
- Production rules are of the form:
  - if condition then action
- Transition networks covered under dialogue models

# Example: editing with vi

- Production rules are in long-term memory
- Model working memory as attribute-value mapping:
  - (GOAL perform unit task)
  - (TEXT task is insert space)
  - (TEXT task is at 5 23)
  - (CURSOR 8 7)
- Rules are pattern-matched to working memory,
  - e.g., LOOK-TEXT task is at %LINE %COLUMN is true, with LINE = 5 COLUMN = 23.

# Four rules to model inserting a space

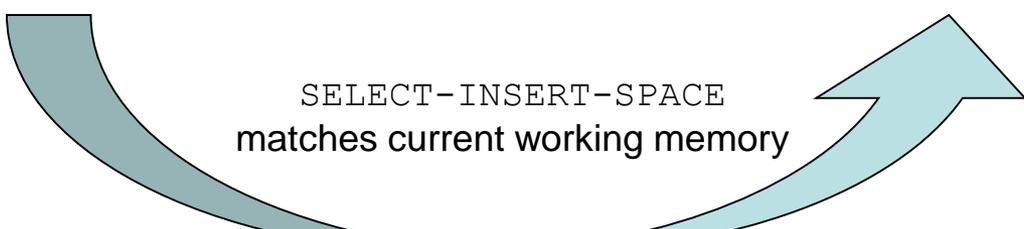
## Active rules:

```
SELECT-INSERT-SPACE  
INSERT-SPACE-MOVE-FIRST  
INSERT-SPACE-DOIT  
INSERT-SPACE-DONE
```

## New working memory

```
(GOAL insert space)  
(NOTE executing insert space)  
(LINE 5) (COLUMN 23)
```

SELECT-INSERT-SPACE  
matches current working memory



```
(SELECT-INSERT-SPACE  
IF (AND (TEST-GOAL perform unit task)  
        (TEST-TEXT task is insert space)  
        (NOT (TEST-GOAL insert space))  
        (NOT (TEST-NOTE executing insert space))))  
THEN ( (ADD-GOAL insert space)  
       (ADD-NOTE executing insert space)  
       (LOOK-TEXT task is at %LINE %COLUMN)))
```

# Notes on CCT

- Parallel model
- Proceduralisation of actions
- Novice versus expert style rules
- Error behaviour can be represented
- Measures
  - depth of goal structure
  - number of rules
  - comparison with device description

# Problems with goal hierarchies

- a post hoc technique
- expert versus novice
- How cognitive are they?

# Linguistic notations

- Understanding the user's behaviour and cognitive difficulty based on analysis of language between user and system.
- Similar in emphasis to dialogue models
  
- Backus–Naur Form (BNF)
- Task–Action Grammar (TAG)

# Backus-Naur Form (BNF)

- Very common notation from computer science
- A purely syntactic view of the dialogue
- Terminals
  - lowest level of user behaviour
  - e.g. CLICK-MOUSE, MOVE-MOUSE
- Nonterminals
  - ordering of terminals
  - higher level of abstraction
  - e.g. select-menu, position-mouse

# Example of BNF

- Basic syntax:
  - nonterminal ::= expression
- An expression
  - contains terminals and nonterminals
  - combined in sequence (+) or as alternatives (|)

draw line ::= select line + choose points + last point

select line ::= pos mouse + CLICK MOUSE

choose points ::= choose one | choose one + choose points

choose one ::= pos mouse + CLICK MOUSE

last point ::= pos mouse + DBL CLICK MOUSE

pos mouse ::= NULL | MOVE MOUSE+ pos mouse

# Measurements with BNF

- Number of rules (not so good)
- Number of + and | operators
- Complications
  - same syntax for different semantics
  - no reflection of user's perception
  - minimal consistency checking

# Task Action Grammar (TAG)

- Making consistency more explicit
- Encoding user's world knowledge
- Parameterised grammar rules
- Nonterminals are modified to include additional semantic features

# Consistency in TAG

- In BNF, three UNIX commands would be described as:

copy ::= cp + filename + filename | cp + filenames + directory

move ::= mv + filename + filename | mv + filenames + directory

link ::= ln + filename + filename | ln + filenames + directory

- No BNF measure could distinguish between this and a less consistent grammar in which

link ::= ln + filename + filename | ln + directory + filenames

# Consistency in TAG (cont'd)

- consistency of argument order made explicit using a parameter, or semantic feature for file operations
- Feature Possible values  
Op = copy; move; link
- Rules  
file-op[Op] ::= command[Op] + filename + filename  
                  | command[Op] + filenames + directory  
command[Op = copy] ::= cp  
command[Op = move] ::= mv  
command[Op = link] ::= ln

# Other uses of TAG

- User's existing knowledge
- Congruence between features and commands
- These are modelled as derived rules

# Physical and device models

- The Keystroke Level Model (KLM)
- Buxton's 3-state model
  
- Based on empirical knowledge of human motor system
- User's task: acquisition then execution.
  - these only address execution
- Complementary with goal hierarchies

# Keystroke Level Model (KLM)

- lowest level of (original) GOMS
- six execution phase operators
  - Physical motor:      K - keystroking  
                                 P - pointing  
                                 H - homing  
                                 D - drawing
  - Mental                      M - mental preparation
  - System                     R - response
- times are empirically determined.  
$$T_{execute} = TK + TP + TH + TD + TM + TR$$

# KLM example

GOAL: ICONISE-WINDOW

[select

GOAL: USE-CLOSE-METHOD

. MOVE-MOUSE-TO- FILE-MENU

. PULL-DOWN-FILE-MENU

. CLICK-OVER-CLOSE-OPTION

GOAL: USE-CTRL-W-METHOD

PRESS-CONTROL-W-KEY]

- compare alternatives:
  - USE-CTRL-W-METHOD vs.
  - USE-CLOSE-METHOD
- assume hand starts on mouse

USE-CTRL-W-METHOD		USE-CLOSE-METHOD	
H[to kbd]	0.40	P[to menu]	1.1
M	1.35	B[LEFT down]	0.1
K[ctrlW key]	0.28	M	1.35
		P[to option]	1.1
		B[LEFT up]	0.1
<b>Total</b>	<b>2.03 s</b>	<b>Total</b>	<b>3.75 s</b>

# Architectural models

- All of these cognitive models make assumptions about the architecture of the human mind.
- Long-term/Short-term memory
- Problem spaces
- Interacting Cognitive Subsystems
- Connectionist
- ACT

# Display-based interaction

- Most cognitive models do not deal with user observation and perception
- Some techniques have been extended to handle system output  
(e.g., BNF with sensing terminals, Display-TAG)  
but problems persist
- Exploratory interaction versus planning